

Annex 1 to the Ordinance of the FDJP of [day month] 2017 on the conduct of the Surveillance of Post and Telecommunications (VD-ÜPF; SR 780.11.XX)

## **Technical requirements for the handover interfaces for the conduct of the Surveillance of Telecommunications**

### **SR 780.11.ZZ**

Version X

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# 1 Scope of application

This technical specifications document describes the technical requirements and options for the handover interfaces between the equipment of the Communication Service Providers (CSPs) and the equipment of the Post and Telecommunications Surveillance Service (PTSS) for the provision of information requests, real-time interception, historical data (retroactive interception) and emergency paging. It specifies how the respective ETSI technical specifications apply to the different administrative and technical handover interfaces in Switzerland.

Some of the administrative and technical handover interfaces are not standardised by ETSI and are therefore specified in a proprietary manner in this document.

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## 2 Abbreviations

3GPP	Third Generation Partnership Project
ASCII	American National Standard Code for Information Interchange
ASN.1	Abstract Syntax Notation One
BA	Basic Access (ISDN Basic Access)
BC	Bearer Capability
BRAS	Broadband Remote Access Server
BÜPF	“Bundesgesetz vom 18. März 2016 betreffend die Überwachung des Post- und Fernmeldeverkehrs (BÜPF, SR 780.1)” - Federal Act of 18 March 2016 on the Surveillance of Post and Telecommunications
CATV	Cable television
CC	Content of Communication
CD	Call Data
CGI	Cell Global Identification
CLIP/R	Calling Line Identification Presentation / Restriction
CMTS	Cable Modem Termination System
COLP/R	Connected Line Identification Presentation / Restriction
CSP	Communications Service Provider
CUG	Closed User Group
DCF77	German longwave time signal and standard-frequency radio station.
DDI	Direct Dialling In
DSS1	Digital Subscriber Signalling System No 1
DTD	Document Type Definition
E.164	International public telecommunication numbering plan defined by ITU-T
ECGI	E-UTRAN Cell Global Identification
EJPD	Eidgenössisches Justiz- und Polizeidepartement
EPS	Evolved Packet System
ETSI	European Telecommunications Standards Institute
E-UTRAN	Evolved Universal Terrestrial Radio Access Network
FDJP	Federal Department of Justice and Police
FOITT	Federal Office of Information Technology, Systems and Telecommunication
FTP	File Transfer Protocol
GERAN	GSM EDGE Radio Access Network
GPRS	General Packet Radio Service
GSM	Global System for Mobile Communications
HI	Handover Interface
HLC	High Layer Compatibility
HTTP	HyperText Transfer Protocol
HTTPS	HyperText Transfer Protocol over Secure Socket Layer
IETF	Internet Engineering Task Force
IIF	Internal Interception Function
IMAP	Internet Message Access Protocol
IMEI	International Mobile station Equipment Identity
IMSI	International Mobile Subscriber Identity
INI	Internal Network Interface
IP	Internet Protocol
IRI	Interception Related Information
ISC-EJPD	Informatik Service Centre Eidgenössisches Justiz- und Polizeidepartement
ISC-FDJP	IT Service Centre Federal Department of Justice and Police
ISDN	Integrated Services Digital Network
ISO	International Organization for Standardization
ITU-T	International Telecommunication Union - Telecommunication Standardisation Sector
LAN	Local Area Network

LEMF	Law Enforcement Monitoring Facility
LI	Lawful Interception
LIID	Lawful Interception Identifier
MAP	Mobile Application Part
MMS	Multimedia Messaging Service
MS	Mobile Station
MSC	Mobile Switching Centre
MSISDN	Mobile Subscriber ISDN Number
MSN	Multiple Subscriber Number
MTA	Mail Transfer Agent
NEID	Network Element Identifier
VD-ÜPF	Ordinance of the FDJP of [day month] 2017 on the conduct of the Surveillance of Post and Telecommunications (SR 780.11XX)
OFCOM	Federal Office of Communications (Switzerland)
OID	Object Identifier
PDN-GW	Packet Data Network Gateway
POP3	Post Office Protocol – Version 3
PRA	Primary Rate Access
PRS	Premium Rate Services
PSTN	Public Switched Telephone Network
PTSS	Postal and Telecommunications Surveillance Service
PUK	Personal Unblocking Key
RAI	Routing Area Identity
S-GW	Serving Gateway
SAI	Service Area Identity
SIP	Session Initiation Protocol
SIM	Subscriber Identity Module
SMS	Short Message Service
SMTP	Simple Mail Transfer Protocol
SN	Subscriber Number
SR	“Systematische Sammlung des Bundesrechts” – Classified Compilation of Federal Legislation
TAI	Tracking Area Identity
TCE-O	Telecommunications equipment belonging to the obligated party (the CSP)
TCP	Transport Control Protocol
TDM	Time Division Multiplexing
UDP	User Datagram Protocol
UE	User Equipment
UMS	Unified Messaging System
UMTS	Universal Mobile Telecommunications System
URI	Uniform Resource Identifier
URL	Uniform Resource Locator
UTF-8	8-bit Unicode Transformation Format (RFC 3629, ISO 10646)
UTRAN	Universal Terrestrial Radio Access Network
UUS	User-to-User Signalling
VMS	Voicemail Service
VoIP	Voice over IP
VPN	Virtual Private Network
VÜPF	„Verordnung vom 31. Oktober 2001 über die Überwachung des Post- und Fernmeldeverkehrs (VÜPF, SR 780.11)“ - Ordinance of 31 October 2001 on the Surveillance of Post and Telecommunications
WGS	World Geodetic System
WLAN	Wireless Local Area Network
xDSL	Digital subscriber line (x stands for various types)

XML      Extensible Markup Language  
XSD      XML Schema Definition

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## 3 Definitions

### **Communication service provider (CSP)**

The CSP is intended as the legal entity providing telecommunication services, including network operators, access providers and service providers. Where appropriate, the requirements and options in this document concerning the CSP are applicable by analogy to the providers of derived communication services with extended obligations.

### **Handover interface (HI)**

Physical and logical interface across which the information requests and the interception measures are requested from a CSP, and the results of information requests and the results of interception are delivered from a CSP to a law enforcement monitoring facility (processing system of the PTSS).

### **Historical Data (retroactive interception)**

Retained data associated with telecommunication services involving the target identity, specifically communication associated information or data (including unsuccessful communication attempts), service associated information or data (e.g. service profile management by subscriber) and location information.

### **Interception Related Information (IRI)**

Collection of information or data associated with telecommunication services involving the target identity, specifically communication associated information or data (including unsuccessful communication attempts), service associated information or data (e.g. service profile management by subscriber) and location information.

### **Content of Communication (CC)**

Information exchanged between two or more users of a telecommunication service, excluding Interception Related Information.

### **Law Enforcement Monitoring Facility (LEMF)**

The processing system (*Verarbeitungssystem*) which is designated as the transmission destination for the results of information requests and the results of interception relating to a particular interception subject. PTSS operates the LEMF in Switzerland.

### **Mediation Function (MF)**

Mechanism which passes information between a CSP and a Handover Interface, and information between the Internal Network Interface and the Handover Interface.

### **Target identity**

Technical identity (e.g. the interception's subject directory number), which uniquely identifies a target of interception. One target may have one or several target identities.

### **Delivery network (DN)**

Network infrastructure between the CSP and the LEMF used to transmit the results of information requests and the results of interception. It can support different types of lower communication layers, which should be standard or widely used data communication protocols.

## 4 Administrative Handover Interfaces

This section covers the requirements related to the different administrative handover interfaces carrying the real-time interceptions, retained data interceptions and information requests orders as well as the different notifications and operational information exchanges between PTSS and the CSP.

### 4.1 General

The following table enumerates the different types of orders and information requests to be exchanged between the PTSS and the CSP and indicates which administrative handover interface can be used.

VÜPF articles	Type of orders and data exchanged between PTSS and CSP	1st Administrative HI	2nd Administrative HI	3rd Administrative HI
32 to 45	Information requests orders Abbr.: IRTask	HI-A according to ETSI TS 102 657 V1.17.1 Section 4.2.4	Secure Email Section 4.3.3.2	Telephone / Fax Section 4.4
46 to 60, 69b, 70a, 70b	Real-time interception orders Abbr.: LITask	HI-1 according to ETSI TS 103 120 V1.2.1 Section 4.2.2 or 4.2.3	Secure Email Section 4.3.3.1	Telephone / Fax Section 4.4
69a	Real-time interception orders for emergency paging	Telephone Section 4.4	Secure Email Section 4.3.3.1	Fax Section 4.4
61 to 68, 69c, 70c	Historical data (aka retained data) interception orders Abbr.: RDTask	HI-A according to ETSI TS 102 657 V1.17.1 Section 4.2.4	Secure Email Section 4.3.3.2	Telephone / Fax Section 4.4

**Table 4-1: Administrative handover interfaces for interception orders and information requests**

The following table enumerates the different administrative handover interfaces that can be used to exchange general information and notifications between the PTSS and the CSP.

Type of Information	1st Administrative HI	2nd Administrative HI	3rd Administrative HI	4th Administrative HI
General and operational information notifications	Secure Email Section 4.3.4.1	Fax Section 4.4	Telephone Section 4.4	Registered post Section 4.5

**Table 4-2: Administrative handover interfaces for general information and notifications**

## 4.2 XML over HTTP Exchange

### 4.2.1 General

The administrative interface using XML over HTTPS may be used to support several administrative processes as mentioned in the tables above in 4.1. The following table enumerates the three interception ordering processes that use HTTPS as a transport method.

Handover interface	Section
HI1 XML over HTTP administrative interface for ordering the real-time interceptions (HI-1 eWarrant ETSI TS 103 120 V1.2.1)	4.2.2
Ad hoc HI1 XML over HTTP administrative interface for ordering the real-time interceptions	4.2.3
HI-A XML over HTTP administrative interface for ordering the retained data (Historical data and Information Requests) (HI-A ETSI TS 102 657 V1.17.1)	4.2.4

### 4.2.2 HI-1 XML over HTTP administrative interface for ordering the real-time interceptions (HI-1 eWarrant ETSI TS 103 120 V1.2.1)

HI-1 eWarrant can use the encoding and delivery format XML as described in ETSI TS 103 120 V1.2.1 clause 9.2 and HTTP transport as described in clause 9.3. The requirements and options for this handover interface are detailed in this section.

The overall architecture used between the PTSS and CSP follows the scenario shown in ETSI TS 103 120 V1.2.1 Annex A.3 for a single "Central Authority".

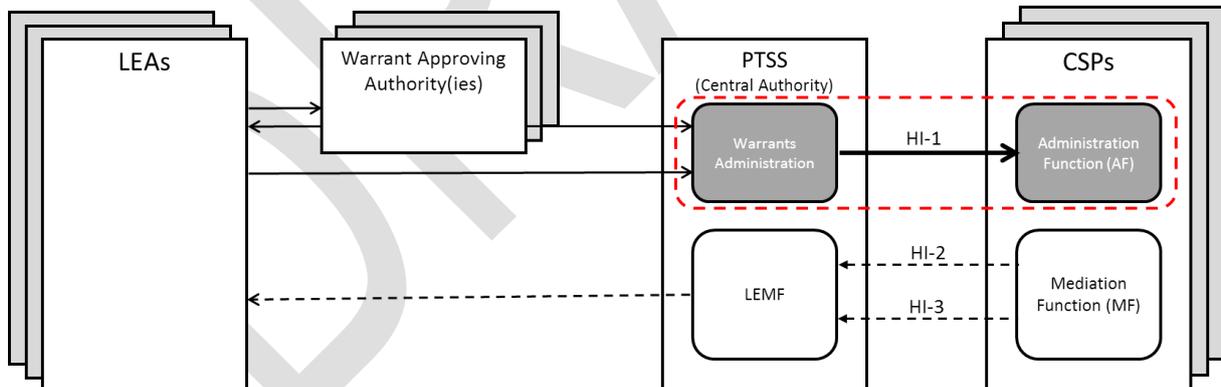


Figure 4-1: HI-1 administrative interface for real-time interception orders

#### 4.2.2.1 Transport security

Implementations shall support HTTPS as defined in IETF RFC 2818, including the support for mutual authentication through bidirectional certificate usage according to ETSI TS 103 120 V1.2.1 clause 9.3.4.

The exchange of the certificates and security requirements (such as key management, key length and the choice of cryptographic algorithm) is an implementation issue and shall be agreed bilaterally between the PTSS and the CSP.

#### 4.2.2.2 Action messages used for a real-time interception order process

Action messages defined by the HI-1 interface that are used by task management processes.

Action Requests	Action Responses
Create	CreateResponse
Get	GetResponse
Update	UpdateResponse
List	ListResponse
	Error Information

Table 4-3: Action messages used by the HI-1 interface via HTTP

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### 4.2.2.3 Message flow for a real-time interception activation order

This section provides an overview of the message flow for a real-time interception activation order.

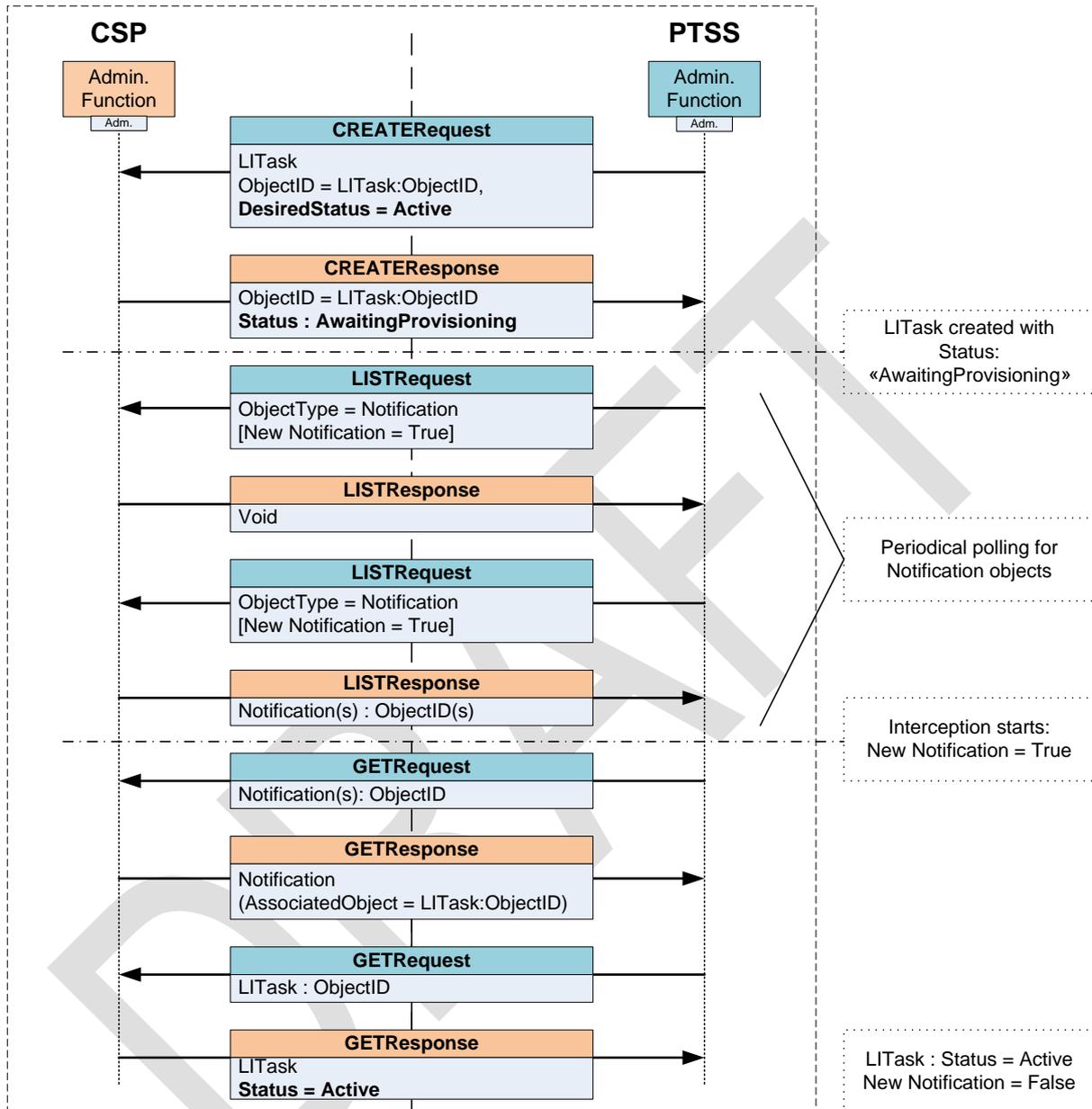


Figure 4-2: Message flow for a real-time interception activation order based on HTTP

#### 4.2.2.4 Message flow for a real-time interception deactivation order

This section provides an overview of the message flow for a real-time interception deactivation order.

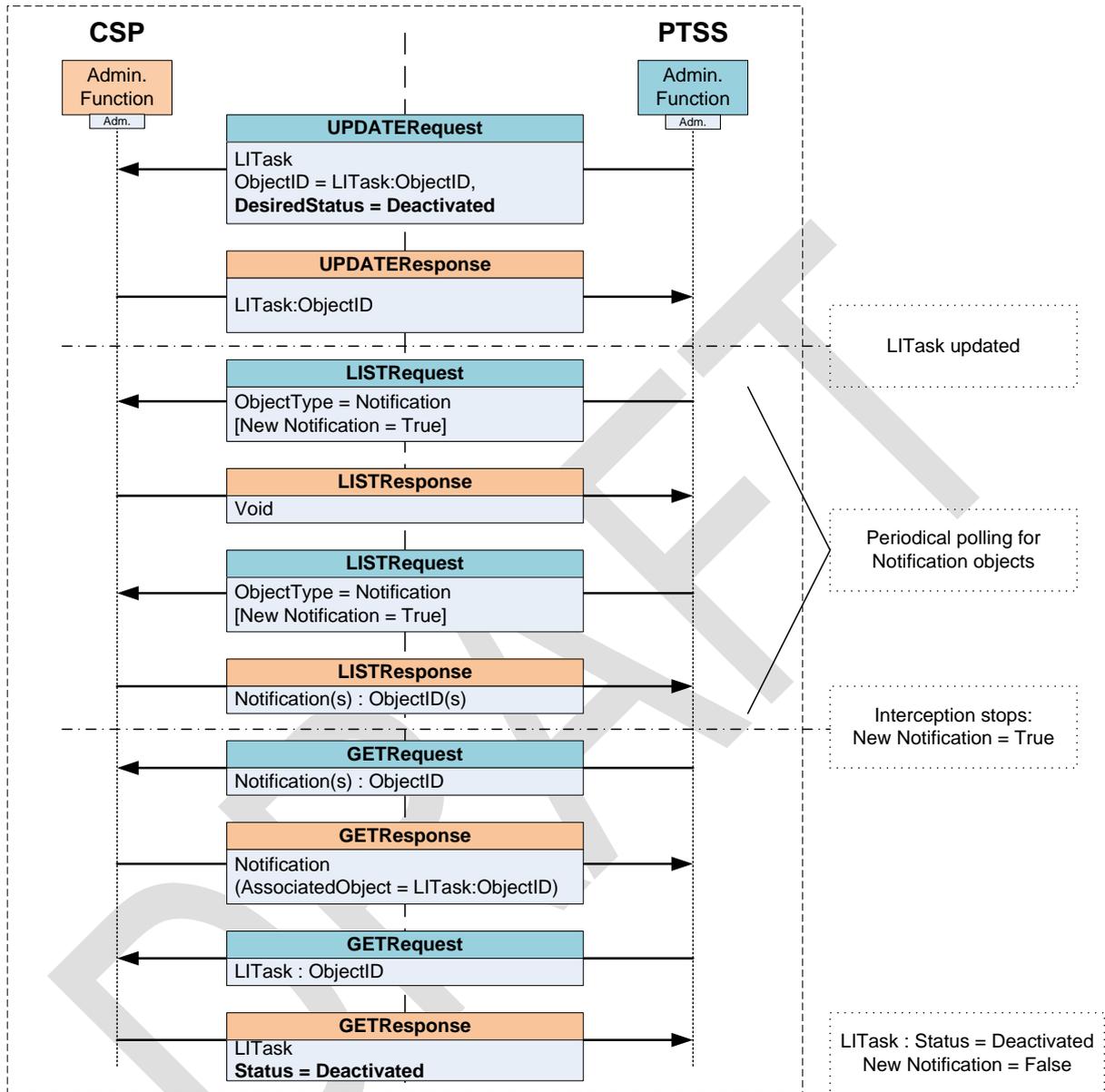


Figure 4-3: Message flow for a real-time interception deactivation order based on HTTP

#### 4.2.2.5 Message flow for a real-time interception cancellation order

This section provides an overview of the message flow for a real-time interception cancellation order.

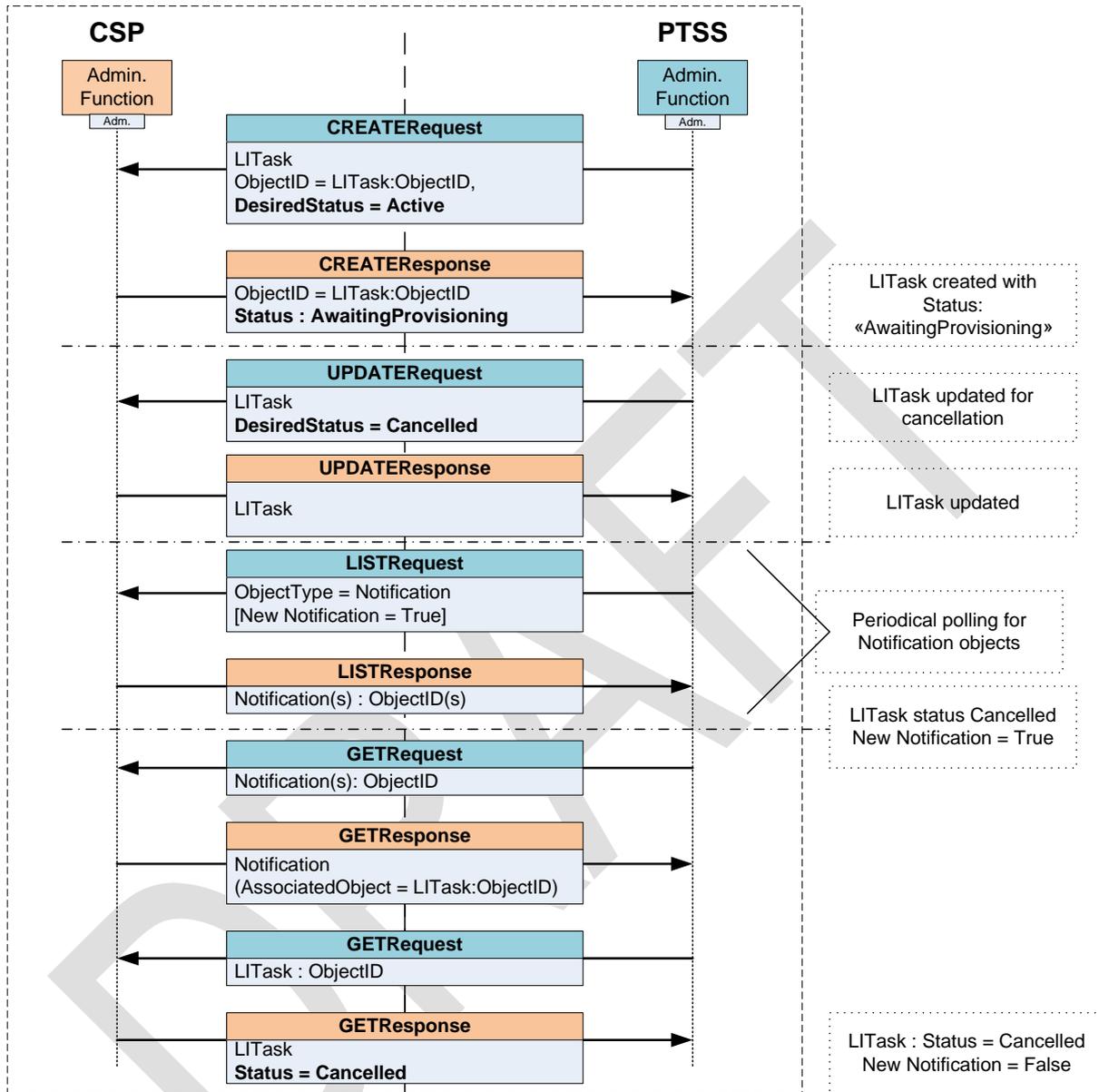


Figure 4-4: Message flow for a real-time interception cancellation order based on HTTP

#### 4.2.2.6 Message flow in case of error/invalid of real-time interception order

This section provides an overview of the message flow in case of error or invalidity of a real-time interception order.

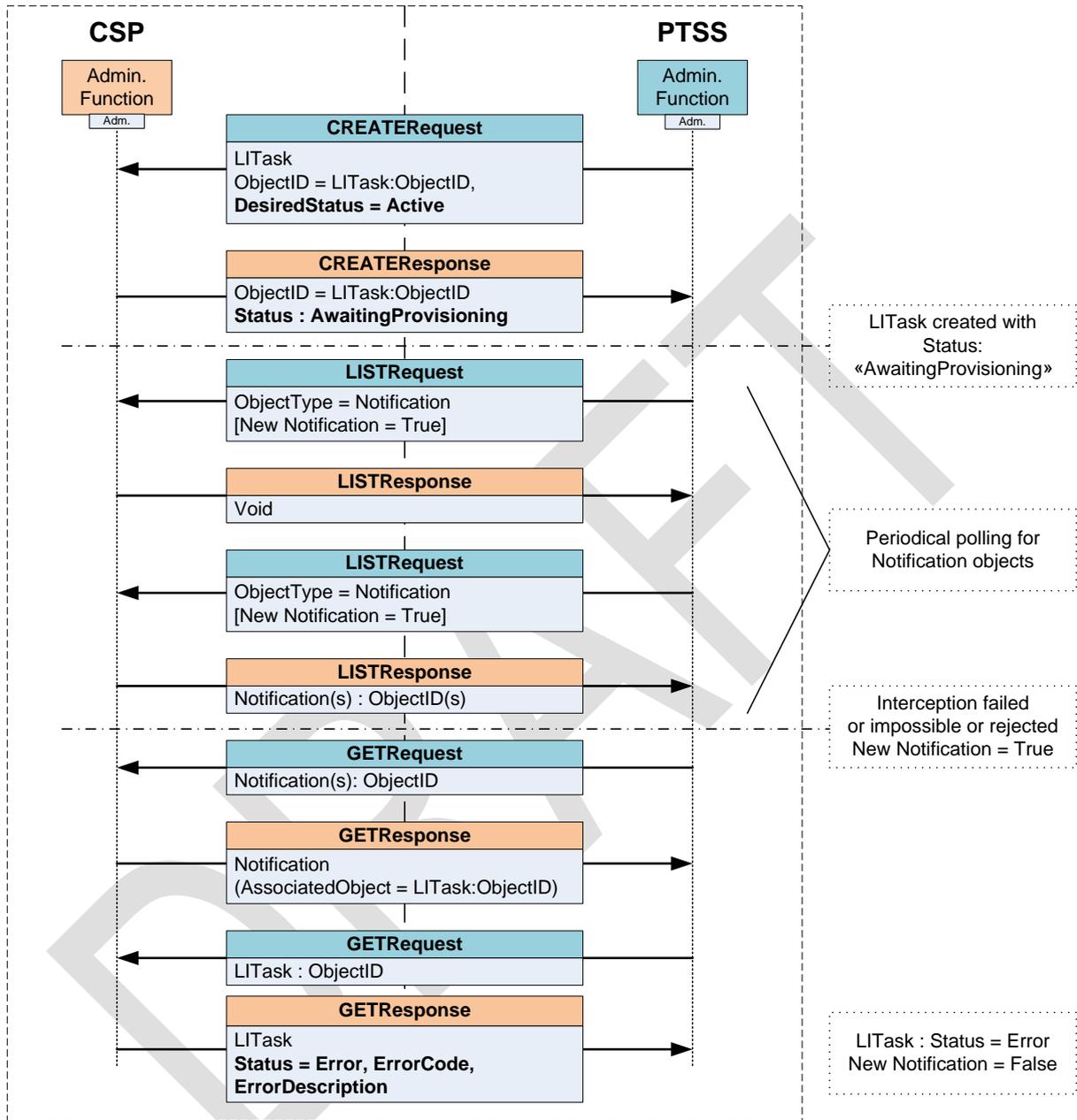


Figure 4-5: Message flow in case of error/invalid of real-time interception order based on HTTP

#### 4.2.2.7 ETSI TS 103 120 V1.2.1 requirements and options

The table below present the Swiss national options according the ETSI TS 103 120 V1.2.1 and real-time interception ordering process.

Clause ETSI TS 103 120 V1.2.1	Available options for Swiss applications	Additional requirements or specifications
<b>6.2 Messages header</b>		
6.2.3 Version	<b>NationalProfileOwner:</b> National profile owner.	PTSS
	<b>NationalProfileVersion:</b> (see ETSI TS 103 280 V1.2.1)	Currently V1.0.0
6.2.4	<b>UniquelIdentifier:</b> Unique identifier sufficient for identifying the object/field within the country. LongString (see ETSI TS 103 280 V1.2.1)	PTSS for PTSS and the CSPID for CSPs. CSPID is a 5-digit code allocated by the PTSS to each CSP in Switzerland.
<b>6.4 Action Request and Responses (request payload)</b>		
6.4.5	<b>GET Response</b>	<p>List of HI-1 Objects items required in the GET Response Messages:</p> <p><b>HI-1 object required fields:</b>                      ObjectIdentifier                      Generation                      AssociatedObjects                      LastChanged</p> <p><b>Notification object required fields:</b>                      NotificationType                      NewNotification                      NotificationTimestamp</p> <p><b>LITask object required fields:</b>                      Reference                      Status                      TimeSpan                      InvalidReason</p>

Clause ETSI TS 103 120 V1.2.1	Available options for Swiss applications	Additional requirements or specifications
6.4.6	<b>CREATE Request</b>	<p>List of the HI-1 Object fields required in the CREATE Request Messages:</p> <p><b>HI-1 object required fields:</b>                      ObjectIdentifier                      CountryCode                      OwnerIdentifier                      AssociatedObjects</p> <p><b>LITask object required fields:</b>                      Reference                      DesiredStatus                      TimeSpan                      TargetIdentifier                      DeliveryDetails                      CSPID                      Flags</p>
	<b>CREATE Response</b>	<p>List of the HI-1 Object fields required in the CREATE Response Messages:</p> <p><b>HI-1 object required fields:</b>                      ObjectIdentifier                      Generation                      LastChanged</p> <p><b>LITask object required fields:</b>                      Reference                      Status</p>
6.4.7	<b>UPDATE Request</b>	<p>List of the HI-1 Object fields required in the UPDATE Request Messages:</p> <p><b>HI-1 object required fields:</b>                      ObjectIdentifier</p> <p><b>LITask object required fields:</b>                      Reference                      DesiredStatus                      TimeSpan</p>
	<b>UPDATE Response</b>	<p>List of the HI-1 Object fields required in UPDATE Response Messages:</p> <p><b>HI-1 object required fields:</b>                      ObjectIdentifier                      Generation                      LastChanged</p> <p><b>LITask object required fields:</b>                      Reference</p>

Clause ETSI TS 103 120 V1.2.1	Available options for Swiss applications	Additional requirements or specifications
6.4.8	<b>LIST Request (for Notification)</b>	<b>Object type</b> field is <b>required</b> and shall be set to "Notification". As general purpose, <b>ObjectType</b> values shall comply with ObjectType Dictionary (ETSI TS 103 120 V1.2.1 clause 6.4.8)  <b>LastChanged</b> field is not required for Notification related requests.
	<b>LIST Response (for Notification)</b>	Fields required in LIST Response Messages:  <b>Required:</b> ObjectType Identifier Generation CountryCode OwnerIdentifier LastChanged
6.4.9	<b>Action Unsuccessful Information</b>	<b>ErrorCode</b> and <b>ErrorInformation</b> shall match values of table D.1 "Detailed error codes" of ETSI TS 103 120 V1.2.1 Annex D.
<b>7.1 HI-1 Object</b>		
7.1.4	<b>AssociatedObjects</b>	The field <b>AssociatedObjects</b> contains a single <b>AssociatedObject</b> that is populated with the <i>ObjectIdentifier</i> of the associated LITask or Notification object.
<b>7.4 Notification Object</b>		
7.4.3	<b>NotificationType</b> PTSS dictionary reflects specific National PTSS Notification types.	<b>Dictionary owner:</b> PTSS  <b>Dictionary Name:</b> NotificationTypes  <b>Dictionary authorized values:</b> TaskStatusChanged TaskStatusActive TaskStatusCancelled TaskStatusDeactivated TaskStatusCompleted
<b>8.2 LITask Object</b>		

Clause ETSI TS 103 120 V1.2.1	Available options for Swiss applications	Additional requirements or specifications
8.2.3	<p><b>Status</b> PTSS dictionary reflects specific National PTSS Status.</p>	<p><b>Dictionary owner:</b> PTSS</p> <p><b>Dictionary Name:</b> TaskStatus</p> <p><b>Dictionary authorized values:</b>  <b>AwaitingProvisioning:</b> The Task is approved, but is not yet provisioned in the LI system.  <b>Active:</b> The Task is active and can produce LI traffic.  <b>Rejected:</b> The Task has been explicitly denied or rejected by one or more relevant authorities.  <b>Cancelled:</b> The Task has been permanently cancelled  <b>Expired:</b> The Task date for this Document has passed, meaning that the Task has lapsed.  <b>Error:</b> The Task is not active due to a problem with the underlying LI system:  <b>Invalid:</b> The Task is not active due to a problem with the current information populated in the Task Object.  <b>Deactivated:</b> The Task has been deactivated by the PTSS (i.e. at the end of the Authorisation timespan)</p>

Clause ETSI TS 103 120 V1.2.1	Available options for Swiss applications	Additional requirements or specifications
8.2.4	<p><b>Desired Status</b> PTSS dictionary reflects specific National PTSS DesiredStatus.</p>	<p><b>Dictionary owner:</b> PTSS</p> <p><b>Dictionary Name:</b> TaskDesiredStatus</p> <p><b>Dictionary authorized values:</b>  <b>AwaitingProvisioning:</b> The Task is approved, but is not yet provisioned in the LI system.  <b>Active:</b> The Task is active and can produce LI traffic.  <b>Rejected:</b> The Task has been explicitly denied or rejected by one or more relevant authorities.  <b>Cancelled:</b> The Task has been permanently cancelled  <b>Expired:</b> The Task date for this Document has passed, meaning that the Task has lapsed.  <b>Deactivated:</b> The Task has been deactivated by the PTSS (i.e. at the end of the Authorisation timespan)</p>
8.2.5	<p><b>TimeSpan</b></p>	<p><b>TimeSpan</b> is split in 5 fields detailed below:</p> <p><b>StartTime</b> is populated by the PTSS in the CREATERequest (Activation) with required Lawful Interception start date and time.</p> <p><b>EndTime</b> is populated by the PTSS in the UPDATERequest (Deactivation) with required Lawful Interception stop date and time.</p> <p><b>ProvisioningTime</b> and <b>DeprovisioningTime</b> fields are populated by the CSPs, in the local copy of the LITask, respectively with the LI begin date and time and with the LI end date and time.</p> <p><b>TerminationTime</b> is not used.</p>

Clause ETSI TS 103 120 V1.2.1	Available options for Swiss applications	Additional requirements or specifications
8.2.6	<b>TargetIdentifier</b>	<b>Required fields:</b> TargetIdentifierValues
8.2.8	<b>DeliveryDetails</b>	<b>Required fields:</b> <b>IRIorCC:</b> This structure supports the dictionary entries of the PTSSNationalRequestTypes dictionary. These fields contain references to national LI types taken from the Real-time interception type list (see section 6.2) for lawful interception.
8.2.12	<b>Flags</b>	<b>Dictionary owner:</b> PTSS  <b>Dictionary Name:</b> FlagsStatus  <b>Dictionary authorized values:</b> IsTest Normal Urgent

Table 4-4: ETSI TS 103 120 V1.2.1 requirements and options

#### 4.2.2.8 Format and coding of real-time LI activation and deactivation.

This section provides requirements and information about the different elements composing XML requests for the real-time lawful interception sent by the PTSS to the CSP and the respective responses.

Example of messages below are extracted from a complete lawful Interception activation transaction. The collection of messages below aims to show an example of structure for each kind of message, they don't represent a full transaction.

Action message (Request or Response)			
<b>XML header</b>			
<b>TransactionIdentifier</b>			
<b>Timestamp</b>			
XML payload			

CreateRequest	CreateResponse	ListRequest	ListResponse
<b>XML header section 4.2.2.8.1</b>	<b>XML header section 4.2.2.8.10</b>	<b>XML header section 4.2.2.8.10</b>	<b>XML header section 4.2.2.8.10</b>
ee4165be-4817-11e6-beb8-9e71128cae77	ee4165be-4817-11e6-beb8-9e71128cae77	b8508613-4320-4043-aa7f-2d0d818bbdb5	b8508613-4320-4043-aa7f-2d0d818bbdb5
2016-07-12T12:10:00.000000Z	2016-07-12T12:10:03.000000Z	2016-07-12T15:00:00.000000Z	2016-07-12T15:00:10.000000Z
XML message payload 4.2.2.8.2	XML message payload 4.2.2.8.3	XML message payload 4.2.2.8.4	XML message payload 4.2.2.8.5

GetRequest	GetResponse	UpdateRequest	UpdateResponse
<b>XML header section 4.2.2.8.1</b>	<b>XML header section 4.2.2.8.10</b>	<b>XML header section 4.2.2.8.10</b>	<b>XML header section 4.2.2.8.10</b>
360d1903-5892-434f-87c1-8d33400fce38	360d1903-5892-434f-87c1-8d33400fce38	d8c14821-a4d5-4481-9076-7e3b649c9f66	d8c14821-a4d5-4481-9076-7e3b649c9f66
2016-07-13T15:00:20.000000Z	2016-07-13T15:00:30.000000Z	2016-12-30T12:00:00.000000Z	2016-12-30T12:01:00.000000Z
XML message payload 4.2.2.8.6	XML message payload 4.2.2.8.7	XML message payload 4.2.2.8.8	XML message payload 4.2.2.8.9

Figure 4-6: Format and coding of real-time LI activation and deactivation based on HTTP

4.2.2.8.1 XML of a request/response header (real-time Lawful Interception)

ETSI TS 103 120 V1.2.1 Clause 6.2 Messages header		
Element or attribute	Description	Example
SenderIdentifier/ CountryCode	ISOCountryCode (see ETSI TS 103 280) giving 3166-1 alpha-2 code	CH
SenderIdentifier/ UniqueIdentifier	LongString (see ETSI TS 103 280)	PTSS
ReceiverIdentifier/ CountryCode	See SenderIdentifier	CH
ReceiverIdentifier/ UniqueIdentifier	See SenderIdentifier	99908
TransactionIdentifier	UUID (see ETSI TS 103 280 V1.2.1) in IETF RFC 4122 canonical form	ee4165be-4817-11e6-beb8-9e71128cae77
Timestamp	QualifiedMicrosecondDateTime (see ETSI TS 103 280 V1.2.1)	2016-07-12T12:10:00.000000Z
Version/ ETSIVersion	ShortString of the form "VX.Y.Z" (X gives major version, Y gives minor version, Z gives revision.	V1.2.1
Version/ NationalProfileOwner	National profile owner	PTSS
Version/ NationalProfileVersion	ShortString (see ETSI TS 103 280 V1.2.1)	V1.0.0

Table 4-5: XML of a request/response header for real-time Lawful Interception based on HTTP

4.2.2.8.2 XML of a CreateRequest payload (real-time Lawful Interception).

ETSI TS 103 120 V1.2.1 Clause 6.4		
CreateRequest LITask		
Element or attribute	Description	Example
<b>HI-1 Object</b>		
ObjectIdentifier	UUID according to IETF RFC 4122 canonical form	8a1a0c46-2495-46d5-82c3-1900dcecaaa6
CountryCode	ShortString (see ETSI TS 103 280) and ISO 3166-1 Alpha-2 code	CH
OwnerIdentifier	ShortString (see ETSI TS 103 280 V1.2.1)	PTSS

<b>LITask</b>		
Reference	LIID (see ETSI TS 103 280 V1.2.1).	2016092187654321
DesiredStatus/ common:Owner	TaskDesiredStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	PTSS
DesiredStatus/ common:Name	TaskDesiredStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	TaskDesiredStatus
DesiredStatus/ common:Value	TaskDesiredStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	Active
TimeSpan/ StartTime	QualifiedDateTime (see ETSI TS 103 280 V1.2.1).	2016-07-12T12:10:00+02:00
TargetIdentifier/ TargetIdentifierValues/ FormatType/ FormatOwner	ShortString (see ETSI TS 103 280 V1.2.1).	ETSI
TargetIdentifier/ TargetIdentifierValues/ FormatType/ FormatName	ShortString (see ETSI TS 103 280 V1.2.1).	InternationalE164
TargetIdentifier/ TargetIdentifierValues/ Value	LongString (see ETSI TS 103 280 V1.2.1).	+41598889988
DeliveryDetails/ DeliveryDestination/ IRIorCC/ common:Owner	ShortString (see ETSI TS 103 280 V1.2.1)	PTSS
DeliveryDetails/ DeliveryDestination/ IRIorCC/ common:Name	ShortString (see ETSI TS 103 280 V1.2.1).	PTSSNationalRequestTypes
DeliveryDetails/ DeliveryDestination/ IRIorCC/ common:Value	LongString (see ETSI TS 103 280 V1.2.1).	RT_15_NA_CC+IRI
CSPID/ CountryCode	ReceiverIdentifier	CH
CSPID/ UniqueIdentifier	ReceiverIdentifier	99908
Flags/ TaskFlag/ common:Owner		

	FlagStatus dictionary owned by the PTSS. ShortString (see ETSI TS 103 280 V1.2.1).	PTSS
Flags/ TaskFlag/ common:Name	FlagStatus dictionary owned by the PTSS. ShortString (see ETSI TS 103 280 V1.2.1).	TaskStatus
Flags/ TaskFlag/ common :Value	FlagStatus dictionary owned by the PTSS. ShortString (see ETSI TS 103 280 V1.2.1).	Normal

**Table 4-6: XML of a CreateRequest payload**

4.2.2.8.3 XML of a CreateResponse payload (real-time Lawful Interception)

ETSI TS 103 120 V1.2.1 Clause 6.4		
<b>Create response LITask</b>		
<b>Element or attribute</b>	<b>Description</b>	<b>Example</b>
<b>HI-1 Object</b>		
ObjectIdentifier	UUID according to IETF RFC 4122 canonical form	8a1a0c46-2495-46d5-82c3-1900dcecaaa6
Generation	Positive integer	1
LastChanged	QualifiedDateTime (see ETSI TS 103 280 V1.2.1)	2016-07-12T12:10:00+02:00
<b>LITask</b>		
Reference	LIID (see ETSI TS 103 280 V1.2.1).	2016092187654321
Status/ common:Owner	TaskStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	PTSS
Status/ common:Name	TaskStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	TaskStatus
Status/ common:Value	TaskStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	AwaitingProvisioning

**Table 4-7: XML of a CreateResponse payload**

4.2.2.8.4 XML of a ListRequest payload (real-time Lawful Interception)

ETSI TS 103 120 V1.2.1 Clause 6.4		
List request Notification		
Element or attribute	Description	Example
<b>LIST</b>		
ObjectType/ common:Owner	ObjectType Dictionary entry (ETSI TS 103 120 V1.2.1)	ETSI
ObjectType/ common:Name	ObjectType Dictionary entry (ETSI TS 103 120 V1.2.1)	ObjectTypeDictionary
ObjectType/ common:Value	ObjectType Dictionary entry (ETSI TS 103 120 V1.2.1)	Notification

Table 4-8: XML of a ListRequest payload

4.2.2.8.5 XML of a ListResponse payload (real-time Lawful Interception)

ETSI TS 103 120 V1.2.1 Clause 6.4		
List response Notification		
Element or attribute	Description	Example
<b>LISTResponse</b>		
ObjectType/ common:Owner	ObjectType Dictionary entry (ETSI TS 103 120 V1.2.1)	ETSI
ObjectType/ common:Name	ObjectType Dictionary entry (ETSI TS 103 120 V1.2.1 § 6.4.8)	ObjectTypeDictionary
ObjectType/ common:Value	ObjectType Dictionary entry (ETSI TS 103 120 V1.2.1 § 6.4.8)	Notification
ObjectIdentifier	UUID according to IETF RFC 4122 canonical form	4804bdd0-c4d8-41c3-847a-4b5154864beb
CountryCode	ShortString (see ETSI TS 103 280 V1.2.1) and ISO 3166-1 Alpha-2 code	CH
OwnerIdentifier	ShortString (see ETSI TS 103 280 V1.2.1)	99908
Generation	Positive integer	2
LastChanged	QualifiedDateTime (see ETSI TS 103 280 V1.2.1)	2016-07-12T13:30:00+02:00

Table 4-9: XML of a ListResponse payload

4.2.2.8.6 XML of a GetRequest payload (real-time Lawful Interception)

ETSI TS 103 120 V1.2.1 Clause 6.4		
Get request Notification		
Element or attribute	Description	Example
<b>GETRequest</b>		
ObjectIdentifier	UUID according to IETF RFC 4122 canonical form	4804bdd0-c4d8-41c3-847a-4b5154864beb

Table 4-10: XML of a GetRequest payload

4.2.2.8.7 XML of a GetResponse payload (real-time Lawful Interception)

ETSI TS 103 120 V1.2.1 Clause 6.4		
Get response Notification		
Element or attribute	Description	Example
<b>HI-1 Object</b>		
ObjectIdentifier	UUID according to IETF RFC 4122 canonical form	4804bdd0-c4d8-41c3-847a-4b5154864beb
Generation	Positive integer	3
AssociatedObjects/ AssociatedObject	List of ObjectIdentifiers: UUID according to IETF RFC 4122 canonical form	8a1a0c46-2495-46d5-82c3-1900dcecaaa6
LastChanged	QualifiedDateTime (see ETSI TS 103 280 V1.2.1)	2016-07-13T15:00:20+02:00
<b>Notification</b>		
NotificationType/ common:Owner	NotificationType PTSS proprietary dictionary entry and ShortString	PTSS
NotificationType/ common:Name	NotificationType PTSS proprietary dictionary entry and ShortString	NotificationType
NotificationType/ common:Value	NotificationType PTSS proprietary dictionary entry and ShortString	TaskStatusChange
NewNotification	Boolean	True
NotificationTimestamp	QualifiedDateTime (see ETSI TS 103 280 V1.2.1)	2016-07-12T13:30:00+02:00

Table 4-11: XML of a GetResponse payload

4.2.2.8.8 XML of an UpdateRequest payload (real-time Lawful Interception)

ETSI TS 103 120 V1.2.1 Clause 6.4 Action Request and Responses		
Update request LITask		
Element or attribute	Description	Example
<b>HI-1 Object</b>		
ObjectIdentifier	UUID according to IETF RFC 4122 canonical form	8a1a0c46-2495-46d5-82c3-1900dcecaaa6
<b>LITask</b>		
Reference	LIID (see ETSI TS 103 280 V1.2.1).	2016092187654321
DesiredStatus/ common:Owner	TaskDesiredStatus PTSS proprietary dictionary entry and ShortString	PTSS
DesiredStatus/ common:Name	TaskDesiredStatus PTSS proprietary dictionary entry and ShortString	TaskDesiredStatus
DesiredStatus/ common:Value	TaskDesiredStatus PTSS proprietary dictionary entry and ShortString	Deactivated
TimeSpan/ EndTime	QualifiedDateTime (see ETSI TS 103 280 V1.2.1).	2016-12-31T23:59:59+02:00

Table 4-12: XML of an UpdateRequest payload

#### 4.2.2.8.9 XML of an UpdateResponse payload (real-time Lawful Interception)

ETSI TS 103 120 V1.2.1 Clause 6.4		
Update response LITask		
Element or attribute	Description	Example
<b>UPDATEResponse</b>		
Identifier	Object Identifier UUID according to IETF RFC 4122 canonical form	8a1a0c46-2495-46d5-82c3-1900dcecaaa6
<b>HI-1 Object</b>		
ObjectIdentifier	UUID according to IETF RFC 4122 canonical form	8a1a0c46-2495-46d5-82c3-1900dcecaaa6
Generation	Positive integer	4
LastChanged	QualifiedDateTime (see ETSI TS 103 280 V1.2.1)	2016-12-30T12:00:00+02:00
<b>LITask</b>		
Reference	LIID (see ETSI TS 103 280 V1.2.1)	2016092187654321

Table 4-13: XML of an UpdateResponse payload

#### 4.2.2.9 Applicable XML schema version for real-time interception orders

Any superior version can be adopted from the CSP for better performances. This must be agreed with PTSS in order to ensure the compatibility with the actual Retained Data Component systems, and this requires a new compliance assessment.

Applicable XML Schema	Requirement or instruction for application
<b>ETSI TS 103 120 V1.2.1</b>	
XML Schema (xsd)	ts_103120v010201p0_Common.xsd
	ts_103120v010201p0_Core.xsd
	ts_103120v010201p0_Dictionaries.xsd
	ts_103120v010201p0_LITask.xsd
	ts_103120v010201p0_Notification.xsd
	_PTSSNationalProfile.xsd
XML file	ts_103120v010201p0_ETSIDictionaryDefinitions.xml
	_PTSS_DictionaryDefinitions V1.0.0.xml
<b>ETSI TS 103 280 V1.2.1</b>	
XML Schema (xsd)	ts_103280v010101p0.xsd

Table 4-14: Applicable XML schema version for real-time interception orders

### 4.2.3 Ad hoc HI-1 XML over HTTP administrative interface for ordering the real-time interceptions

#### 4.2.3.1 Ad hoc HI-1 interface solution overview

The solution detailed in the section below proposes a somewhat simplified process and interface (HI-1) to order real-time interceptions in comparison to the comprehensive implementation of the HI-1 eWarrant specified in section 4.2.2. As this ad hoc interface does not use the LIST and GET and Notification messages the consequence is that this ad hoc interface solution provides a more limited control on the ordering process.

This ad hoc interface uses most of the building blocks of the specification ETSI TS 103 120 V1.2.1 such as the LITask objects and the XML messages and the transport network. However, the ad hoc interface requires the CSP to send an UPDATERequest toward the PTSS and the PTSS to send an UPDATEResponse toward the CSP with the following adaptations:

- a) In the UPDATERequest the CSP will have to send a "DesiredStatus" instead of a "Status" towards the PTSS as specified in ETSI TS 103 120 V1.2.1 UPDATERequest message specification.
- b) In the UPDATEResponse the PTSS shall omit the "LastChange" and "Generation" parameters.

#### 4.2.3.2 Ad hoc HI-1 interface transport security

Provisions of the section 4.2.2.1 regarding transport and security remain valid for the exchange on ad hoc interface (XML messages via HTTPS including the elements referring to ETSI TS 103 120 V1.2.1).

#### 4.2.3.3 Ad hoc HI-1 interface action messages used for a real-time interception order process

Action messages defined for the ad hoc interface that are used by the LITask management processes.

Action Requests	Action Responses
Create	CreateResponse
Update	UpdateResponse
	Error Information

Table 4-15: Action messages used by the ad hoc HI-1 interface via HTTP

#### 4.2.3.4 Ad hoc HI-1 interface: Message flow for a real-time interception activation order

This section provides an overview of the message flow for an ad hoc real-time interception activation order.

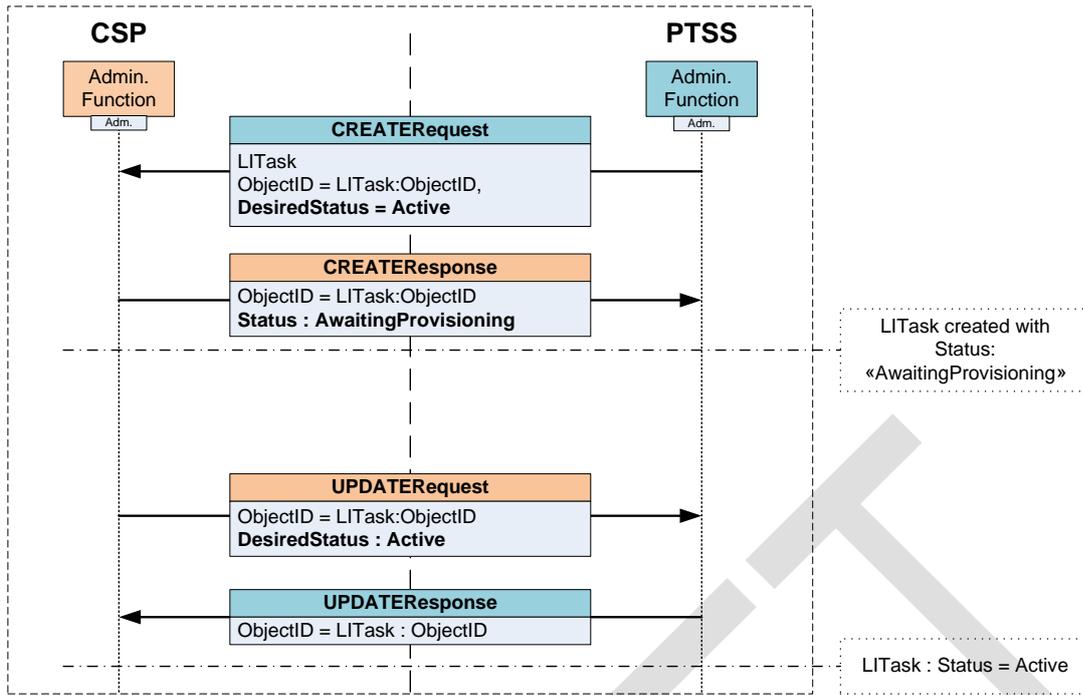


Figure 4-7: Message flow for a real-time interception activation order based on ad hoc HI1 interface

#### 4.2.3.5 Ad hoc HI-1 interface: Message flow for a real-time interception deactivation order

This section provides an overview of the message flow for an ad hoc real-time interception deactivation order.

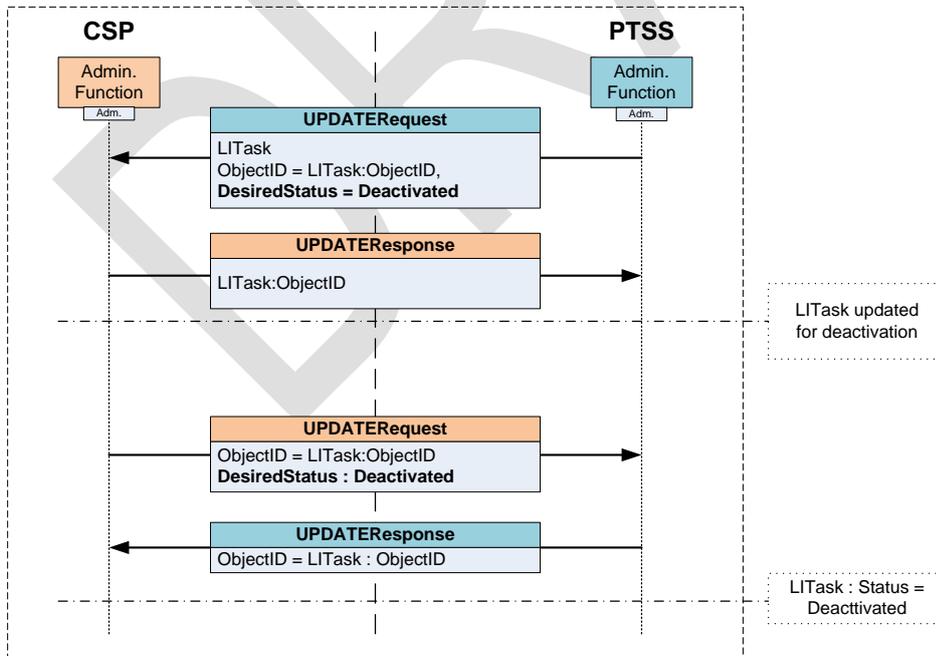


Figure 4-8: Message flow for a real-time interception deactivation order based on ad hoc HI1 interface

#### 4.2.3.6 Ad hoc HI-1 interface: Message flow for a real-time interception cancellation order

This section provides an overview of the message flow for ad hoc real-time interception cancellation order.

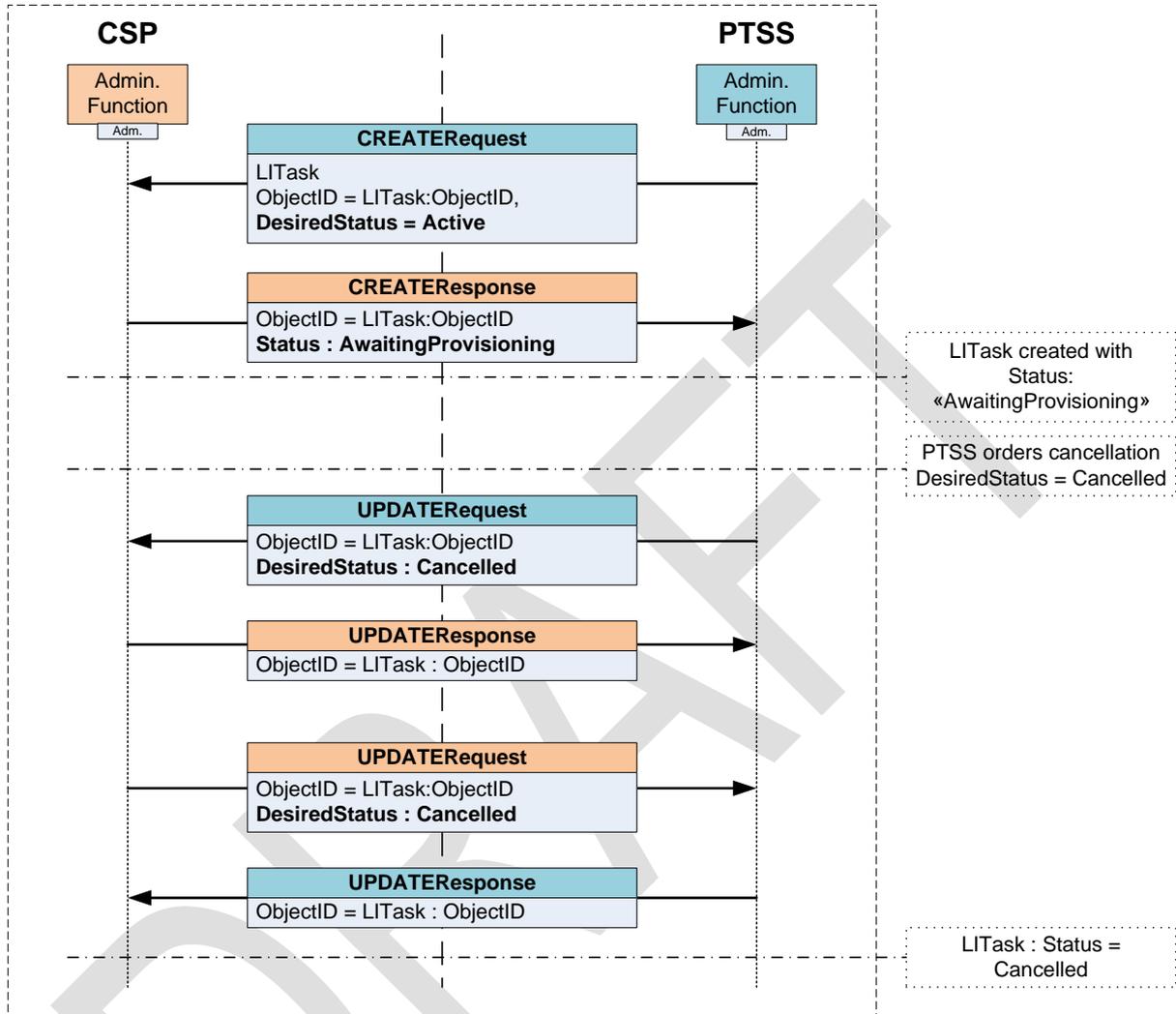


Figure 4-9: Message flow for a real-time interception cancellation order based on ad hoc HI1 interface

#### 4.2.3.7 Ad hoc HI-1 interface: Message flow in case of error/invalid of real-time interception order

This section provides an overview of the message flow in case of error or invalidity of an ad hoc real-time interception order.

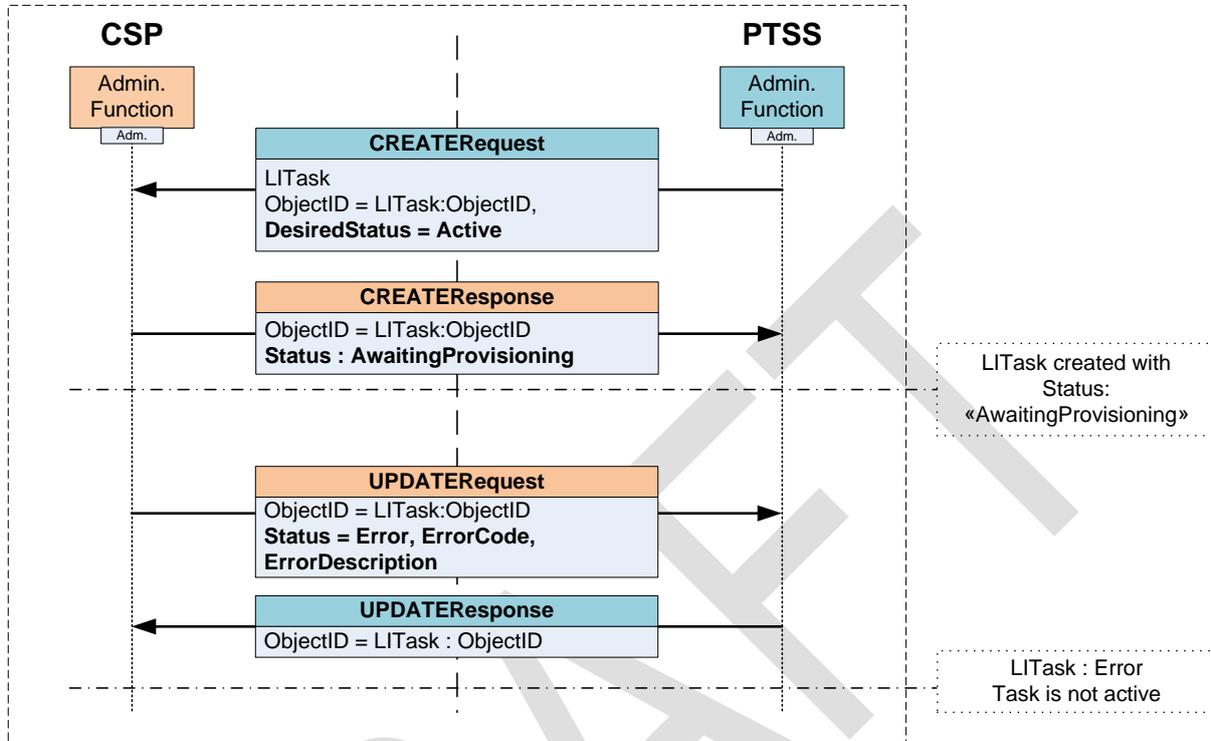


Figure 4-10: Message flow for a real-time interception order in case of error based on ad hoc HI1 interface

#### 4.2.3.8 Ad hoc HI1 interface: ETSI TS 103 120 V1.2.1 requirements and options

The table below present the Swiss national options for the implementation of the ad hoc HI1 interface according to the ETSI TS 103 120 and exchange of simple XML message via HTTPS process.

Clause ETSI TS 103 120 V1.2.1	Available options for Swiss applications	Additional requirements or specifications
<b>6.2 Messages header</b>		
6.2.3 Version	<b>NationalProfileOwner:</b> National profile owner.	PTSS
	<b>NationalProfileVersion:</b> (see ETSI TS 103 280 V1.2.1)	Currently V1.0.0
6.2.4	<b>Uniquelidentifier:</b> Unique identifier sufficient for identifying the object/field within the country. LongString (see ETSI TS 103 280 V1.2.1)	PTSS for PTSS and the CSPID for CSPs. CSPID is a 5-digit code allocated by the PTSS to each CSP in Switzerland.
<b>6.4 Action Request and Responses (request payload)</b>		

Clause ETSI TS 103 120 V1.2.1	Available options for Swiss applications	Additional requirements or specifications
6.4.6	<b>CREATE Request</b>	List of the HI-1 Object fields required in the CREATE Request Messages:  <b>HI-1 object required fields:</b> ObjectIdentifier CountryCode OwnerIdentifier  <b>LITask object required fields:</b> Reference DesiredStatus TimeSpan TargetIdentifier DeliveryDetails CSPID Flags
	<b>CREATE Response</b>	List of the HI-1 Object fields required in the CREATE Response Messages:  <b>HI-1 object required fields:</b> ObjectIdentifier Generation LastChanged  <b>LITask object required fields:</b> Reference Status
6.4.7	<b>UPDATE Request</b>	List of the HI-1 Object fields required in the UPDATE Request Messages:  <b>HI-1 object required fields:</b> ObjectIdentifier  <b>LITask object required fields:</b> Reference DesiredStatus TimeSpan
	<b>UPDATE Response</b>	List of the HI-1 Object fields required in UPDATE Response Messages:  <b>HI-1 object required fields:</b> ObjectIdentifier Generation* LastChanged*  <b>LITask object required fields:</b> Reference  *Only used when the message is sent by the CSP

Clause ETSI TS 103 120 V1.2.1	Available options for Swiss applications	Additional requirements or specifications
6.4.9	<b>Action Unsuccessful Information</b>	<b>ErrorCode</b> and <b>ErrorInformation</b> shall match values of table D.1 “Detailed error codes” of ETSI TS 103 120 V1.2.1 Annex D.
<b>8.2 LITask Object</b>		
8.2.3	<b>Status</b> PTSS dictionary reflects specific National PTSS Status.	<b>Dictionary owner:</b> PTSS  <b>Dictionary Name:</b> TaskStatus  <b>Dictionary authorized values:</b> <b>AwaitingProvisioning:</b> The Task is approved, but is not yet provisioned in the LI system.  <b>Active:</b> The Task is active and can produce LI traffic.  <b>Rejected:</b> The Task has been explicitly denied or rejected by one or more relevant authorities.  <b>Cancelled:</b> The Task has been permanently cancelled  <b>Expired:</b> The Task date for this Document has passed, meaning that the Task has lapsed.  <b>Error:</b> The Task is not active due to a problem with the underlying LI system:  <b>Invalid:</b> The Task is not active due to a problem with the current information populated in the Task Object.  <b>Deactivated:</b> The Task has been deactivated by the PTSS (i.e. at the end of the Authorization timespan)
8.2.4	<b>Desired Status</b> PTSS dictionary reflects specific National PTSS DesiredStatus.	<b>Dictionary owner:</b> PTSS  <b>Dictionary Name:</b> TaskDesiredStatus  <b>Dictionary authorized values:</b> <b>AwaitingProvisioning:</b> The Task is approved, but is not yet provisioned in the LI system.

Clause ETSI TS 103 120 V1.2.1	Available options for Swiss applications	Additional requirements or specifications
		<p><b>Active:</b> The Task is active and can produce LI traffic.</p> <p><b>Rejected:</b> The Task has been explicitly denied or rejected by one or more relevant authorities.</p> <p><b>Cancelled:</b> The Task has been permanently cancelled</p> <p><b>Expired:</b> The Task date for this Document has passed, meaning that the Task has lapsed.</p> <p><b>Deactivated:</b> The Task has been deactivated by the PTSS (i.e. at the end of the Authorization timespan)</p>
8.2.5	<b>TimeSpan</b>	<p><b>TimeSpan</b> is split in 5 fields detailed below:</p> <p><b>StartTime</b> is populated by the PTSS in the CREATERequest (Activation) with required Lawful Interception start date and time.</p> <p><b>EndTime</b> is populated by the PTSS in the UPDATERequest (Deactivation) with required Lawful Interception stop date and time.</p> <p><b>ProvisioningTime</b> and <b>DeprovisioningTime</b> fields are populated by the CSPs, in the local copy of the LITask, respectively with the LI begin date and time and with the LI end date and time.</p> <p><b>TerminationTime</b> is not used.</p>
8.2.6	<b>TargetIdentifier</b>	<p><b>Required fields:</b> TargetIdentifierValues</p>
8.2.8	<b>DeliveryDetails</b>	<p><b>Required fields:</b> <b>IRIorCC:</b> This structure support the dictionary entries of the PTSSNationalRequestTypes dictionary. These fields contain references to national LI types taken from the Real-time surveillance type list (see</p>

Clause ETSI TS 103 120 V1.2.1	Available options for Swiss applications	Additional requirements or specifications
		section 6.2) for lawful interception.
8.2.12	<b>Flags</b>	<b>Dictionary owner:</b> PTSS  <b>Dictionary Name:</b> FlagsStatus  <b>Dictionary authorized values:</b> IsTest Normal Urgent

**Table 4-16: Ad hoc HI-1 interface ETSI TS 103 120 V1.2.1 requirements and options**

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#### 4.2.3.9 Ad hoc HI-1 interface format and coding of real-time simple LI activation and deactivation.

This section provides requirements and information about the different elements composing XML messages for the real-time lawful interception simple process exchanged over the ad hoc HI-1 interface by the PTSS and CSP.

Example of messages below are extracted from a complete lawful Interception activation transaction. The collection of messages below aims to show an example of structure for each kind of message, they don't represent a full transaction.

Action message (Request or Response)			
<b>XML header</b>			
<b>TransactionIdentifier</b>			
<b>Timestamp</b>			
XML payload			
<b>CreateRequest</b>	<b>CreateResponse</b>	<b>UpdateRequest*</b>	<b>UpdateResponse*</b>
<b>XML header section 4.2.2.8.1</b>	<b>XML header section 4.2.2.8.1</b>	<b>XML header section 4.2.2.8.1</b>	<b>XML header section 4.2.2.8.1</b>
ee4165be-4817-11e6- beb8-9e71128cae77	ee4165be-4817-11e6- beb8-9e71128cae77	ee4165be-4817-11e6- beb8-9e71128cae77	ee4165be-4817-11e6- beb8-9e71128cae77
2016-07- 12T12:10:00.000000Z	2016-07- 12T12:10:03.000000Z	2016-07- 13T12:00:00.000000Z	2016-07- 13T12:00:10.000000Z
XML message payload 0	XML message payload 0	XML message payload 4.2.3.9.3	XML message payload 4.2.3.9.4
<b>UpdateRequest</b>	<b>UpdateResponse</b>	<b>UpdateRequest*</b>	<b>UpdateResponse*</b>
<b>XML header section 4.2.2.8.1</b>	<b>XML header section 4.2.2.8.1</b>	<b>XML header section 4.2.2.8.1</b>	<b>XML header section 4.2.2.8.1</b>
d8c14821-a4d5-4481- 9076-7e3b649c9f66	d8c14821-a4d5-4481- 9076-7e3b649c9f66	d8c14821-a4d5-4481- 9076-7e3b649c9f66	d8c14821-a4d5-4481- 9076-7e3b649c9f66
2016-12- 30T12:00:00.000000Z	2016-12- 31T12:04:00.000000Z	2016-12- 31T15:01:00.000000Z	2016-12- 31T15:10:00.000000Z
XML message payload 4.2.3.9.5	XML message payload 4.2.3.9.6	XML message payload 4.2.3.9.7	XML message payload 4.2.3.9.8

**Figure 4-11: Format and coding of real-time LI activation and deactivation based on HTTP**

\*Permutation of sender and receiver (PTSS=Receiver and CSP=Sender)

4.2.3.9.1 Ad hoc HI-1 interface XML of a CreateRequest payload (real-time simple LI activation).

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ETSI TS 103 120 V1.2.1 Clause 6.4		
CreateRequest LITask		
Element or attribute	Description	Example
<b>HI-1 Object</b>		
ObjectIdentifier	UUID according to IETF RFC 4122 canonical form	ee4165be-4817-11e6-beb8-9e71128cae77
CountryCode	ShortString (see ETSI TS 103 280 V1.2.1) and ISO 3166-1 Alpha-2 code	CH
OwnerIdentifier	ShortString (see ETSI TS 103 280 V1.2.1)	PTSS
<b>LITask</b>		
Reference	LIID (see ETSI TS 103 280 V1.2.1).	2016092187654321
DesiredStatus/ common:Owner	TaskDesiredStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	PTSS
DesiredStatus/ common:Name	TaskDesiredStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	TaskDesiredStatus
DesiredStatus/ common:Value	TaskDesiredStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	Active
TimeSpan/ StartTime	QualifiedDateTime (see ETSI TS 103 280 V1.2.1).	2016-07-13T12:10:00+02:00
TargetIdentifier/ TargetIdentifierValues/ FormatType/ FormatOwner	ShortString (see ETSI TS 103 280 V1.2.1).	ETSI
TargetIdentifier/ TargetIdentifierValues/ FormatType/ FormatName	ShortString (see ETSI TS 103 280 V1.2.1).	InternationalE164
TargetIdentifier/ TargetIdentifierValues/ Value	LongString (see ETSI TS 103 280 V1.2.1).	+41598889988
DeliveryDetails/ DeliveryDestination/ IRIorCC/ common:Owner	ShortString (see ETSI TS 103 280 V1.2.1)	PTSS
DeliveryDetails/ DeliveryDestination/ IRIorCC/ common:Name		

	ShortString (see ETSI TS 103 280 V1.2.1).	PTSSNationalRequestTypes
DeliveryDetails/ DeliveryDestination/ IRIorCC/ common:Value	LongString (see ETSI TS 103 280 V1.2.1).	RT_15_NA_CC+IRI
CSPID/ CountryCode	ReceiverIdentifier	CH
CSPID/ UniqueIdentifier	ReceiverIdentifier	99908
Flags/ TaskFlag/ common:Owner	FlagStatus dictionary owned by the PTSS. ShortString (see ETSI TS 103 280 V1.2.1).	PTSS
Flags/ TaskFlag/ common:Name	FlagStatus dictionary owned by the PTSS. ShortString (see ETSI TS 103 280 V1.2.1).	FlagStatus
Flags/ TaskFlag/ common :Value	FlagStatus dictionary owned by the PTSS. ShortString (see ETSI TS 103 280 V1.2.1).	Normal

Table 4-17: Ad hoc HI-1 interface XML of a simple CreateRequest payload

4.2.3.9.2 Ad hoc HI-1 interface XML of a simple CreateResponse payload (real-time simple LI activation)

ETSI TS 103 120 V1.2.1 Clause 6.4		
Create response LITask		
Element or attribute	Description	Example
<b>HI-1 Object</b>		
ObjectIdentifier	UUID according to IETF RFC 4122 canonical form	ee4165be-4817-11e6-beb8-9e71128cae77
Generation	Positive integer	1
LastChanged	QualifiedDateTime (see ETSI TS 103 280 V1.2.1)	2016-07-13T12:10:03+02:00
<b>LITask</b>		
Reference	LIID (see ETSI TS 103 280 V1.2.1).	2016092187654321
Status/ common:Owner	TaskStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	PTSS
Status/ common:Name	TaskStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	TaskStatus
Status/ common:Value	TaskStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	AwaitingProvisioning

**Table 4-18: Ad hoc HI-1 interface XML of a CreateResponse payload (Simple LI process)**

4.2.3.9.3 Ad hoc HI-1 interface XML of a UpdateRequest payload (real-time simple LI activation)

ETSI TS 103 120 V1.2.1 Clause 6.4 Action Request and Responses		
Update request LITask		
Element or attribute	Description	Example
<b>HI-1 Object</b>		
ObjectIdentifier	UUID according to IETF RFC 4122 canonical form	ee4165be-4817-11e6-beb8-9e71128cae77
<b>LITask</b>		
Reference	LIID (see ETSI TS 103 280 V1.2.1).	2016092187654321
DesiredStatus/ common:Owner	TaskDesiredStatus PTSS proprietary dictionary entry and ShortString	PTSS
DesiredStatus/ common:Name	TaskDesiredStatus PTSS proprietary dictionary entry and ShortString	TaskDesiredStatus
DesiredStatus/ common:Value	TaskDesiredStatus PTSS proprietary dictionary entry and ShortString	Active

Table 4-19: Ad hoc HI-1 interface XML of a simple UpdateRequest payload (sent by CSP)

4.2.3.9.4 Ad hoc HI-1 interface XML of an UpdateResponse payload (real-time simple LI activation)

ETSI TS 103 120 V1.2.1 Clause 6.4		
Update response LITask		
Element or attribute	Description	Example
<b>UPDATEResponse</b>		
Identifier	Object Identifier UUID according to IETF RFC 4122 canonical form	ee4165be-4817-11e6-beb8-9e71128cae77
<b>HI-1 Object</b>		
ObjectIdentifier	UUID according to IETF RFC 4122 canonical form	ee4165be-4817-11e6-beb8-9e71128cae77
<b>LITask</b>		
Reference	LIID (see ETSI TS 103 280 V1.2.1)	2016092187654321

Table 4-20: Ad hoc HI-1 interface XML of a simple UpdateResponse payload (Sent by PTSS)

4.2.3.9.5 Ad hoc HI-1 interface XML of an UpdateRequest payload (real-time simple LI deactivation)

ETSI TS 103 120 V1.2.1 Clause 6.4 Action Request and Responses		
Update request LITask		
Element or attribute	Description	Example
<b>HI-1 Object</b>		
ObjectIdentifier	UUID according to IETF RFC 4122 canonical form	d8c14821-a4d5-4481-9076-7e3b649c9f66
<b>LITask</b>		
Reference	LIID (see ETSI TS 103 280 V1.2.1).	2016092187654321
DesiredStatus/ common:Owner	TaskDesiredStatus PTSS proprietary dictionary entry and ShortString	PTSS
DesiredStatus/ common:Name	TaskDesiredStatus PTSS proprietary dictionary entry and ShortString	TaskDesiredStatus
DesiredStatus/ common:Value	TaskDesiredStatus PTSS proprietary dictionary entry and ShortString	Deactivated
TimeSpan/ EndTime	QualifiedDateTime (see ETSI TS 103 280 V1.2.1).	2016-12-30T12:00:00+01:00

Table 4-21: Ad hoc HI-1 interface XML of a simple UpdateRequest payload

4.2.3.9.6 Ad hoc HI-1 interface XML of an UpdateResponse payload (real-time simple LI deactivation)

ETSI TS 103 120 V1.2.1 Clause 6.4		
Update response LITask		
Element or attribute	Description	Example
<b>UPDATEResponse</b>		
Identifier	Object Identifier UUID according to IETF RFC 4122 canonical form	d8c14821-a4d5-4481-9076-7e3b649c9f66
<b>HI-1 Object</b>		
ObjectIdentifier	UUID according to IETF RFC 4122 canonical form	d8c14821-a4d5-4481-9076-7e3b649c9f66
Generation	Positive integer	2
LastChanged	QualifiedDateTime (see ETSI TS 103 280 V1.2.1)	2016-12-30T14:30:00+01:00
<b>LITask</b>		
Reference	LIID (see ETSI TS 103 280 V1.2.1)	2016092187654321

Table 4-22: Ad hoc HI-1 interface XML of a simple UpdateResponse payload

4.2.3.9.7 Ad hoc HI-1 interface XML of a UpdateRequest payload (real-time simple LI deactivation)

ETSI TS 103 120 V1.2.1 Clause 6.4 Action Request and Responses		
Update request LITask		
Element or attribute	Description	Example
<b>HI-1 Object</b>		
ObjectIdentifier	UUID according to IETF RFC 4122 canonical form	d8c14821-a4d5-4481-9076-7e3b649c9f66
<b>LITask</b>		
Reference	LIID (see ETSI TS 103 280 V1.2.1).	2016092187654321
DesiredStatus/ common:Owner	TaskDesiredStatus PTSS proprietary dictionary entry and ShortString	PTSS
DesiredStatus/ common:Name	TaskDesiredStatus PTSS proprietary dictionary entry and ShortString	TaskDesiredStatus
DesiredStatus/ common:Value	TaskDesiredStatus PTSS proprietary dictionary entry and ShortString	Deactivated

Table 4-23: Ad hoc HI-1 interface XML of a simple UpdateRequest payload (sent by CSP)

4.2.3.9.8 Ad hoc HI-1 interface XML of an UpdateResponse payload (real-time simple LI deactivation)

ETSI TS 103 120 V1.2.1 Clause 6.4		
Update response LITask		
Element or attribute	Description	Example
<b>UPDATEResponse</b>		
Identifier	Object Identifier UUID according to IETF RFC 4122 canonical form	d8c14821-a4d5-4481-9076-7e3b649c9f66
<b>HI-1 Object</b>		
ObjectIdentifier	UUID according to IETF RFC 4122 canonical form	d8c14821-a4d5-4481-9076-7e3b649c9f66
<b>LITask</b>		
Reference	LIID (see ETSI TS 103 280 V1.2.1)	2016092187654321

**Table 4-24: Ad hoc HI-1 interface XML of a simple UpdateResponse payload (Sent by PTSS)**

4.2.3.10 Applicable XML schema version for real-time interception orders on the ad hoc HI1 interface

The XML schema versions are identical to the ones stated in section 4.2.2.9.

**4.2.4 HI-A XML over HTTP administrative interface for ordering retained data (HI-A ETSI TS 102 657 V1.17.1)**

Based on ETSI TS 102 657 V1.17.1 clause 4.5, HI-A can use the encoding and delivery format XML over HTTP. The requirements and options for this handover interface are detailed in the section 7.5.

Retained data orders encompass the historical data (aka retroactive interception) (see section 7) and the information requests (see section 8).

**4.2.4.1 Transport security**

Implementations shall support HTTPS as defined in IETF RFC 2818, including the support for mutual authentication through bidirectional certificate usage.

The exchange of the certificates and security requirements (such as key management, key length and the choice of cryptographic algorithm) is an implementation issue and shall be agreed bilaterally between the PTSS and the CSP.

**4.2.4.2 Message flow for XML over HTTP order processing for retained data**

The content of the XML requests are specified in the sections 7.3 and 7.4 for the historical data and the sections 8.3 and 8.4 for the Information Requests.

#### 4.2.4.2.1 Message flow for successful email order processing for retained data

The message flow of the XML over HTTP interception orders for retained data exchange between the PTSS and CSP in case of successful processing is according to ETSI TS 102 657 V1.17.1 clause 5.2.1 (flows noted HI-A).

#### 4.2.4.2.2 Message flow for errors or failed XML over HTTP order processing for retained data

The message flow of the XML over HTTP interception orders for retained data exchange between the PTSS and CSP in case of errors and failed processing is according to ETSI TS 102 657 V1.17.1 clause 5.1.5 and the specific requirements described in the section 7.5.

#### 4.2.4.2.3 Message flow for cancellation XML over HTTP order processing for retained data

The message flow of the XML over HTTP interception orders for retained data exchange between the PTSS and CSP in case of cancellation processing is according to ETSI TS 102 657 V1.17.1 clause 5.2.2 and the specific requirements described in the section 7.5.

## 4.3 Secure Email Exchange

### 4.3.1 General

The administrative interface using secure email may be used to support several administrative processes as mentioned in the tables above in 4.1. In addition, the secure email can also be used to transport results of retroactive interception and information requests results.

### 4.3.2 Secure email with OpenPGP and keys management

The secure email interface uses OpenPGP as specified in the RFC 4880 with the specific requirements and options indicated below:

1. Keys must have at least a 2048-bit size and a validity of at least 3 years.
2. PGP signed and encrypted (email body or email attachments) must be encoded in ASCII armor. (with the suffix .asc)
3. Data (email body and/or attachments) must be first OpenPGP signed and then OpenPGP encrypted. This process can occur in one step or two steps. The whole email must not be signed nor encrypted.
4. Each organisation (CSP and PTSS) is responsible for the generation and the management of its own OpenPGP certificates and related private and public keys. Each organisation shall exchange its public key only and perform the check of the key's fingerprint via another channel than email. For instance by phone.
5. Before the keys expire the PTSS and CSP are responsible for generating new keys and to inform the other party at least 30 calendar days in advance. If a key has been revoked a new key must immediately be generated and sent to the other party.

### 4.3.3 Secure email for interception orders and information requests

In order to support the use of secure email for processing the interception orders the following requirements must be met:

Dedicated email addresses must be created and maintained by each organisation. This email address shall only be used for processing interception orders. These specific email addresses and corresponding public keys are exchanged bilaterally between the PTSS and each CSP.

- The CSP email address must follow the format `LI_monitor@csp-domain`. The CSP shall generate and maintain the corresponding OpenPGP key pair for this address.
- The PTSS maintain several email addresses to process orders and generate and maintain the corresponding OpenPGP key pairs.

There are two different types of orders that can be sent via secure email by the PTSS:

- i) Orders for real-time interceptions that are using a subset of the HI-1 eWarrant defined in ETSI TS 103 120 V1.2.1, see section 4.3.3.1
- ii) Orders for historical data and information requests that are using the retained data handover interface HI-A defined in ETSI TS 102 657 V1.17.1, see section 4.3.3.2

#### 4.3.3.1 Message flow for secure email order processing for real-time interceptions

The contents of the interception orders via secure email include an XML file that is based on ETSI TS 103 120 V1.2.1 and an order form that is more easily readable by non technical personnel.

The ETSI TS 103 120 V1.2.1 based XML structure and elements are described in details in section 4.2.2.8.

##### 4.3.3.1.1 Message flow for email activation order processing for real-time interceptions

The following figure shows the message flow of the secure email interception activation orders for real-time interceptions exchange between the PTSS and CSP in case of successful processing.



- ③ Once the interception order has been activated the CSP sends back the filled-in order form as a signed and encrypted attachment. The CSP shall use the CREATEResponse action in the XML file (see details in section 4.3.3.1.5).
- ④ PTSS will acknowledge the reception of the filled in order form to the CSP by sending a simple email response with no content. No signing and encryption is necessary for this step.

#### 4.3.3.1.2 Message flow for email deactivation order processing for real-time interceptions

The following figure shows the message flow of the secure email interception deactivation orders for real-time interceptions exchange between the PTSS and CSP in case of successful processing.

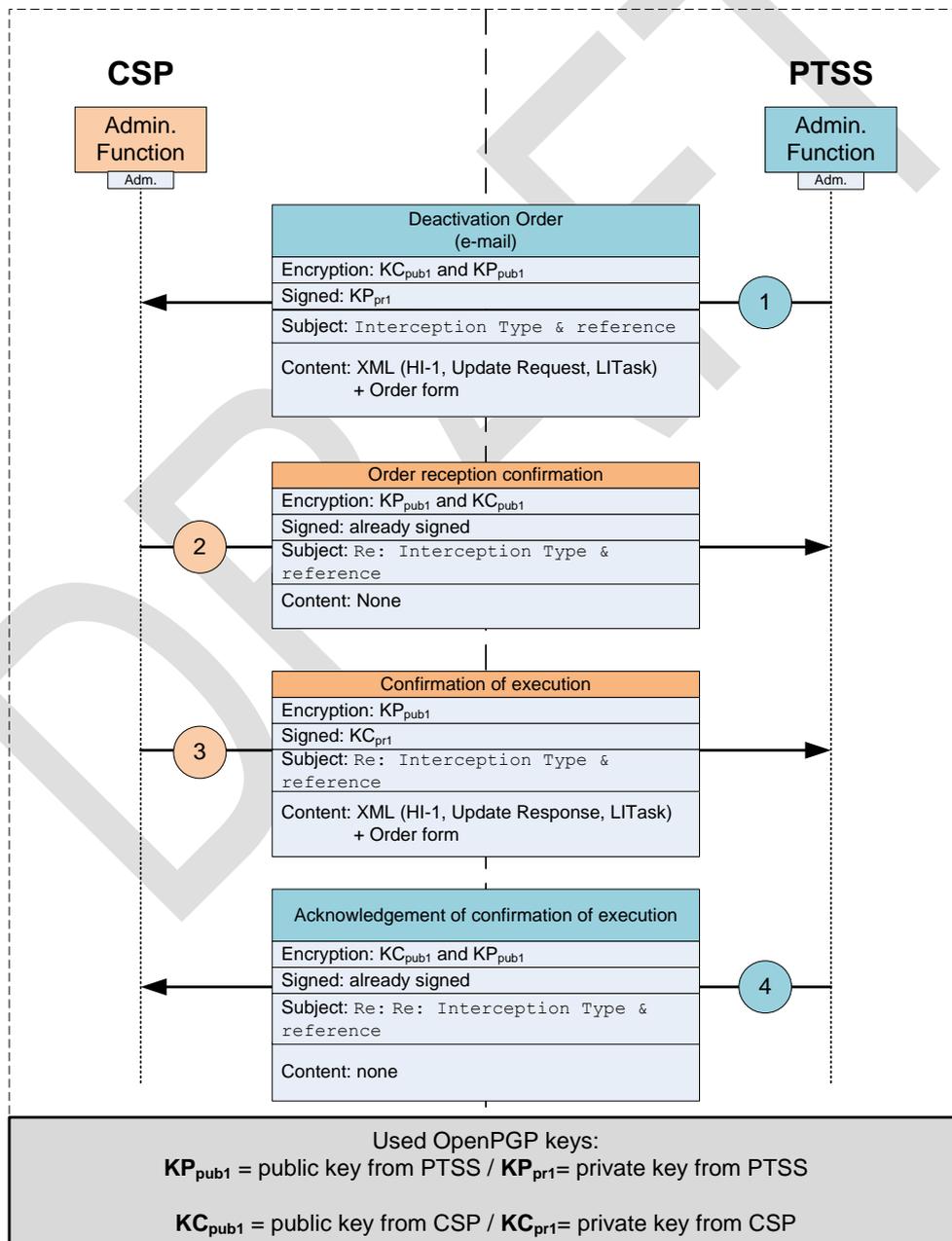


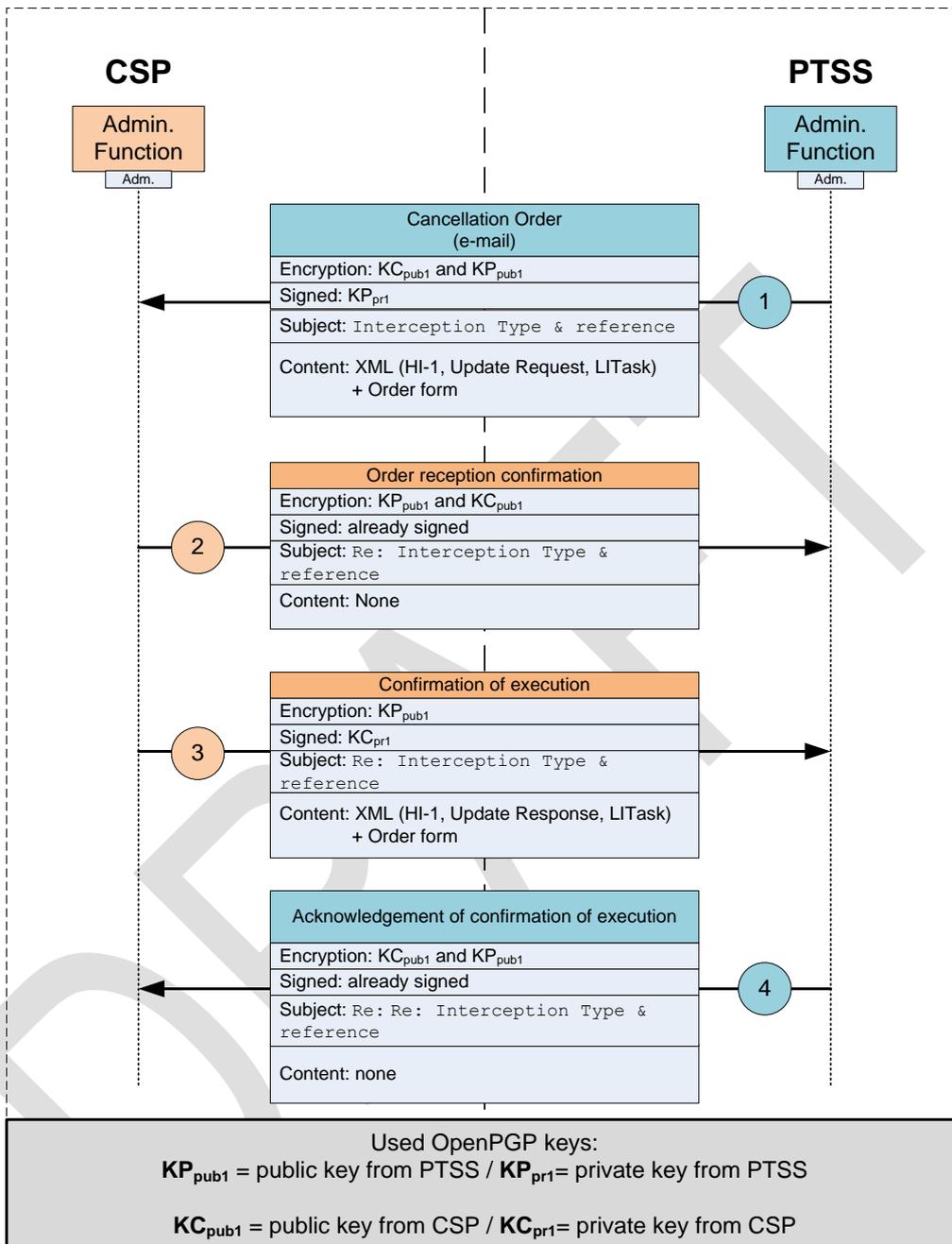
Figure 4-13: Message flow for email deactivation order processing for real-time interceptions

- ① PTSS sends an email with the interception order. The order is a deactivation order using the UPDATERequest action in the XML (see details in section 4.3.3.1.5). The body and the attachments of the email are signed and encrypted with the OpenPGP keys.
- ② CSP confirms the reception of the secure email order by sending back the received email body but without the attachments. The email's original subject is prefixed with the `Re:` denoting a response. No signing and encryption is necessary for this step as the original email was already signed and encrypted.
- ③ Once the interception order has been deactivated the CSP sends back the filled-in order form as a signed and encrypted attachment. The CSP shall use the UPDATEResponse action in the XML file (see details in section 4.3.3.1.5).
- ④ PTSS will acknowledge the reception of the filled in order form to the CSP by sending a simple email response with no content. No signing and encryption is necessary for this step.

DRAFT

### 4.3.3.1.3 Message flow for email cancellation order processing for real-time interceptions

The following figure shows the message flow in case of a cancellation order.



**Figure 4-14: Message flow for email cancellation order processing for real-time interceptions**

The PTSS may cancel an interception activation order that has already been sent to the CSP, as long as the CSP has not yet executed the order, i.e. for real-time interceptions if the interception was not yet activated in the CSP's systems.

In order to be effective, the cancellation order must be issued immediately by PTSS in order to avoid that the CSP activates the interception. For that purpose the PTSS must immediately contact the CSP by telephone and request the cancellation of the order. If the CSP confirms that the activation order can be cancelled then the initial cancellation request made by telephone must be promptly confirmed by PTSS in writing by sending a complete cancellation order to the CSP.

If the cancellation process fails because the CSP had already activated the real-time interception before the cancellation could take place, the PTSS shall issue a deactivation order to terminate the interception.

① PTSS sends an email with the interception order. The order is a cancellation order using the UPDATERequest action in the XML (see details in section 4.3.3.1.5). The body and the attachments of the email are signed and encrypted with the OpenPGP keys.

② CSP confirms the reception of the secure email order by sending back the received email body but without the attachments. The email's original subject is prefixed with the `Re:` denoting a response. No signing and encryption is necessary for this step as the original email was already signed and encrypted.

③ Once the interception order has been cancelled the CSP sends back the filled-in order form as a signed and encrypted attachment. The CSP shall use the UPDATEResponse action in the XML file (see details in section 4.3.3.1.5).

④ PTSS will acknowledge the reception of the filled in order form to the CSP by sending a simple email response with no content. No signing and encryption is necessary for this step.

DRAFT

4.3.3.1.4 Message flow for rejection or error email order activation processing for real-time interceptions

The following figure shows the message flow in case of rejection or error.

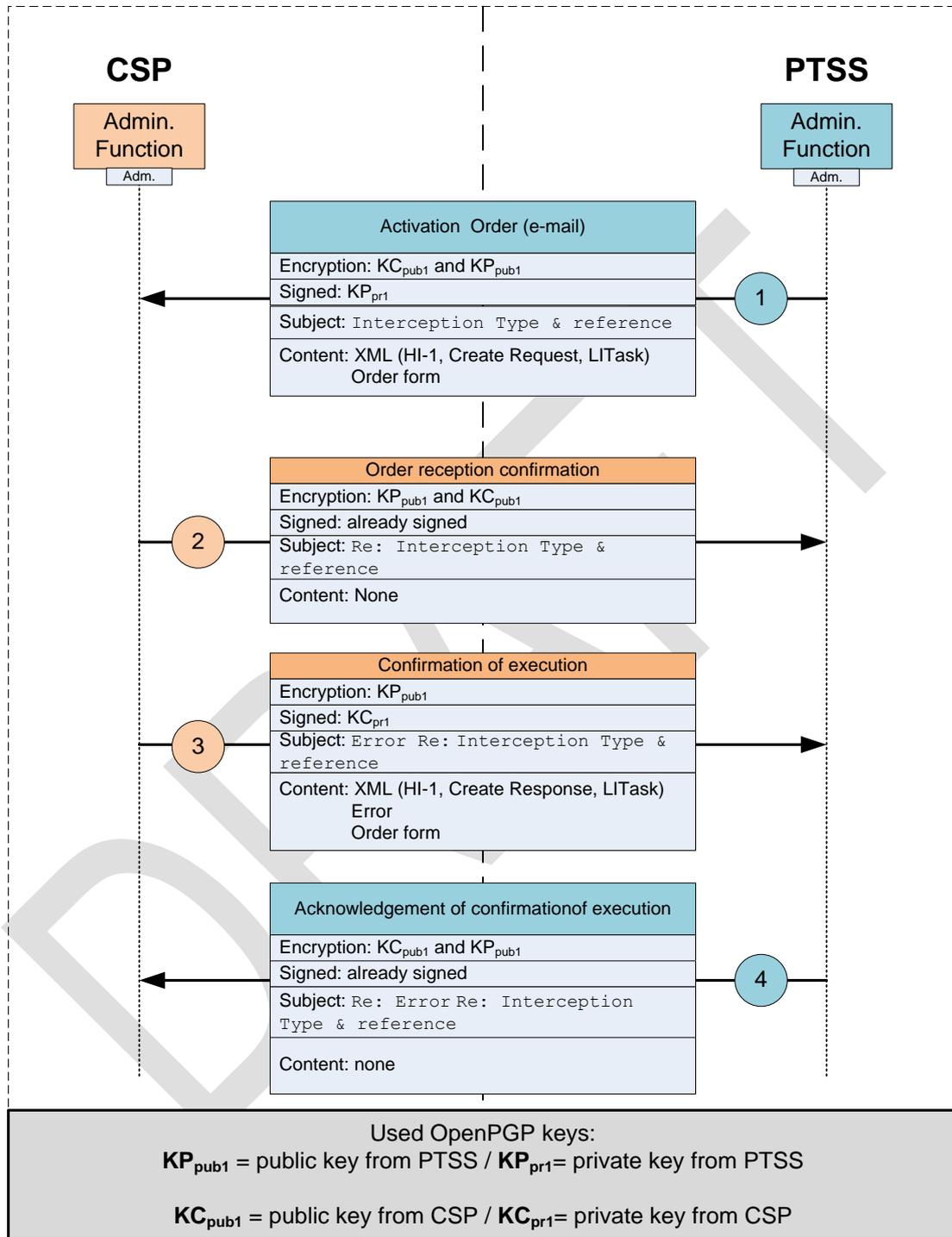


Figure 4-15: Message flow for rejection or error email order activation processing for real-time interceptions

In case of failure or rejection of the interception order by the CSP the message flows remains the same as described in section 4.3.3.1.1; however, in step ③ the CSP shall inform the PTSS of the error/failure or rejection of the order by adding:

- i) the corresponding notification and explanation in the order form and/or XML (see details in section 4.2.3.8).
- ii) a prefix "Error" in the email's subject line.

Then in step ④ the PTSS will acknowledge the reception of the error/failure message.

#### 4.3.3.1.5 XML Structure and elements for email real-time interception orders

The HI-1 and LITask object fields only are attached to the emails. The fields remain compliant with the ETSI TS 103 120 V1.2.1 definitions of the XSD and XML as specified in 4.2.2.9.

The figures below shows the messages exchanged during a lawful Interception activation and deactivation and cancellation transaction. The collection of messages below detail the structure of the XML that will be attached to the secure emails.

Action message (Request or Response)	
<b>XML header</b>	
TransactionIdentifier	
Timestamp	
XML payload	

<b>CREATERequest Activation LITask</b>	<b>CREATEResponse Activation LITask acknowledgement</b>
<b>XML header section</b>	<b>XML header section</b>
ee4165be-4817-11e6-beb8-9e71128cae77	ee4165be-4817-11e6-beb8-9e71128cae77
2016-07-12T12:10:00.000000Z	2016-07-14T13:40:00.000000Z
XML message	XML message

<b>UPDATERequest Deactivation LITask</b>	<b>UPDATEResponse Deactivation LITask acknowledgement</b>
<b>XML header section</b>	<b>XML header section</b>
d8c14821-a4d5-4481-9076-7e3b649c9f66	d8c14821-a4d5-4481-9076-7e3b649c9f66
2016-12-30T12:00:00.000000Z	2016-12-30T15:00:00.000000Z
XML message	XML message

<b>UPDATERequest Cancellation LITask</b>	<b>UPDATEResponse Cancellation LITask acknowledgement</b>
<b>XML header section</b>	<b>XML header section</b>
2633ce5b-1775-42fd-8bd1-2aa11063689c	2633ce5b-1775-42fd-8bd1-2aa11063689c
2016-07-13T12:00:00.000000Z	2016-07-13T15:00:00.000000Z
XML message	XML message

<b>UPDATEResponse invalid LITask</b>
<b>XML header section</b>
2633ce5b-1775-42fd-8bd1-2aa11063689c
2016-07-12T14:00:00.000000Z
XML message

Figure 4-16: XML Structure and elements for email real-time interception orders

4.3.3.1.5.1 Elements composing the XML header for request and response

The table shows the different elements composing the header of the different XML messages (Requests and Responses)

ETSI TS 103 120 V1.2.1 Clause 6.2 Messages header		
Element or attribute	Description	Example
SenderIdIdentifier/ CountryCode	ISOCountryCode (see ETSI TS 103 280 V1.2.1) giving 3166-1 alpha-2 code	CH
SenderIdIdentifier/ UniqueIdentifier	LongString (see ETSI TS 103 280 V1.2.1)	PTSS
ReceiverIdentifier/ CountryCode	SenderIdIdentifier	CH
ReceiverIdentifier/ UniqueIdentifier	ReceiverIdentifier: CSP 5 digit code provided by the PTSS.	99908
TransactionIdentifier	UUID (see ETSI TS 103 280 V1.2.1) in IETF RFC 4122 canonical form	ee4165be-4817-11e6-beb8-9e71128cae77
Timestamp	QualifiedMicrosecondDateTime (see ETSI TS 103 280 V1.2.1)	2016-07-12T12:10:00.000000Z
Version/ ETSIVersion	ShortString of the form "VX.Y.Z" (X gives major version, Y gives minor version, Z gives revision.	V1.2.1
Version/ NationalProfileOwner	National profile owner	PTSS
Version/ NationalProfileVersion	ShortString (see ETSI TS 103 280 V1.2.1)	V1.0.0

**Table 4-25: Elements composing the XML header for request and response**

4.3.3.1.5.2 Elements of the HI-1 and LITask objects for activation request

The activation requests use the elements shown in the table below (CREATERequest):

<b>CREATERequest</b>		
Element or attribute	Description	Example
<b>HI-1 Object</b>		
ObjectIdentifier	UUID according to IETF RFC 4122 canonical form	8a1a0c46-2495-46d5-82c3-1900dcecaaa6
CountryCode	ShortString (see ETSI TS 103 280 V1.2.1) and ISO 3166-1 Alpha-2 code	CH
OwnerIdentifier	ShortString (see ETSI TS 103 280)	PTSS
<b>LITask</b>		
Reference	LIID (see ETSI TS 103 280 V1.2.1).	2016092187654321
DesiredStatus/ common:Owner	TaskDesiredStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	PTSS
DesiredStatus/ common:Name	TaskDesiredStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	TaskDesiredStatus
DesiredStatus/ common:Value	TaskDesiredStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	Active
TimeSpan/ StartTime	QualifiedDateTime (see ETSI TS 103 280 V1.2.1).	2016-07-13T12:00:00+02:00
TargetIdentifier/ TargetIdentifierValues/ FormatType/ FormatOwner	ShortString (see ETSI TS 103 280 V1.2.1).	ETSI
TargetIdentifier/ TargetIdentifierValues/ FormatType/ FormatName	ShortString (see ETSI TS 103 280 V1.2.1).	InternationalE164
TargetIdentifier/ TargetIdentifierValues/ Value	LongString (see ETSI TS 103 280 V1.2.1).	+41598889988
TaskDeliveryDetails/ DeliveryDestination/ IRlorCC / common:Owner		

	TaskDeliveryType ETSI dictionary. ShortString (see ETSI TS 103 280 V1.2.1).	PTSS
TaskDeliveryDetails/ DeliveryDestination/ IRIorCC / common:Name	TaskDeliveryType ETSI dictionary. ShortString (see ETSI TS 103 280 V1.2.1).	PTSSNationalRequestTypes
TaskDeliveryDetails/ DeliveryDestination/ IRIorCC / common :Value	TaskDeliveryType dictionary owned by the PTSS	RT_15_NA_CC+IRI
CSPID/ CountryCode	See SenderIdentifier	CH
CSPID/ UniqueIdentifier	See SenderIdentifier	99908
Flags/ TaskFlag/ common:Owner	FlagStatus dictionary owned by the PTSS. ShortString (see ETSI TS 103 280 V1.2.1).	PTSS
Flags/ TaskFlag/ common:Name	FlagStatus dictionary owned by the PTSS. ShortString (see ETSI TS 103 280 V1.2.1).	FlagStatus
Flags/ TaskFlag/ common :Value	FlagStatus dictionary owned by the PTSS. ShortString (see ETSI TS 103 280 V1.2.1).	Normal
NationalLITaskingParameters/ CountryCode	ShortString (see ETSI TS 103 280 V1.2.1) and ISO 3166-1 Alpha-2 code	CH

**Table 4-26: Elements of the HI-1 and LITask objects for activation request**

4.3.3.1.5.3 Elements of the HI-1 and LITask objects for activation response

The activation response use the elements shown in the table below (CREATEResponse):

<b>CREATEResponse</b>		
Element or attribute	Description	Example
<b>HI-1 Object</b>		
ObjectIdentifier	UUID according to IETF RFC 4122 canonical form	8a1a0c46-2495-46d5-82c3-1900dcecaaa6
Generation	Positive integer	1
LastChanged	QualifiedDateTime (see ETSI TS 103 280 V1.2.1)	2016-07-14T12:00:00+02:00
<b>LITask</b>		
Reference	LIID (see ETSI TS 103 280).	2016092187654321
Status/ common:Owner	TaskStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	PTSS
Status/ common:Name	TaskStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	TaskStatus
Status/ common:Value	TaskStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	Active

**Table 4-27: Elements of the HI-1 and LITask objects for activation response**

4.3.3.1.5.4 Elements of the HI-1 and LITask objects for deactivation request

The deactivation requests use the elements shown in the table below (UPDATERequest):

<b>UPDATERequest</b>		
Element or attribute	Description	Example
<b>HI-1 Object</b>		
ObjectIdentifier	UUID according to IETF RFC 4122 canonical form	8a1a0c46-2495-46d5-82c3-1900dcecaaa6
<b>LITask</b>		
Reference	LIID (see ETSI TS 103 280 V1.2.1).	2016092187654321
DesiredStatus/ common:Owner	TaskDesiredStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	PTSS
DesiredStatus/ common:Name	TaskDesiredStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	TaskDesiredStatus
DesiredStatus/ common:Value	TaskDesiredStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	Deactivated
TimeSpan/ EndTime	QualifiedDateTime (see ETSI TS 103 280 V1.2.1).	2016-12-30T13:00:00+01:00

**Table 4-28: Elements of the HI-1 and LITask objects for deactivation request**

4.3.3.1.5.5 Elements of the HI-1 and LITask objects for deactivation response

The deactivation responses use the elements shown in the table below (UPDATEResponse):

<b>UPDATEResponse</b>		
Element or attribute	Description	Example
<b>HI-1 Object</b>		
ObjectIdentifier	UUID according to IETF RFC 4122 canonical form	8a1a0c46-2495-46d5-82c3-1900dcecaaa6
Generation	Positive integer	2
LastChanged	QualifiedDateTime (see ETSI TS 103 280 V1.2.1)	2016-12-30T15:00:00+01:00
<b>LITask</b>		
Reference	LIID (see ETSI TS 103 280).	2016092187654321
Status/ common:Owner	TaskStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	PTSS
Status/ common:Name	TaskStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	TaskStatus
Status/ common:Value	TaskStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	deactivated

**Table 4-29: Elements of the HI-1 and LITask objects for deactivation response**

4.3.3.1.5.6 Elements of the HI-1 and LITask objects for cancellation request

The cancellation requests use the elements shown in the table below (UPDATERequest):

<b>UPDATERequest</b>		
Element or attribute	Description	Example
<b>HI-1 Object</b>		
ObjectIdentifier	UUID according to IETF RFC 4122 canonical form	8a1a0c46-2495-46d5-82c3-1900dcecaaa6
<b>LITask</b>		
Reference	LIID (see ETSI TS 103 280 V1.2.1).	2016092187654321
DesiredStatus/ common:Owner	TaskDesiredStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	PTSS
DesiredStatus/ common:Name	TaskDesiredStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	TaskDesiredStatus
DesiredStatus/ common:Value	TaskDesiredStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	Cancelled

**Table 4-30: Elements of the HI-1 and LITask objects for cancellation request**

4.3.3.1.5.7 Elements of the HI-1 and LITask objects for cancellation response

The cancellation responses use the elements shown in the table below (UPDATEResponse):

<b>UPDATEResponse</b>		
Element or attribute	Description	Example
<b>HI-1 Object</b>		
ObjectIdentifier	UUID according to IETF RFC 4122 canonical form	8a1a0c46-2495-46d5-82c3-1900dcecaaa6
Generation	Positive integer	2
LastChanged	QualifiedDateTime (see ETSI TS 103 280 V1.2.1)	2016-07-13T13:30:00+02:00
<b>LITask</b>		
Reference	LIID (see ETSI TS 103 280).	2016092187654321
Status/ common:Owner	TaskStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	PTSS
Status/ common:Name	TaskStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	TaskStatus
Status/ common:Value	TaskStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	Cancelled

**Table 4-31: Elements of the HI-1 and LITask objects for cancellation response**

#### 4.3.3.1.5.8 Elements of the HI-1 and LITask objects for rejection or error response

In case of error response due to invalid request error use the elements shown in the table below (UPDATERsponse):

<b>UPDATERsponse</b>		
Element or attribute	Description	Example
<b>HI-1 Object</b>		
ObjectIdentifier	UUID according to IETF RFC 4122 canonical form	8a1a0c46-2495-46d5-82c3-1900dcecaaa6
Generation	Positive integer	2
LastChanged	QualifiedDateTime (see ETSI TS 103 280 V1.2.1)	2016-07-12T13:30:00+02:00
<b>LITask</b>		
Reference	LIID (see ETSI TS 103 280).	2016092187654321
Status/ common:Owner	TaskStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	PTSS
Status/ common:Name	TaskStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	TaskStatus
Status/ common:Value	TaskStatus PTSS proprietary dictionary entry and ShortString (see ETSI TS 103 280 V1.2.1)	Invalid

**Table 4-32: Elements of the HI-1 and LITask objects for invalid response**

#### 4.3.3.2 Message flow for secure email order processing for retained data

Retained data orders encompass the retroactive interception data (see section 7) and the information requests (see section 8).

The secure email transport method allows the exchange of retained data orders and data in both HI-A and HI-B domains described in ETSI TS 102 657 V1.17.1.

##### 4.3.3.2.1 Message flow for successful email order processing for retained data

The following figure shows the message flow of the secure email interception orders for retained data exchange between the PTSS and CSP in case of successful processing.

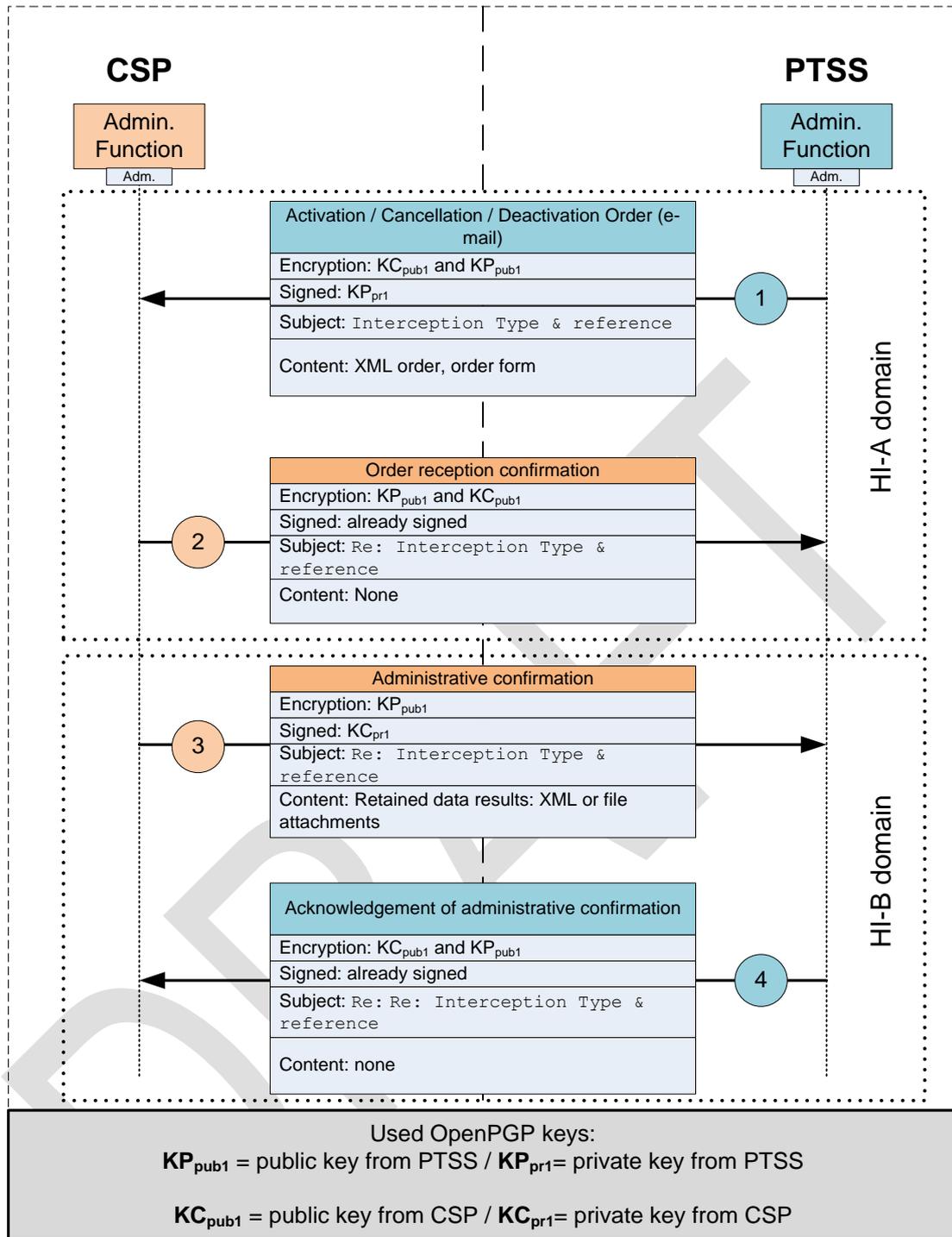


Figure 4-17: Message flow for secure email order processing for retained data

① PTSS sends an email with the interception order. The order can be an activation order, a cancellation order or an information request. The XML order file is based on the `RDMMessage XSD` specified in ETSI TS 102 657 V1.17.1. The body and the attachments of the email are signed and encrypted with the OpenPGP keys.

② CSP confirms the reception of the secure email order by sending back the received email body but without the attachments. The email's original subject is prefixed with the `Re :`

denoting a response. No signing and encryption is necessary for this step as the original email was already signed and encrypted.

③ Once the CSP has gathered the requested data, two different cases may occur:

i) If an HI-B interface based on HTTPS or SFTP exists between the PTSS and the CSP this step is not necessary as the delivery of the results will act as the confirmation.

ii) The CSP may use the secure email method as the HI-B interface and deliver the results as a signed and encrypted attachment in the form of a XML or alternatively a spreadsheet file.

④ Acknowledgement: two different cases may occur:

i) If the CSP delivers the results through the HI-B interface based on HTTPS or SFTP the acknowledgement from PTSS will be sent through the same interface.

ii) If the results are delivered via secure email the PTSS will acknowledge the reception of the email message to the CSP by sending a simple email response with no content. No signing and encryption is necessary for this step.

#### 4.3.3.2.2 Message flow for failed email order processing for retained data

In case of failure or rejection of the interception order by the CSP the message flows remains the same as described in section 4.3.3.2.1; however, in step ③ the CSP shall inform the PTSS of the error/failure or rejection of the order by adding:

- i) the corresponding notification and explanation in the administrative confirmation form.
- ii) a prefix "Error" in the email's subject line.

Then in step ④ the PTSS will acknowledge the reception of the error/failure message.

#### 4.3.3.2.3 Message flow for cancellation email order processing for retained data

The PTSS may cancel an interception activation order that has already been sent to the CSP, as long as the CSP has not yet executed the order, i.e. for historical data activations if the historical data has not been sent yet.

In order to be effective, the cancellation order must be issued immediately by PTSS in order to avoid that the CSP activates the interception. For that purpose the PTSS must immediately contact the CSP by telephone and request the cancellation of the order. If the CSP confirms that the activation order can be cancelled then the initial cancellation request made by telephone must be promptly confirmed by PTSS in writing by sending a complete cancellation order to the CSP, either by email.

If the cancellation process fails because the CSP had already performed the retained data order before the cancellation could take place, the PTSS shall issue a deactivation order to terminate the retained data order.

If the cancellation process fails because the CSP had already sent the retained data before the cancellation could take place, the PTSS shall inform the recipient that the concerned retained data must be destroyed.

#### 4.3.4 Secure email for general and operational information notifications

The OpenPGP secure email method shall be used for the exchange of information between the parties when information confidentiality and integrity is required.

OpenPGP certificates and keys can also be generated for individuals and groups in each organisation (CSP and PTSS). The management of these certificates and keys must follow the requirements described in section 4.3.2.

##### 4.3.4.1 General purpose operational information notifications

Secure email shall be used for the exchange of operational information and notifications between the CSP and the PTSS when the message's confidentiality and integrity is necessary.

If a message doesn't need confidentiality it still is recommended to digitally sign the message in order to prove the sender's identity.

The following table provides a non-exhaustive list of operational information notifications that can be exchanged between the parties:

<b>Operational information notifications</b>	<b>VD-ÜPF</b>
Contact notification	Art. 4
New services notification	Art. 16
System update notification	Art. 17
Fault notification	Art. 22

**Table 4-33: Types of operational information notifications**

The notification type must be shown in the subject field of the corresponding email. For the notification content no specific structure is required.

##### 4.3.4.2 Transmission of the cell identification correlation table

When a mobile CSP does not provide the complete location information in the IRI records as described in section 6.3.9.1 it shall provide the PTSS with a cell identification correlation table (aka Cell-ID table).

This cell identification correlation table contains a list of all cell identifications and their corresponding parameters of the mobile CSP, as defined in section 6.3.9.2. An updated version of this table shall be delivered to the PTSS periodically at least every two weeks.

The table type shall be denoted in the subject field of the corresponding email.

## 4.4 Telephone and fax

For urgent interception orders and information requests or when no other secure communication channel is available the PTSS may use the telephone or fax as the administrative handover interface.

PTSS and CSP shall exchange their contact details as described in the provisions of the VD-ÜPF article 6.

## 4.5 Registered mail

When no other secure communication channel is available the PTSS and the CSP may use the registered mail as the administrative handover interface or delivery handover interface for retained data results.

PTSS and CSP shall exchange their contact details as described in the provisions of the VD-ÜPF article 6.

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## 5 Target Identifiers

This section provides information about the potential target identifiers and their formats. The baseline set of target identifiers formats with respect to the real-time and retroactive interception orders is according to ETSI TS 103 120 V1.2.1 Annex C and their corresponding formats according to ETSI TS 103 280 V1.2.1 clause 6.

Depending on the services provided by the CSP and the type of interception requested by the LEA, other or special target identifiers might be necessary to implement the interception order. In that case the CSP and PTSS shall agree bilaterally on the more appropriate target identifier to be used.

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## 6 Real-time Surveillance (Lawful Interception)

### 6.1 General

This section describes the national requirements and options of the handover interfaces (HI2 and HI3) for the delivery of real-time interceptions.

### 6.2 Real-time interception types

The following tables list the different real-time interception types as stated in the ordinance VÜPF and provide indications about the different supported handover interface requirements and options to be used by the CSP to deliver the real-time interceptions results to the PTSS.

<b>Network access real-time interception</b>			
<b>VÜPF article</b>	<b>Type &amp; Description</b> (Informative translation)	<b>Identifiers Handover Interfaces (Higher version)</b>	<b>Section</b>
52 68 c	<b>RT_15_NA_CC+IRI</b> Network access real-time interception with Interception Related Information and Content of Communication	Identifiers parameters  ETSI TS 133 108 V13.4.0 or ETSI TS 102 232-1 V3.11.1 & ETSI TS 102 232-3 V3.3.1	6.3  6.5.2.2  6.5.3 6.5.5
53 68 b	<b>RT_16_NA_IRI</b> Network access real-time interception with Interception Related Information only	Identifiers parameters  ETSI TS 133 108 V13.4.0 or ETSI TS 102 232-1 V3.11.1 & ETSI TS 102 232-3 V3.3.1	6.3  6.5.2.2  6.5.3 6.5.5

Table 6-1: Network access real-time interception types

<b>Application real-time interception</b>			
<b>VÜPF article</b>	<b>Type &amp; Description</b> (Informative translation)	<b>Identifiers Handover Interfaces (Higher version)</b>	<b>Section</b>
54 68 c	<b>RT_17_TEL_CC+IRI</b> Telephony and multimedia services real-time interception with Interception Related Information and Content of Communication	Identifiers parameters  ETSI TS 101 671 V3.12.1 or ETSI TS 133 108 V13.4.0 or ETSI TS 102 232-1 V3.11.1 & ETSI TS 102 232-5 V3.5.1 or ETSI TS 102 232-1 V3.11.1 & ETSI TS 102 232-6 V3.3.1	6.3  6.5.1 6.5.2 6.5.3 6.5.6 6.5.3 6.5.7
55 68 b	<b>RT_18_TEL_IRI</b> Telephony and multimedia services real-time interception with Interception Related Information only	Identifiers parameters  ETSI TS 101 671 V3.12.1 or ETSI TS 133 108 V3.14.0	6.3  6.5.1 6.5.2

		or ETSI TS 102 232-1 V3.11.1 & ETSI TS 102 232-5 V3.5.1 or ETSI TS 102 232-1 V3.11.1 & ETSI TS 102 232-6 V3.3.1	6.5.3 6.5.6 6.5.3 6.5.7
56 68 c	<b>RT_19_EMAIL_CC+IRI</b> E-Mail services real-time interception with Interception Related Information and Content of Communication	Identifiers parameters  ETSI TS 102 232-1 V3.11.1 & ETSI TS 102 232-2 V3.9.1	6.3  6.5.3 0
57 68 b	<b>RT_20_EMAIL_IRI</b> E-Mail services real-time interception with Interception Related Information only	Identifiers parameters  ETSI TS 102 232-1 V3.11.1 & ETSI TS 102 232-2 V3.9.1	6.3  6.5.3 0
58 68 c	<b>RT_21_COM_CC+IRI</b> Other telecommunication or derived communication services real-time interception with Interception Related Information and Content of Communication	Identifiers parameters  ETSI TS 102 232-1 V3.11.1 & ETSI TS 102 232-2 V3.9.1  ETSI TS 102 232-1 V3.11.1 & ETSI TS 102 232-3 V3.3.1  ETSI TS 133 108 V13.4.0	6.3  6.5.3 0  6.5.3 6.5.5  6.5.2
59 68 b	<b>RT_22_COM_IRI</b> Other telecommunication or derived communication services real-time interception with Interception Related Information only	Identifiers parameters  ETSI TS 102 232-1 V3.11.1 & ETSI TS 102 232-2 V3.9.1  ETSI TS 102 232-1 V3.11.1 & ETSI TS 102 232-3 V3.3.1  ETSI TS 133 108 V13.4.0	6.3  6.5.3 0  6.5.3 6.5.5  6.5.2

Table 6-2: Application real-time interception types

<b>Emergency paging real-time interception</b>			
<b>VÜPF article</b>	<b>Type &amp; Description</b> (Informative translation)	<b>Identifiers Handover Interfaces (Higher version)</b>	<b>Section</b>
67 a 68 a	<b>EP_30_PAGING</b> Delivery of the last active location	Proprietary HI	6.5.8
67 b	<b>EP_31_RT_CC+IRI</b> Network access and telephony and multimedia services real-time interception with Interception Related Information and Content of Communication	Identifiers parameters  ETSI TS 101 671 V3.12.1 or ETSI TS 133 108 V13.4.0 or ETSI TS 102 232-1 V3.11.1 & ETSI TS 102 232-5 V3.5.1 or ETSI TS 102 232-1 V3.11.1 & ETSI TS 102 232-6 V3.3.1	6.3  6.5.1  6.5.2  6.5.3 6.5.6  6.5.3 6.5.7
67 c	<b>EP_32_RT_IRI</b>	Identifiers parameters	6.3

Network access and telephony and multimedia services real-time interception with Interception Related Information only	ETSI TS 101 671 V3.12.1	6.5.1
	or	
	ETSI TS 133 108 V13.4.0	6.5.2
	or	
	ETSI TS 102 232-1 V3.11.1 & ETSI TS 102 232-5 V3.5.1	6.5.3 6.5.6
	or	
ETSI TS 102 232-1 V3.11.1 & ETSI TS 102 232-6 V3.3.1	6.5.3 6.5.7	

**Table 6-3: Emergency paging real-time interception types**

## 6.3 Common identifiers and parameters of the handover interfaces

This section provides information about the definition and format of several identifiers and parameters that are common to several handover interfaces for the delivery of real-time interception data. Some of these identifiers and parameters are present in all handover interfaces while others are present only in some of them.

### 6.3.1 Lawful Interception Identifier (LIID)

The LIID according to ETSI TS 101 671 V3.12.1 §6.1 and ETSI TS 102 232-1 V3.11.1 §5.2.2 and ETSI TS 133 108 V13.4.0 §5.1 consists of maximum 25 numbered digits (0..9) for the sub-address option according to ETSI TS 101 671 V3.12.1 annex E respectively to ETSI TS 133 108 V13.4.0 Annex J.

Lawful Interception in Switzerland supports the LIID format for sub-address option according to ETSI TS 101 671 V3.12.1 Annex E and ETSI TS 133 108 V13.4.0 Annex J, but requires only a maximum of 15 numbered digits (0..9).

The LIID parameter is generated by the PTSS and provided to the CSP.

- a. For the LIID in the Calling Party Number in the circuit switched handover interfaces using ETSI TS 101 671 V3.12.1 or ETSI TS 133 108 V13.4.0:

The LIID delivered to the LEMF in the Calling Party Subaddress, when a CC-link is being established, shall meet the requirements specified in ETSI TS 101 671 V3.12.1 Annex E, Table E.3.5, and ETSI TS 133 108 V13.4.0 Annex J, Table J.2.6, where digit 1 is the most significant digit. After the last digit (maximum digit 15), the field separator determines the end of the field. The subsequent field “direction” shall be rearranged, i.e. mapped into octet 12, whereas the service octets must still be mapped into octets 19-23.

- b. For the LIID in the IRI-Records:

For the LIID contained within the IRI-Records, ETSI TS 101 671 V3.12.1 Annex D.5 the IRI-Parameter `LawfulInterceptionIdentifier` applies.

Note that ETSI TS 102 232-1 and ETSI TS 133 108 V13.4.0 import this parameter from ETSI TS 101 671 V3.12.1.

### 6.3.2 Communication Identifier (CID)

The communication identifier (CID) is used in several handover interfaces and defined in ETSI TS 101 671 V3.12.1 §6.2 and ETSI TS 102 232-1 V3.11.1 §5.2.4 and ETSI TS 133 108 V13.4.0 §5.1.2.

#### 6.3.2.1 Operator Identity (OperatorID)

The OperatorID in Switzerland has a format of 5 digits.

It is issued by the PTSS and provided to the CSP with the following format:

N	N	N	N	N
---	---	---	---	---

Requirements specified by ETSI shall be met as follows:

- a. For insertion of the OperatorID in the Subaddress field, ETSI TS 101 671 V3.12.1 Annex E and ETSI TS 133 108 V13.4.0 Annex J apply.
- b. For the OperatorID contained within the IRI-Records, ETSI TS 101 671 V3.12.1 Annex D.5 and ETSI TS 102 232-1 V3.11.1 Annex A.2 and ETSI TS 133 108 V13.4.0 Annex B the IRI-Parameter `operator-Identifier` applies.

#### 6.3.2.2 Network Element ID (NEID)

The network element identifier distinguishes between the various source IIF carrying out the LI operations and thus potentially serving the LEMF. Depending on the handover interface in use the NEID may have different formats (e.g. `e164-Format`, `iP-Address`).

Requirements specified by ETSI shall be met as follows:

- a. For the NEID conveyed in the Calling Party Number in the circuit switched handover interfaces using ETSI TS 101 671 V3.12.1 or ETSI TS 133 108 V13.4.0:

For the NEID delivered to LEMF within the Calling Party Number information element, the encoding of the NEID according to ETSI TS 101 671 V3.12.1 Annex E.4.2 or ETSI TS 133 108 V13.4.0 Annex J.2.4.2 apply, with the following clarification: The CSP shall make provision that at the Handover Interface the Calling Party Number is delivered to the LEMF in one of the formats specified below, the choice (on a call-by-call basis) being a CSP option:

- i. national number with Type of Number “unknown” with prefix (in Switzerland the prefix is “0”)
- ii. national number with Type of Number “national number”
- iii. international number with Type of Number “unknown” with prefix (in Switzerland the prefix is “00”)
- iv. international number with Type of Number “international number”
- v. Numbering Plan Identification shall be “ISDN/telephony numbering plan”

- b. For the NEID in the IRI-Records:

For the NEID conveyed within the IRI-Records, ETSI TS 101 671 V3.12.1 Annex D.5 IRI-Parameter `Network-Element-Identifier` applies.

Note that ETSI TS 102 232-1 V3.11.1 and ETSI TS 133 108 V13.4.0 import this parameter from ETSI TS 101 671 V3.12.1.

### 6.3.3 Communication Identity Number (CIN)

The Communication Identity Number (CIN) parameter is used in several handover interfaces and defined in ETSI TS 101 671 V3.12.1 §6.2.2 and ETSI TS 102 232-1 V3.11.1 §5.2.4.

The CIN parameter is assigned by the CSP.

- a. For the CIN in the Called Party Number in the circuit switched handover interfaces using ETSI TS 101 671 V3.12.1 or ETSI TS 133 108 V13.4.0:

The CIN delivered to the LEMF in the Called Party Subaddress when a CC-link is being established shall meet the requirements specified in ETSI TS 101 671 V3.12.1 Annex E, Table E.3.4, or ETSI TS 133 108 V13.4.0 Annex J, Table J.2.5. The minimum required number of digits is 5 and the maximum is 8 digits.

- b. For the CIN in the IRI-Records according to ETSI TS 101 671 V3.12.1 or ETSI TS 133 108 V13.4.0:

For the format of the CIN conveyed as IRI Parameter `communication-Identity-Number`, ETSI TS 101 671 V3.12.1 Annex D.5 or ETSI TS 133 108 V13.4.0 Annex B.3a apply, with the CIN being encoded as ASCII with a range of 5 up to 8 digits.

- c. For the CIN in the IRI-Records according to ETSI TS 102 232-1 V3.11.1:

For the format of the CIN conveyed as IRI Parameter `communicationIdentityNumber`, ETSI TS 102 232-1 V3.11.1 Annex A.2 applies, with the CIN being encoded as integer with a range of 0 up to 4294967295.

### 6.3.4 CCLID

The CCLID shall not be used, as for multiparty calls option A has to be implemented (ETSI TS 101 671 V3.12.1 Annex A.1.1 and A.5.4.2 or ETSI TS 133 108 V13.4.0 §5.1.3).

### 6.3.5 Correlation numbers and Correlation values

The Correlation Number and Correlation Values are specified in ETSI TS 133 108 V13.4.0 for packet switched based services and used to correlate CC and IRI or different IRI records within one PDP context or Bearer or Tunnel or VoIP session or conference session or group communication or MBMS session.

The Correlation Numbers and Correlation Values parameters are assigned by the CSP.

Depending on the services the Correlation Numbers and Correlation Values are defined in different clauses of ETSI TS 133 108 V13.4.0:

Service	Definition
Packet data domain (UMTS network access)	ETSI TS 133 108 V13.4.0 §6.1.3
Multi-media domain	ETSI TS 133 108 V13.4.0 §7.1.3
Evolved Packet System (EPS and non-3GPP access)	ETSI TS 133 108 V13.4.0 §10.1.3
IMS Conference service	ETSI TS 133 108 V13.4.0 §11.1.4
IMS-based VoIP Services	ETSI TS 133 108 V13.4.0 §12.1.4

**Table 6-4: ETSI TS 133 108 Services**

## 6.3.6 Timestamp

### 6.3.6.1 Time synchronisation

The precision of the timestamps generated by the CSP's systems with respect to the reference time base must be within +/- 5 seconds.

The following server is defined as the reference time base:

Alias NTP time server: ntp.metas.ch

It is suggested to use the Network Time Protocol (NTP) according to IETF RFC 5905 for synchronisation, but any other system (e.g. DCF77, GPS, etc.) may also be used as long as the offset from the reference time base remains within the range of +/- 5 seconds.

### 6.3.6.2 Timestamp formats

The format of the timestamps delivered by the CSP may differ depending on the handover interface used to deliver intercepted data to the PTSS.

For the handover interfaces defined in ETSI TS 101 671 V3.12.1 and ETSI TS 133 108 V13.4.0 the timestamp can be provided either in:

- a) Local time: as GeneralizedTime with the winterSummerIndication parameter.  
or
- b) UTC time

For the handover interface defined in ETSI TS 102 232-1 V3.11.1 the timestamp can be provided either as:

- a) GeneralizedTime: as UTC time with the time zone indication.  
or
- b) MicroSecondTimeStamp: with seconds and microseconds, a.k.a UNIX time epoch.

The timestamp in UTC time and with an accuracy to the millisecond is preferred.

## 6.3.7 Transmission of identifiers

The identifiers are to be transferred as follows:

1. CC HI3 interface:

For the delivery in the circuit switched domain the identifiers shall be transmitted in the D-Channel (that is, in the D-Channel of the respective CC link of the HI3 interface) when a CC link is established, using a DSS1 SETUP Message, within the Calling Party and Called/Calling Party Subaddress information elements. Subaddress option according to ETSI TS 101 671 V3.12.1 Annex E or ETSI TS 133 108 V13.4.0 Annex J apply.

For the delivery in the packet switched domain the identifiers shall be transmitted in the packet data unit according to ETSI TS 102 232-1 V3.11.1 Annex A.2 or ETSI TS 133 108 V13.4.0 Annex B.

2. IRI HI2 interface: The necessary identifiers shall be transmitted within every IRI record, to allow correlation at the LEMF.

### 6.3.8 FTP file naming and parameters for IRI records delivery

For the HI2 handover interfaces defined in ETSI TS 101 671 V3.12.1 and ETSI TS 133 108 V13.4.0 the IRI records are delivered to the LEMF with the FTP protocol. The sub-sections below specifies the file naming and the necessary parameters to operate the FTP connection.

#### 6.3.8.1 File naming

The composition of the filename is based on the file naming method B according to ETSI TS 101 671 V3.12.1 Annex C.2.2 or ETSI TS 133 108 V13.4.0 Annex A.2.

<Filenamestring> of the format ABXYyymmddhhmmsseeet

Where:

'AB' ASCII letters are assigned by PTSS to the CSP

'XY' ASCII letters can be chosen by the CSP

#### 6.3.8.2 FTP parameters

When transferring data via FTP the systems of the CSP act as sender (i.e. FTP client), and those of PTSS as recipient (i.e. FTP server).

PTSS operates several LEMF systems; therefore, the CSP shall be able to configure multiple FTP accounts in the mediation function(s) to reach the different LEMF systems. The minimum number shall be 3.

The values of these parameters (e.g. IP address, username and password for the FTP account) are defined during the compliance assessment procedure.

The following rules apply in general:

1. Multiple IRI data sets can be treated as a single file. In case of ASN.1 encoded data, for example, an 'IRI sequence' is used for this.

2. It is possible to transfer one or multiple files in the same communication session if these files are already available on CSP systems. When no further files are available, the communication session must be terminated immediately after file transfer.

The following table contains the definitions for the most important FTP parameters:

Value	Content
Document type	binary
Filename	length: 21 characters characters: allowed characters: upper case letters A-Z, digits 0-9
CSP username for LEMF FTP server	length: at least 8 characters characters: lower and upper case letters a-z A-Z, digits 0-9
CSP password for LEMF FTP server	Length: at least 8 characters characters: lower and upper case letters a-z A-Z, digits 0-9
Directory change	It is not allowed to change the directory in the FTP server.
Port for data connection	20/TCP (default value)
Port for control connection	21/TCP (default value)
Mode	FTP passive mode must be supported.

**Table 6-5: FTP parameters formats**

### 6.3.9 Location information

#### 6.3.9.1 Requirements for the Location Function on Mobile Networks

This section describes in general terms the requirements for the Location Function on Mobile Networks (circuit switched and packet switched domains) making use of the capabilities at the handover interface specified by ETSI.

In particular, this includes the identity of one, or a combination of, the entities indicated in the following table:

CGI	Cell Global Identification
ECGI	E-UTRAN Cell Global Identification
SAI	Service Area Identification
RAI	Routing Area Identity
TAI	Tracking area Identity

**Table 6-6: Mobile networks location identifications**

The identities provided to PTSS shall be the same as the ones actually used on the mobile radio interface.

The geographical coordinates of the antenna serving the target must be indicated in accordance with the WGS84 World Geodetic System and coded according to one of the method indicated in the following table

Structure	Sub-structure	Format
<b>GSMLocation</b>	geoCoordinates	latitude XDDMMSS.SS longitude XDDMMSS.SS mapDatum wGS84 azimuth (0..359) OPTIONAL (see NOTE)
<b>UMTSLocation</b>	GA-Point	GeographicalCoordinates latitudeSign ENUMERATED {north, south} latitude (0..8388607) longitude (-8388608..8388607) -- as defined in 3GPP TS 23.032

**Table 6-7: Mobile networks location geocoordinates encoding**

NOTE: when a cell is composed of several main beam directions or when it is omnidirectional the corresponding azimuth parameter shall be omitted.

The CSP must deliver the most accurate location concerning the intercepted mobile network connection.

For the ASN.1 definitions see sections 6.5.1 or 6.5.2.

Delivering the location information in the way described in this clause obsoletes the delivery of the Cell-ID correlation table as described in section 6.3.9.2.

### 6.3.9.2 Provisioning of Cell-ID Correlation Tables

This section describes a Swiss proprietary method for the Location Function on Mobile Networks (circuit switched and packet switched domains).

This method derives the geographical Location Information from a CGI or ECGI making use of the so-called "Cell-ID Correlation Table". This method obsoletes the passing of the "real" geographical location information across the handover interface HI2 e.g. GSMLocation or UMTSLocation as defined in 6.3.9.1.

Following contents must be included in the Cell-ID correlation table:

1. Name of operator
2. Date of table delivery
3. Cell Global Identifier (CGI) or E-UTRAN CGI (ECGI)
4. Swissgrid CH1903+ coordinates of the antenna locations corresponding to each Cell-ID
5. Direction of the main beam of the antenna corresponding to each Cell-ID: The beam direction angle refers to the mapped 2-dimensional horizontal middle compass angle (in degrees 0-360) of the corresponding cell sector. In case of an umbrella sector, the value -1 must be inserted in this field. When a cell has several main beam directions or when the main beam characteristic is not applicable for the radio access technology, the main beam direction information shall be set to the value -1.
6. Full postal address (if available)

The first row of the table is reserved as follows:

1. Name of operator (1st column)
2. Date of table delivery (2nd column) as follows: ddmmyyyy

Each subsequent row contains a Cell-ID with the corresponding coordinates and beam direction as follows:

1. CGI or ECGI (1st column)
2. E-Coordinate (east) (2nd column)
3. N-Coordinate (north) (3rd column)
4. Direction of main beam of antenna (4th column)
5. Postal address

The contents of the table must be saved in CSV (Comma Separated Values) format according to IETF RFC 4180. This format separates columns of data by commas and rows by carriage return.

The filename of the table must have the following format (the prefix CM means “Cell-ID Map”): CM\_operatorXY\_yyyymmdd.csv

where

<operatorXY> Name of the operator providing the table

<yyymmdd> Date of table delivery

The following example shows the contents of a Cell-ID correlation table in CSV format, mapping several Cell-IDs:

OperatorXY,20101201,,,
228-0X-56F0-B64B,2600000,1200000,26,Bern Bundesgasse 8
228-0X-57F3-C76A, 2612480, 1176801,45,Autobahn A6 Weststr.km29.750 3600 Thun
228-0X--ABCD123,2585864,1219119,-1,Ringstrasse 10 2560 Port
...

## 6.4 ASN.1 parameters definition

All parameters designated as “conditional” or “optional” in the ETSI specifications must always be delivered to the LEMF when available and not otherwise specified.

## 6.5 Handover interfaces requirements and options

This section provides detailed information about the different requirements and options to be used in Switzerland for the handover interfaces specified by ETSI. Depending on the real-time interception type the CSP in agreement with the PTSS may choose to use one of those handover interfaces.

### 6.5.1 ETSI TS 101 671 V3.12.1 Handover interface for the lawful interception of telecommunications traffic

This handover interface may be used for the delivery of the intercepted data related to telephony service in the circuit switched domain, such as PSTN, ISDN, GSM and UMTS.

#### 6.5.1.1 ETSI TS 101 671 V3.12.1 requirements and options

Note: The lawful interception requirements related to the TETRA technology are not applicable in Switzerland.

Clause ETSI TS 101 671 V3.12.1	Selection of ETSI options for Switzerland	Additional requirements
5.1	<p><b>Manual/Electronic handover interface 1 (HI1)</b> An electronic handover interface from the LEMF to the obligated party's technical infrastructure for direct administration of interception measures without the involvement of the obligated party is not implemented in Switzerland. Events regarding the management of an interception (e.g. activation and deactivation) and error communication must be delivered.</p>	See section 4
6.2.1	<p><b>Network identifier (NID)</b> The NID is composed of 5 digits: NWO/AP/SvP identifier (Operator identifier). PTSS provides the Operator identifier.</p>	See section 6.3.2.1
8.1	<p><b>Data transmission protocol</b> FTP is used for IRI data, HI1 notifications and packetised CC such as SMS and UUS (see ETSI TS 101 671 V3.12.1 Annex A.4.2.). ROSE is not allowed. The FTP connection must be closed immediately after data transmission.</p>	See section 6.3.8
11	<p><b>Security aspects</b> For CC over ISDN, CLIP and COLP services are used.</p>	CUG does not need to be implemented.
<b>Annex A: Circuit switched network handover</b>		
A.1.3	<p><b>Usage of identifiers</b> Options "IRI and CC" and "only IRI" option must be supported. Option "only CC" does not need to be implemented.</p>	
A.3.2.1	<p><b>Control information for HI2</b> The timestamp must include official local time and related DST indication or UTC time.</p>	See section 6.3.6
A.4.1	<p><b>Delivery of Content of Communication</b> For relating CC data to other H-Interfaces the subaddress service will be used as specified in ETSI TS 101 671 V3.12.1 Annex E instead of the user-to-user signalling.</p>	CC data must be A-law coded in compliance to ITU-T G.711 (11/88), i.e. in case of different coding in the original channel (e.g. GSM) the mediation function must ensure conversion to A-law coding.

Clause ETSI TS 101 671 V3.12.1	Selection of ETSI options for Switzerland	Additional requirements
		As an alternative to the Bearer Capability (BC) of the value "UDI", the BC can take the value used in the intercepted call, the choice being a CSP option.
A.4.2	<p><b>Delivery of packetized Content of Communication</b> For SMS and UUS Services, CC will be transferred as IRI. For transferring CC data, the ASN.1 module 'HI2Operations' according to ETSI TS 101 671 V3.12.1 Annex D.5 shall be used.</p>	
A.4.3	<p><b>Control information for circuit switched Content of Communication</b> The terminal end point of PTSS replies to a SETUP message immediately with a CONNECT message, i.e. without any ALERTING message.</p>	
A.4.5	<p><b>Security requirements at the interface port HI3</b> ISDN Service specifications CLIP and COLP must be used for creating the CC links to the LEMF.</p>	CUG does not need to be implemented.
A.4.5.3	<p><b>Authentication</b> A special authentication procedure within the ISDN B Channel or within the Subaddress is not used.</p>	
A.5.4	<p><b>Multi party calls – general principles</b> Only option A is available and must be used.</p>	
A.6.3	<p><b>Call Hold/Retrieve</b> If an active call is put on hold, its CC link shall stay intact and the signal from the held party shall be switched through to the LEMF. If the target sets up a new call, while one call is on hold, this call shall be treated as a normal originating call (additional CC link) ETSI TS 101 671 V3.12.1 Annex A.6.3.1 applies. CC links shall stay intact and the signal from the held party shall be switched through.</p>	
A.6.4	<p><b>Explicit Call Transfer (ECT)</b> For explicit call transfer, option 2 must be implemented. This means,</p>	

Clause ETSI TS 101 671 V3.12.1	Selection of ETSI options for Switzerland	Additional requirements
	that the transferred call must not be intercepted.	
A.6.16.1. 1	<b>Call Diversion by target, CC links</b> For CFNR, UDUB, CD and partial rerouting, option 2 (with CONTINUE-Record) must be implemented.	
A.6.22	<b>User-to-User Signalling (UUS)</b> UUS service data will be delivered as IRI data.	See point A.4.2 in this Table.
A.8.3	<b>HI3 (delivery of CC)</b> SMS service data will be delivered as IRI data. For relating CC to the other H-Interfaces, Subaddress Service described in ETSI TS 101 671 Annex E must be used. The provider must remove encryption algorithm applied by the CSP internally in the network at the handover interface.	See point A.4.2 in this Table.
<b>Annex C: HI2 Delivery mechanisms and procedures</b>		
C.1 / C.2	<b>ROSE / FTP</b> FTP must be used for transferring IRI data over HI2-Interface; ROSE is not allowed.	See point 8.1 in this Table.
C.2.2	<b>Usage of FTP</b> For conveying IRI data transmission must be triggered neither by timeout nor by volume. File naming method B must be used. Additionally, section 6.3.8 applies as well.	
<b>Annex D: Structure of data at the Handover Interface</b>		
D.4	<pre> HI1-Operations ::= CHOICE {   liActivated   [1] Notification,   liDeactivated   [2] Notification,   liModified   [3] Notification,   alarms-indicator   [4] Alarm-Indicator,   ...,   National-HI1-ASN1parameters   [5] National-HI1-ASN1parameters }                     </pre>	Depending on the version of the HI1NotificationOperations record used, if the domainID parameter exists in the Notification sequence, the OBJECT IDENTIFIER must be provided.
D.5	<pre> MapDatum ::= ENUMERATED     wGS84,                     </pre>	
D.4 to D.9	<b>ASN.1 modules</b> By using FTP for transferring IRI data, the related ROSE operations do not need to be implemented.	

Clause ETSI TS 101 671 V3.12.1	Selection of ETSI options for Switzerland	Additional requirements
<b>Annex E: Use of sub-address and calling party number to carry correlation information</b>		
E.3.2	<b>Field order and layout</b> Parameter assignment for CC in accordance to tables E.3.2 and E.3.4 (and the E.3.4 based example in E.3.6) for the Called Party Subaddress and tables E.3.3 and E.3.8 (and the E.3.5 based example in E.3.7) for the Calling Party Subaddress, make provision to correlate CC with IRI according to ETSI TS 101 671 Annex A.1.2.	
<b>Annex I: Evolved Packet System Handover</b>		
I	<b>Evolved Packet System handover</b> For Evolved Packet System the Lawful Interception handover interface defined in ETSI TS 133 108 V13.4.0 shall be used.	See section 6.5.2.2

**Table 6-8: ETSI TS 101 671 V3.12.1 requirements and options**

The supported ASN.1 Object identifiers and versions are stated in section 6.6

#### 6.5.1.1.1 Conventions for Subaddress encoding for ETSI TS 101 671 V3.12.1

ETSI TS 101 671 V3.12.1 Annexes E.3.1 and E.3.2 define the coding rules for the various parameters contained in Called and Calling Party Subaddress field. The following rules apply:

- a) For numeric values the digit 1 shall be the Most Significant Digit (MSD) while digit n shall be the Least Significant Digit (LSD), see ETSI TS 101 671 V3.12.1 Annex E.3.1, last paragraph.
- b) All the fields according to ETSI TS 101 671 V3.12.1 Table E.3.2 (refers to Called Party Subaddress) and Table E.3.3 (refers to Calling Party Subaddress) shall be present and appear in the defined order, even if some fields are empty.
- c) An empty field shall be indicated by two consecutive Field separators ("FF" hex), see ETSI TS 101 671 V3.12.1 Annex E.3.2, first paragraph underneath Table E.3.2 with the following clarification:  
An "empty field" appears as "empty field consisting of one field separator" (single half-octet). In this case ONE field separator appear after the field separator of the preceding field, followed by the next field, that could take a value or be empty. For a single (isolated) empty field there are two field separators present in total, one is the field separator of the preceding field and the other is for the empty field; for two (consecutive) empty fields there are three field separators present in total, one is the field separator of the preceding field and the remaining are one for each empty field; for three

(consecutive) empty fields there are four field separators present in total, etc...

The format depicted in the figures below illustrates this clarification.

- d) The service octets 19 (TMR), 20 (BC octet 3), and 21 (HLC octet 4) shall be present even if a parameter is not available (Note). In the latter case a value "FF" hex shall be entered.

NOTE: The term "available" refers to the presence of a parameter in the signaling messages, i.e. denotes "when provided" by the function that is subject to interception.

#### 6.5.1.1.2 Format of the Called Party Subaddress Information Element

ETSI TS 101 671 V3.12.1 Table E.3.4 specifies the format of the Called Party Subaddress information element including the Lawful Interception specific parameters to be sent as part of the setup message to LEMF when a CC-link is being established. The format according to ETSI TS 101 671 V3.12.1 Table E.3.4 shall be supported as detailed in this section.

Some of the parameters contained in the Called Party Subaddress are of variable length. Depending on their lengths they appear in different instances of the Called Party Subaddress while retaining the order.

For the LI specific parameters of the Called Party Subaddress ETSI TS 101 671 V3.12.1 Annex E.3 applies with the following clarification:

- a) The odd/even indicator defines the number of half-octets up to and including the final Field separator which is either in an odd (final Field separator shall be mapped into bits "4321") or an even (final Field separator shall be mapped into bits "8765") position within the half-octet structure. It does not include the spare field, if any, at the end.
- b) For parameters with a numeric value that spans more than one half-octet (these are Operator-ID and CIN) the Most Significant Digit (MSD) is the half-octet with the lowest number.

The value to be entered into a spare half-octet is undefined in ETSI TS 101 671 V3.12.1. It shall be set the value of "0000". At the receiving side spare shall be ignored, i.e. the message containing the Called Party Subaddress shall not be rejected because a spare bit is set to "1".

The figure below depicts the format of the Called Party Subaddress for a five digit CIN.

octet	Bit							
	8	7	6	5	4	3	2	1
1	Called party subaddress information element identifier							
	0	1	1	1	0	0	0	1
2	Length of calling party subaddress contents (9 octets in this case)							
	0	0	0	0	1	0	0	1
3	ext.	Type of subaddress			Odd/ev en	Spare		
	1	0	1	0	0 (even)	0	0	0
4	Operator-ID				Operator-ID (MSD) NOTE			
	0	0	0	0	1	0	0	1
5	Operator-ID				Operator-ID			
	0	0	0	0	0	0	0	0
6	Field separator				Operator-ID (LSD)			
	1	1	1	1	0	0	0	1
7	CIN				CIN (MSD)			
8	CIN				CIN			
9	Field separator				CIN (LSD)			
	1	1	1	1				
10	Field separator or Spare (IIF implementation option)				Field separator			
	1/0	1/0	1/0	1/0	1	1	1	1

**Figure 6-1: Called Party Subaddress Information Element ETSI TS 101 671 V3.12.1**

NOTE: In this Called Party Subaddress the Operator-ID value is set as an example to „90001“.

The LEMF shall take the parameter “CIN” as the last parameter in the Called Party Subaddress when followed by at least two consecutive Field separators and no further fields other than Field separator or Spare, otherwise the Called Party Subaddress contains a “National parameter” to be treated by LEMF.

#### 6.5.1.1.3 Format of the of the Calling Party Subaddress Information Element

ETSI TS 101 671 V3.12.1 Table E.3.5 specifies the format of the Calling Party Subaddress information element including the Lawful Interception specific parameters to be sent as part of the setup message to LEMF when a CC-link is being established. The format according to ETSI TS 101 671 V3.12.1 Table E.3.5 shall be supported as detailed in this section.

Some of the parameters contained in the Calling Party Subaddress are of variable length. Depending on their length they appear in different instances of the Calling Party Subaddress while retaining the order.

For the LI specific parameters of the Calling Party Subaddress ETSI TS 101 671 V3.12.1 Annex E.3 applies with the following clarification:

- a) The odd/even indicator defines the number of half-octets up to an including the Field separator subsequent to the parameter “Direction” which is either in an odd (Field separator shall be mapped into bits “4321”) or an even (Field separator shall be mapped into bits “8765”) position within the half-octet structure. It does not include the spare field, if any, between the last Field separator and octet 19.

- b) For parameters with a numeric value that spans more than one half-octet (this is LIID) the Most Significant Digit (MSD) is the half-octet with the lowest number.
- c) The value to be entered into a spare half-octet is undefined in ETSI TS 101 671 V3.12.1. It shall be set to the value of "0000". At the receiving side spare shall be ignored, i.e. the message containing the Calling Party Subaddress shall not be rejected because a spare bit is set to "1".
- d) Special rules apply to the Service Octets from 19 through 21 as described in section 6.5.1.1.4.
- e) Depending on the presence of Mobile Bearer Service Code and Mobile Tele-service Code in signaling messages, information shall be provided in octets 22 and 23 as follows:
  - 1. If both, Mobile Bearer Service Code and Mobile Teleservice Code are provided by signaling, octets 22 AND 23 shall be present.
  - 2. If Mobile Bearer Service Code is provided by signaling, and Mobile Teleservice Code is NOT provided by signaling, octet 22 shall be present.
  - 3. If Mobile Teleservice Code is provided by signaling, and Mobile Bearer Service Code is NOT provided by signaling, neither octet 22 nor octet 23 shall be present.
  - 4. If neither Mobile Teleservice Code nor Mobile Bearer Service Code is provided by signaling, neither octet 22 nor octet 23 shall be present.

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The figure below depicts the format of the Calling Party Subaddress (example with the defined 15-digit LIID).

octet	bit							
	8	7	6	5	4	3	2	1
1	Calling party subaddress information element identifier							
	0	1	1	0	1	1	0	1
2	Length of calling party subaddress contents							
	0	0	0	1	0	0	1	1
3	ext.	Type of subaddress			Odd/even	Spare		
	1	0	1	0	1 (odd)	0	0	0
4	LIID <2>				LIID <1> (MSD)			
5	LIID <4>				LIID <3>			
6	LIID <6>				LIID <5>			
7	LIID <8>				LIID <7>			
8	LIID <10>				LIID <9>			
9	LIID <12>				LIID <11>			
10	LIID <14>				LIID <13>			
11	Field separator				LIID<15> (LSD)			
12	Field separator				Direction: CC from Target = 1, CC to Target = 2			
	1	1	1	1	1	1	0/1	0/1
13	Spare				Spare			
18	Spare				Spare			
19	Service Parameter "TMR" according to ITU-T Rec. Q.763 (12/99) § 3.54							
20	Service Parameter "BC" octet 3 according to ITU-T Rec. Q.931 (05/98) § 4.5.5							
	ext	Coding standard		Information transfer capability				
	1	0	0					
21	Service Parameter "HLC" octet 4 according to ITU-T Rec. Q.931 (05/98) § 4.5.17							
	ext	High layer characteristics identification						
	0/1							
22	Mobile Bearer Service Code according to ETS 300 974 (2000) § 14.7.10							
	Public Land Mobile Network specific Format							
	Format for other Bearer Service Codes							
	unused	group (see ETS 300 974(2000) § 14.7.10)				rate (see ETS 300 974 (2000) § 14.7.10)		
	0							
23	Mobile Teleservice Code according to ETS 300 974 (2000) § 14.7.9							
	group (see ETS 300 974 (2000) § 14.7.9)				specific service (see ETS 300 974 (2000) § 14.7.9)			

**Figure 6-2 Calling Party Subaddress Information Element ETSI TS 101 671 V3.12.1**

The Bearer Service Code allows two formats, the choice being a CSP option:

- a) the PLMN-specific bearer services, individually defined by each Home Public Land Mobile Network Operator, with codepoint for bits "4321" from 0 through F (Hex) with leading bits "8765" equal to "1101", see ETSI ETS 300 974 (2000) ASN.1 encoding BearerServiceCode set from allPLMN-specificBS through plmn-specificBS-F.

- b) the „rest“ of bearer services with the structure and codepoints defined in ETSI ETS 300 974 (2000) ASN.1 encoding BearerServiceCode.

The Teleservice Code allows two formats, the choice being a CSP option:

- a) the PLMN-specific teleservices, individually defined by each Home Public Land Mobile Network Operator, with codepoint for bits “4321” from 0 through F (Hex) with leading bits “8765” indicating the group PLMN “1101”, see ETSI ETS 300 974 (2000) ASN.1 encoding TeleserviceCode set from allPLMN-specificTS through plmn-specificTS-F.
- b) the „rest“ of bearer services with the codepoints for bits „87654321“ defined in ETSI ETS 300 974 (2000) ASN.1 encoding TeleserviceCode.

#### 6.5.1.1.4 Service octets for fix networks

For Fix networks the Calling Party Subaddress contains three parameters that allow identifying the profile of the Content of Communication of the intercepted call. These are:

- Octet 19: The parameter Transmission Medium Requirement (TMR), see ETSI TS 101 671 V3.12.1 Table E.3.5.
- Octet 20: The parameter Bearer Capability (BC), see ETSI TS 101 671 V3.12.1 Table E.3.5.
- Octet 21: The parameter High Layer Compatibility (HLC), see ETSI TS 101 671 V3.12.1 Table E.3.5.

ITU-T Recommendation Q.699 specifies how, among others, analog signaling, the information elements of DSS1 SETUP, and parameters of ISUP IAM (Initial Address Message) are to be used in specific call scenarios.

The service information available in principle at the Switch where the IIF resides depends on the connection from the calling party (target or third party) to the IIF which could be either ISDN or non-ISDN:

- a) For ISDN, ITU-T Q.699 (09/97) § 2.1.1.1, in particular Table 1 in ITU-T Q.699 (09/97) (for TMR), Table 3 in ITU-T Q.699 (09/97) (for User Service Information parameter, USI) and Table 6 in ITU-T Q.699 (09/97) (for User Teleservice Information parameter, UTI) applies.
- b) For non-ISDN, including third calling ISDN with intermediate interworking, ITU-T Q.699 (09/97) § 2.2.1.1 applies. In relation to TMR, the value is 3.1 kHz audio. Neither the USI nor the UTI are present.

The following table describes the availability of service information within the switch where the IIF resides for various call scenarios in terms of ISUP parameters (TMR, USI, UTI), and defines the mapping of information contained in the ISUP parameters TMR, USI and UTI into the Calling Party Subaddress to be done by the IIF. It should be noted that the information on the service profile that is provided by the calling party, which could be the target or a third party, and is passed via signaling to the IIF is mainly relevant for the contents of octets 19, 20 and 21 in the Calling Party Subaddress.

In the case that an analog target terminates a call, there are implementation options as follows, the choice being a CSP option:

- Option a.: All parameters available in the switch where the IIF resides are mapped into the octets 19 (TMR), 20 (USI), and 21 (UTI) of the Calling Party Subaddress.
- Option b.: Only TMR is mapped into the octet 19 of the Calling Party Subaddress, while 20 (USI), and 21 (UTI) are set to “FF” (hex).

- Option c.: The octets 19 (TMR), 20 (USI), and 21 (UTI) of the Calling Party Subaddress are set to "FF" (hex).

The IIF shall meet the requirements specified in the following table:

Calling	Called	ISUP parameters according to ITU-T Q.699 (09/97)			Parameters according to ETSI TS 101 671 V3.12.1 Table E.3.5		
		ISUP Transmission Medium Requirement ITU-T Q.763 (12/99) § 3.54	ISUP User Service Information octet 1 ITU-T Q.763 (12/99) § 3.57 (coding see ITU-T Q.931 (05/98) § 4.5.5 octet 3)	ISUP User Teleservice Information octet 2 ITU-T Q.763 (12/99) § 3.59 (coding see ITU-T Q.931 (05/98) § 4.5.17 octet 4)	Service Parameter octet 19 (value "TMR")	Service Parameter octet 20 (value "BC" octet 3)	Service Parameter octet 21 (value "HLC" octet 4)
Target ISDN	Third any	speech	speech	not present or telephony	speech or "FF" hex (NOTE 1)	speech	"FF" hex or telephony (NOTE 2)
		64 kbit/s unrestricted	unrestricted digital information	not present or value matching BC	UDI or "FF" hex (Note 1)	unrestricted digital information	"FF" hex or value matching BC (NOTE 2)
		3.1 kHz audio	3.1 kHz audio	not present or value matching BC	3.1 kHz audio or "FF" hex (NOTE 1)	3.1 kHz audio	"FF" hex or value matching BC (NOTE 2)
Target analog	Third any	3.1 kHz audio	not present	not present	3.1 kHz audio	"FF" hex	"FF" hex
Third ISDN	Target ISDN	speech	speech	not present or telephony	speech or "FF" hex (NOTE 1)	speech	"FF" hex or telephony (NOTE 2)
		64 kbit/s unrestricted	unrestricted digital information	not present or value matching BC	UDI or "FF" hex (NOTE 1)	unrestricted digital information	"FF" hex or value matching BC (NOTE 2)
		3.1 kHz audio	3.1 kHz audio	not present or value matching BC	3.1 kHz audio or "FF" hex (NOTE 1)	3.1 kHz audio	"FF" hex or value matching BC (NOTE 2)
Third ISDN	Target analog	speech	speech	not present or telephony	OPTION a.: speech or "FF" hex (NOTE 1)	OPTION a.: speech	OPTION a.: "FF" hex or telephony (NOTE 2)
					OPTION b.: speech	OPTION b.: "FF" hex	OPTION b.: "FF" hex
					OPTION c.: "FF" hex	OPTION c.: "FF" hex	OPTION c.: "FF" hex
		64 kbit/s unrestricted	unrestricted digital information	not present or value matching BC	No communication between third party and Target takes place with this service profile, since the user destination "analog" is incompatible to the service profile of the offered call. No CC-links are established using this profile, but IRI is sent.		

		ISUP parameters according to ITU-T Q.699 (09/97)			Parameters according to ETSI TS 101 671 V3.12.1 Table E.3.5		
Calling	Called	ISUP Transmission Medium Requirement ITU-T Q.763 (12/99) § 3.54	ISUP User Service Information octet 1 ITU-T Q.763 (12/99) § 3.57 (coding see ITU-T Q.931 (05/98) § 4.5.5 octet 3)	ISUP User Teleservice Information octet 2 ITU-T Q.763 (12/99) § 3.59 (coding see ITU-T Q.931 (05/98) § 4.5.17 octet 4)	Service Parameter octet 19 (value "TMR")	Service Parameter octet 20 (value "BC" octet 3)	Service Parameter octet 21 (value "HLC" octet 4)
		3.1 kHz audio	3.1 kHz audio	not present or value matching BC	OPTION a.: 3.1 kHz audio or "FF" hex (NOTE 1)	OPTION a.: 3.1 kHz audio	OPTION a.: "FF" hex or value matching BC (NOTE 2)
					OPTION b.: 3.1 kHz audio	OPTION b.: "FF" hex	OPTION b.: "FF" hex
					OPTION c.: "FF" hex	OPTION c.: "FF" hex	OPTION c.: "FF" hex
Third analog or Inter-working	Target ISDN	3.1 kHz audio	not present	not present	3.1 kHz audio	"FF" hex	"FF" hex
Third analog or Inter-working	Target analog	3.1 kHz audio	not present	not present	OPTION a.: 3.1 kHz audio	OPTION a.: "FF" hex	OPTION a.: "FF" hex
					OPTION b.: 3.1 kHz audio	OPTION b.: "FF" hex	OPTION b.: "FF" hex
					OPTION c.: "FF" hex	OPTION c.: "FF" hex	OPTION c.: "FF" hex

**Table 6-9: Mapping of TMR, USI and UTI at IIF into Calling Party Subaddress service**

NOTE 1: Service Parameter octet 19 allows IIF an implementation option in situations where the information is contained in octet 20 thereby LEMF may ignore octet 19.

NOTE 2: Service Parameter octet 21 may deliver "FF" hex, if the optional HLC has not been provided by the calling user.

### 6.5.2 ETSI TS 133 108 Handover interface for Lawful Interception

The handover interface specifications of ETSI TS 133 108 V13.4.0 are based on the description from the specification ETSI TS 133 107 V13.5.0 Lawful interception architecture and functions.

This handover interface is composed of two main parts.

The first part may be used for the delivery of the intercepted data related to telephony service in the circuit switched domain, such as GSM and UMTS telephony. The section 6.5.2.1 specifies the requirements and options.

The second part may be used for the delivery of the intercepted data related to all services based on the packet switched domain, such as GPRS, Evolved Packet System (EPS), non-3GPP access, IMS-based VoIP, IMS Conference Services. The section 6.5.2.2 specifies the requirements and options.

**6.5.2.1 ETSI TS 133 108 V13.4.0 requirements and options for the circuit switched domain**

Clause ETSI TS 133 108 V13.4.0	Selection of ETSI options for Switzerland	Additional requirements
4.3	<p><b>Functional requirements</b> Options “IRI and CC” and “only IRI” option must be supported. Option “only CC” does not need to be implemented.</p>	
4.4	<p><b>Manual/Electronic handover interface 1 (HI1)</b> An electronic handover interface from the LEMF to the obligated party’s technical infrastructure for direct administration of interception measures without the involvement of the obligated party is not implemented in Switzerland. Events regarding the management of an interception (e.g. activation and deactivation) and error communication must be delivered.</p>	See section 4
4.5.1	<p><b>Data transmission protocol</b> FTP is used for IRI data. The FTP connection must be closed immediately after data transmission.</p>	See section 6.3.8
<b>Chapter 5: Circuit-switched domain</b>		
5.1.2.1	<p><b>Network Identifier (NID)</b> The NID is composed of 5 digits: NWO/AP/SvP identifier (Operator identifier). PTSS provides the Operator identifier.</p>	See section 6.3.2.1
5.2.2.1	<p><b>Control information for HI2</b> The timestamp must include official local time and related DST indication or UTC time</p>	
5.3.1	<p><b>Delivery of Content of Communication</b> For relating CC data to other H-Interfaces the subaddress service will be used as specified in ETSI TS 133 108 V13.4.0 Annex J.2 instead of the user-to-user signalling. For SMS and UUS Services, CC will be transferred as IRI. The provider must remove encryption algorithm applied by the CSP internally in the network at the handover interface.</p>	CC data must be A-law coded in compliance to ITU-T G.711 (11/88), i.e. in case of different coding in the original channel (e.g. GSM) the mediation function must ensure conversion to A-law coding.

Clause ETSI TS 133 108 V13.4.0	Selection of ETSI options for Switzerland	Additional requirements
5.3.2	<b>Control information for circuit switched Content of Communication</b> The terminal end point of PTSS replies to a SETUP message immediately with a CONNECT message, i.e. without any ALERTING message.	
5.3.3	<b>Security requirements at the interface port HI3</b> ISDN Service specifications CLIP and COLP must be used for creating CC links to PTSS.	CUG does not need to be implemented.
5.3.3.3	<b>Authentication</b> A special authentication procedure within the ISDN B Channel or within the Subaddress is not used.	
5.4.4 5.5.2, 5.5.3, 5.5.11	<b>Multi party calls – general principles</b> Only option A is available and must be used.	
5.5.12.1.1	<b>Call Diversion by target, CC links</b> For CFNR, UDUB, CD and partial rerouting, option 2 (with CONTINUE-Record) must be implemented.	
5.5.3	<b>Call Hold/Retrieve</b> If an active call is put on hold, its CC link shall stay intact and the signal from the held party shall be switched through to the LEMF. If the target sets up a new call, while one call is on hold, this call shall be treated as a normal originating call (additional CC link). ETSI TS 133 108 V13.4.0 §5.5.3.1 applies. CC links shall stay intact and the signal from the held party shall be switched through.	
5.5.4.1	<b>Explicit Call Transfer (ECT)</b> For explicit call transfer, Option 2 must be implemented. This means, that the transferred call must not be intercepted.	
5.5.15	<b>User-to-User Signalling (UUS)</b> UUS service data will be delivered as IRI data.	See points 5.3.1 in this Table.
<b>Annex A: HI2 delivery mechanisms and procedures</b>		
A	<b>ROSE/FTP</b> FTP must be used for transferring IRI data over HI2-interface; ROSE is not allowed.	

Clause ETSI TS 133 108 V13.4.0	Selection of ETSI options for Switzerland	Additional requirements
A.2	<b>Usage of FTP for conveying IRI data.</b> File naming method B must be used according to section 6.3.8	
<b>Annex B: Structure of data at the handover interface</b>		
B.3	MapDatum ::= ENUMERATED wGS84,	
<b>Annex J: Use of sub-address and calling party number to carry correlation information</b>		
J.2.3	<b>Field order and layout</b> Parameter assignment for CC in accordance to tables J.2.3. and J.2.5 (and the J.2.5 based example in J.2.4A) for the Called Party Subaddress and tables J.2.4 and J.2.6 for the Calling Party Subaddress, make provision to correlate CC with IRI according to ETSI TS 133 108V13.4.0 Annex J.2.	

**Table 6-10: ETSI TS 133 108 V13.4.0 requirements and options for the circuit switched domain**

The supported ASN.1 Object identifiers and versions are stated in section 6.6

#### 6.5.2.1.1 Conventions for Subaddress encoding for ETSI TS 133 108 V13.4.0

ETSI TS 133 108 V13.4.0 Annexes J.2.3.1, J.2.3.2 define the coding rules for the various parameters contained in Called and Calling Party Subaddress field. The following rules apply:

- a) For numeric values the digit 1 shall be the Most Significant Digit (MSD) while digit n shall be the Least Significant Digit (LSD), see ETSI TS 133 108 V13.4.0 Annex J.2.3.1, last paragraph.
- b) All the fields according to ETSI TS 133 108 V13.4.0 Table J.2.3 (refers to Called Party Subaddress) and Table J.2.4 (refers to Calling Party Subaddress) shall be present and appear in the defined order, even if some fields are empty.
- c) An empty field shall be indicated by two consecutive Field separators ("FF" hex), see ETSI TS 133 108 V13.4.0 Annex J.2.3.2, first paragraph underneath Table J.2.3 with the following clarification:

An "empty field" appears as "empty field consisting of one field separator" (single half-octet). In this case ONE field separator appear after the field separator of the preceding field, followed by the next field, that could take a value or be empty. For a single (isolated) empty field there are two field separators present in total, one is the field separator of the preceding field and the other is for the empty field; for two (consecutive) empty fields there are three field separators present in total, one is the field separator of the preceding field and the remaining are one for each empty field; for three

(consecutive) empty fields there are four field separators present in total, etc...

The format depicted in the figures below illustrates this clarification.

- d) The service octets 19 (TMR), 20 (BC octet 3), and 21 (HLC octet 4) shall be present even if a parameter is not available (Note). In the latter case a value "FF" hex shall be entered.

NOTE: The term "available" refers to the presence of a parameter in the signaling messages, i.e. denotes "when provided" by the function that is subject to interception.

#### 6.5.2.1.2 Format of the Called Party Subaddress Information Element

ETSI TS 133 108 V13.4.0 Table J.2.5 specifies the format of the Called Party Subaddress information element including the Lawful Interception specific parameters to be sent as part of the setup message to LEMF when a CC-link is being established. The format according to ETSI TS 133 108 V13.4.0 Table J.2.5 shall be supported as detailed in this section.

Some of the parameters contained in the Called Party Subaddress are of variable length. Depending on their length they appear in different instances of the Called Party Subaddress while retaining the order.

For the LI specific parameters of the Called Party Subaddress ETSI TS 133 108 V13.4.0 Annex J.2.3 applies with the following clarification:

- a) The odd/even indicator defines the number of half-octets up to and including the final Field separator which is either in an odd (final Field separator shall be mapped into bits "4321") or an even (final Field separator shall be mapped into bits "8765") position within the half-octet structure. It does not include the spare field, if any, at the end.
- b) For parameters with a numeric value that spans more than one half-octet (these are Operator-ID and CIN) the Most Significant Digit (MSD) is the half-octet with the lowest number.
- c) The value to be entered into a spare half-octet is undefined in ETSI TS 133 108 V13.4.0. It shall be set the value of "0000". At the receiving side spare shall be ignored, i.e. the message containing the Called Party Subaddress shall not be rejected because a spare bit is set to "1".

The figure below depicts the format of the Called Party Subaddress for a five digit CIN.

octet	bit							
	8	7	6	5	4	3	2	1
1	Called party subaddress information element identifier							
	0	1	1	1	0	0	0	1
2	Length of calling party subaddress contents (9 octets in this case)							
	0	0	0	0	1	0	0	1
3	ext.	Type of subaddress			Odd/ev en	Spare		
	1	0	1	0	0 (even)	0	0	0
4	Operator-ID				Operator-ID (MSD) NOTE			
	0	0	0	0	1	0	0	1
5	Operator-ID				Operator-ID			
	0	0	0	0	0	0	0	0
6	Field separator				Operator-ID (LSD)			
	1	1	1	1	0	0	0	1
7	CIN				CIN (MSD)			
8	CIN				CIN			
9	Field separator				CIN (LSD)			
	1	1	1	1				
10	Field separator or Spare (IIF implementation option)				Field separator			
	1/0	1/0	1/0	1/0	1	1	1	1

**Figure 6-3: Called Party Subaddress Information Element ETSI TS 133 108 V13.4.0**

NOTE: In this Called Party Subaddress the Operator-ID value is set as an example to „90001“.

The LEMF shall take the parameter “CIN” as the last parameter in the Called Party Subaddress when followed by at least two consecutive Field separators and no further fields other than Field separator or Spare, otherwise the Called Party Subaddress contains a “National parameter” to be treated by LEMF.

#### 6.5.2.1.3 Format of the Calling Party Subaddress Information Element

ETSI TS 133 108 V13.4.0 Table J.2.6 specifies the format of the Calling Party Subaddress information element including the Lawful Interception specific parameters to be sent as part of the setup message to LEMF when a CC-link is being established. The format according to ETSI TS 133 108 V13.4.0 Table J.2.6 shall be supported as detailed in this section.

Some of the parameters contained in the Calling Party Subaddress are of variable length. Depending on their length they appear in different instances of the Calling Party Subaddress while retaining the order.

For the LI specific parameters of the Calling Party Subaddress ETSI TS 133 108 V13.4.0 Annex J.2.3 applies with the following clarification:

- a) The odd/even indicator defines the number of half-octets up to an including the Field separator subsequent to the parameter “Direction” which is either in an odd (Field separator shall be mapped into bits “4321”) or an even (Field separator shall be mapped into bits “8765”) position within the half-octet structure. It does not include the spare field, if any, between the last Field separator and octet 19.
- b) For parameters with a numeric value that spans more than one half-octet (this is LIID) the Most Significant Digit (MSD) is the half-octet with the lowest number.

- c) The value to be entered into a spare half-octet is undefined in ETSI TS 133 108 V13.4.0. It shall be set to the value of "0000". At the receiving side spare shall be ignored, i.e. the message containing the Calling Party Subaddress shall not be rejected because a spare bit is set to "1".
- d) Depending on the presence of Mobile Bearer Service Code and Mobile Tele-service Code in signaling messages, information shall be provided in octets 22 and 23 as follows:
1. If both, Mobile Bearer Service Code and Mobile Teleservice Code are provided by signaling, octets 22 AND 23 shall be present.
  2. If Mobile Bearer Service Code is provided by signaling, and Mobile Teleservice Code is NOT provided by signaling, octet 22 shall be present.
  3. If Mobile Teleservice Code is provided by signaling, and Mobile Bearer Service Code is NOT provided by signaling, neither octet 22 nor octet 23 shall be present.
  4. If neither Mobile Teleservice Code nor Mobile Bearer Service Code is provided by signaling, neither octet 22 nor octet 23 shall be present.

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The figure below depicts the format of the Calling Party Subaddress (example with 15-digit LIID).

octet	bit							
	8	7	6	5	4	3	2	1
1	Calling party subaddress information element identifier							
	0	1	1	0	1	1	0	1
2	Length of calling party subaddress contents							
	0	0	0	1	0	0	1	1
3	ext.	Type of subaddress			Odd/even	Spare		
	1	0	1	0	1 (odd)	0	0	0
4	LIID <2>				LIID <1> (MSD)			
5	LIID <4>				LIID <3>			
6	LIID <6>				LIID <5>			
7	LIID <8>				LIID <7>			
8	LIID <10>				LIID <9>			
9	LIID <12>				LIID <11>			
10	LIID <14>				LIID <13>			
11	Field separator				LIID <15> (LSD)			
12	Field separator				Direction: CC from Target = 1, CC to Target = 2			
	1	1	1	1	1	1	1/0	0/1
13	Spare				Spare			
18	Spare				Spare			
19	Service Parameter "TMR" according to ITU-T Rec. Q.763 (12/99) § 3.54							
20	Service Parameter "BC" octet 3 according to ITU-T Rec. Q.931 (05/98) § 4.5.5							
	ext	Coding standard		Information transfer capability				
	1	0	0					
21	Service Parameter "HLC" octet 4 according to ITU-T Rec. Q.931 (05/98) § 4.5.17							
	ext	High layer characteristics identification						
	0/1							
22	Mobile Bearer Service Code according to ETSI TS 129 002 V4.18.0 § 17.7.10							
	Public Land Mobile Network specific Format							
	Format for other Bearer Service Codes							
	unused	group (see ETSI TS 129 002 V4.18.0 § 17.7.10)				rate (see ETSI TS 129 002 V4.18.0 § 17.7.10)		
	0							
23	Mobile Teleservice Code according to ETSI TS 129 002 § 17.7.9							
	group (see ETSI TS 129 002 V4.18.0 § 17.7.9)				specific service (see ETSI TS 129 002 V4.18.0 § 17.7.9)			

Figure 6-4: Calling Party Subaddress Information Element ETSI TS 133 108 V13.4.0

The Bearer Service Code allows two formats, the choice being a CSP option:

- a) the PLMN-specific bearer services, individually defined by each Home Public Land Mobile Network Operator, with codepoint for bits "4321" from 0 through F (Hex) with

leading bits “8765” equal to “1101”, see ETSI TS 129 002 V4.18.0 ASN.1 encoding BearerServiceCode set from allPLMN-specificBS through plmn-specificBS-F.

- b) the „rest“ of bearer services with the structure and codepoints defined in ETSI TS 129 002 V4.18.0 ASN.1 encoding BearerServiceCode.

The Teleservice Code allows two formats, the choice being a CSP option:

- a) the PLMN-specific teleservices, individually defined by each Home Public Land Mobile Network Operator, with codepoint for bits “4321” from 0 through F (Hex) with leading bits “8765” indicating the group PLMN “1101”, see ETSI TS 129 002 V4.18.0 ASN.1 encoding TeleserviceCode set from allPLMN-specificTS through plmn-specificTS-F.
- b) the „rest“ of bearer services with the codepoints for bits „87654321“ defined in ETSI TS 129 002 V4.18.0 ASN.1 encoding TeleserviceCode.

#### 6.5.2.2 ETSI TS 133 108 V13.4.0 requirements and options for the packet switched domain

Clause ETSI TS 133 108 V13.4.0	Selection of ETSI options for Switzerland	Additional requirements
<b>4. General</b>		
4.4	<b>Manual/Electronic Handover Interface 1 (HI1)</b> An electronic handover interface from the LEMF to the obligated party's technical infrastructure for direct administration of interception measures without the involvement of the obligated party is not implemented in Switzerland. Events regarding the management of an interception (e.g. activation and deactivation) and error communication must be delivered.	See section 3
4.5	<b>HI2: Interface port for Interception Related Information</b> Buffering of IRI for the purpose of recovery is required, for instance if the transmission of IRI fails.	Buffering of IRI data up to 24 hours
4.5.1	<b>Data transmission protocol</b> FTP is used for IRI The FTP connection must be closed immediately after data transmission.	See section 6.3.8
<b>6. Packet data domain</b>		
6.5.1.1	<b>REPORT record information</b> Record shall be triggered: - when the SGSN receives the SMS-MO from the target MS. - when the SGSN receives the SMS-MT from the SMS-Centre	
<b>7. Multi-media domain</b>		

Clause ETSI TS 133 108 V13.4.0	Selection of ETSI options for Switzerland	Additional requirements
7	<p><b>Multi-media domain</b> The provision of the lawful interception of services supported by the IP Multimedia Core Network Subsystem (IMS) shall be carried out in conformity with the provisions of the technical specification corresponding to RELEASE 7 or higher, according to the releases in which the telecommunications services in question are found at each time.</p>	
7.1	<p><b>Identifiers</b> Interception is performed on an IMS identifier(s) associated with the interception subject including identifier types such as SIP URI and Tel URI and IMEI if available. NOTE: MSISDN and IMSI can be represented in the user part of the SIP URI and Tel URI if available.</p>	
7.1.1	<p><b>Lawful Interception Identifier (LIID)</b> The use of a single LIID for multiple target public user identities (e.g. SIP URI and TEL URI) all pertaining to the same target is required.</p>	
7.1.2	<p><b>Network identifier</b> The operator-Identifier is composed of 5 digits provided by PTSS. The Network-Element-Identifier (NEID) parameter shall be provided.</p>	
7.2.1	<p><b>Timing</b> IMS specific timestamp shall have a precision to the millisecond.</p>	
7.3	<p><b>Security aspects</b> The delivery of the IRI records uses one of the delivery networks as described in VD-UPF Annex 2. It shall be agreed between the CSP and PTSS.</p>	
7.4	<p><b>Quantitative aspects</b> As the realisation of the IMS-based VoIP services is depending on the user equipments and the CSP network capabilities, the PTSS cannot provide meaningful guidance for the dimensioning of the target interceptions to be supported.</p>	
<b>8. 3GPP WLAN</b>		
8	<b>3GPP WLAN interworking</b>	

Clause ETSI TS 133 108 V13.4.0	Selection of ETSI options for Switzerland	Additional requirements
	NOTE: WLAN Interworking specification (3GPP TS 29.234 V11.2.0) is no longer maintained in Release 12 and onwards.	
<b>11. 3GPP IMS Conference Services</b>		
11.1.2	The use of a single LIID for multiple target public user identities (e.g. SIP URI and TEL URI) all pertaining to the same target is required.	
11.5	<b>IRI for IMS Conference Services</b> As mentioned in ETSI TS 133 108 V13.4.0 Table 11.2 the parameters IMPI or IMPU may not be observed and available in the MRFC node.	
11.6	<b>CC for IMS Conference Services</b> Section 6.6 provides the list of supported ASN.1 modules.	
<b>12. 3GPP IMS-based VoIP Services</b>		
12.6	<b>CC for IMS-based VoIP</b> For IMS-based VoIP services the use of the VoIP-HI3-IMS ASN.1 module is preferred to deliver the content of communication.	
<b>Annex A: HI2 delivery mechanisms and procedures</b>		
A	<b>ROSE/FTP</b> FTP must be used for transferring IRI data over HI2-interface; ROSE is not allowed.	
A.2.2	<b>Usage of FTP for conveying IRI data</b> File naming method B must be used.	
<b>Annex B: Structure of data at the handover interface</b>		
B.3 - B.10	The supported ASN.1 Object ID and versions for Switzerland are indicated in the section 6.6.	
<b>Annex C: UMTS and EPS HI3 interfaces</b>		
C	<b>UMTS and EPS HI3 interfaces</b> ULIC header version 1 with TCP/IP described in Annex C.1.3. shall be used.	
C.1.1	<b>Introduction</b> When using TCP/IP as transfer method, the used destination port will be provided by PTSS.	Using UDP for transferring the ULIC header is not allowed.
C.1.3	<b>Definition of ULIC header version 1</b> When using ULIC header version 1, the parameters LIID and timeStamp are mandatory.	

Clause ETSI TS 133 108 V13.4.0	Selection of ETSI options for Switzerland	Additional requirements
C.1.5	The recommended IPsec interface is not used in Switzerland.	The delivery network interface shall be agreed with PTSS. Refer to VD-ÜPF Annex 2.
C.2	<b>FTP</b> Usage of FTP for conveying CC data is not supported.	
<b>Annex K: VoIP HI3 Interface</b>		
K.1	<b>VoIP CC Protocol Data Unit</b> The VoIP CC Protocol Data Unit shall be delivered to the LEMF using TCP as the transport protocol.	
K.2	<b>Definition of VoIP LI Correlation header</b> Provision of the LIID. Provision of the TimeStamp parameter.	The provision of the LIID is mandatory. The provision of the TimeStamp parameter is mandatory.
K.4	<b>LEMf considerations</b> IPSec shall not be used. Consider the delivery network specifications in VD-ÜPF Annex 2 for options.	

Table 6-11: ETSI TS 133 108 V13.4.0 requirements and options for the packet switched domain

The supported ASN.1 Object identifiers and versions are stated in section 6.6

### 6.5.3 ETSI TS 102 232-1 V3.11.1 Handover specification for IP delivery

Clause ETSI TS 102 232-1 V3.11.1	Selection of ETSI options for Swiss applications	Additional requirements or specifications
5.2.1	<b>Version</b> Because an OID is used in the ASN.1 description, a separate parameter is not necessary.	
5.2.2	<b>LIID</b> A unique value is assigned by PTSS via the HI1 interface using the mechanism specified in section 4	
5.2.3	<b>Authorization country code</b> 'CH' must be used in Switzerland.	
5.2.4	<b>Communication identifier</b> In Switzerland, "CH" must be used as the delivery country code (DCC). The operator identifier (part of NID) is assigned by PTSS.	PTSS provides the OperatorID composed of 5 digits. See section 6.3.2.1  The NEID parameter "networkElementIdentifier" must be provided.

Clause ETSI TS 102 232-1 V3.11.1	Selection of ETSI options for Swiss applications	Additional requirements or specifications
5.2.5	<b>Sequence number</b> The sequence number must already be set where the copy of the intercepted telecommunication was first generated (point of interception).	In some cases this requirement cannot be met. In such cases, the sequence number must be set before or at the delivery function. In any case, the sequence number must reproduce the precise counting method at the place of origin.
5.2.7	<b>Payload direction</b> Must be indicated for CC data.	
6.2.2	<b>Error reporting</b> <code>OperatorLeaMessage</code> specified in ETSI TS 102 232-1 V3.11.1 Annex A.2 must be used.	Related NID must be mentioned in the Transport Related Information (TRI) message.
6.2.3	<b>Aggregation of payloads</b> Aggregation of payload shall not be used.	
6.2.5	<b>Padding data</b> Padding of data shall not be used.	
6.3.1	<b>General</b> TCP/IP must be used.	
6.3.2	<b>Opening and closing of connections</b> The described handling of unsuccessful connection establishment must be implemented.	
6.3.4	<b>Keep-alives</b> Can optionally be implemented by the CSP.	The use of this option must be agreed with PTSS.
6.4.2	<b>TCP settings</b> The destination TCP port number at PTSS (LEMF) will be provided via HI1.	The port number applies in connection with the use of the service specifications TS 102 232-2 V3.9.1, TS 102 232-3 V3.3.1, TS 102 232-5 V3.5.1 and TS 102 232-6 V3.3.1.
7.2	<b>Security requirements</b> .	Neither TLS, nor signatures, nor hash codes must be used.
7.3.2	<b>Timeliness</b>	The possible use of separate managed networks must be agreed with PTSS.

Table 6-12: ETSI TS 102 232-1 V3.11.1 Handover specification for IP delivery

The supported ASN.1 Object identifiers and versions are stated in section 6.6

## 6.5.4 ETSI TS 102 232-2 V3.9.1 Service-specific details for messaging services

Clause ETSI TS 102 232-2 V3.9.1	Available options for Swiss applications	Additional requirements or specifications
6.2.3	<b>Email send IRI</b> IRI data according to table 1 for the event "Email send" must always be transferred.	
6.3.3	<b>Email receive IRI</b> IRI data according to table 2 for the event "Email receive" must always be transferred.	
6.4.3	<b>Email download IRI</b> IRI data according to table 3 for the event "Email download" must always be transferred.	
7.10	<b>AAAInformation</b> This information is critical as email addresses themselves are not authenticated and it is easy for a user to spoof an email "from" address so it is important to be able to see which mailbox is being used to send emails.	AAAInformation is accepted in either the e-mail-login event, or in the transfer event (e-mail-send / receive / download / partial-download / upload), or in both. However the AAAInformation must not be missing from both the login and the transfer event.
Annex A	<b>SMTP</b>	The quick reference table in section 6.5.4.2 provides a detailed representation of the ASN.1 parameters to be delivered for the different protocols and events.
Annex B	<b>POP3</b>	
Annex C	<b>IMAP</b>	
Annex D	<b>Messaging ASN.1</b>	

Table 6-13: ETSI TS 102 232-2 V3.9.1 Service-specific details for messaging services

The supported ASN.1 Object identifiers and versions are stated in section 6.6

### 6.5.4.1 Splitting of large email

ETSI TS 102 232-2 V3.9.1 does not specify a method for dealing with large volumes of content emails. PTSS set the following requirements: Large emails over 2MB must be split into multiple PDUs with a payload content of no more than 2MB each. The multiple PDUs will all be identical apart from the payload content itself and the sequenceNumber which must be incremented by one for each PDU delivered. Fragmented emails must be delivered in the correct order with the correct sequence numbers so that they can be re-assembled at the LEMF.

### 6.5.4.2 Parameters quick reference table

		(1) e-mail-send	(2) e-mail-receive	(3) e-mail-download	(4) e-mail-login-attempt	(5) e-mail-login	(6) e-mail-login-failure	(7) e-mail-logout	(8) e-mail-partial-download	(9) e-mail-upload	
PDUs expected	HI2	Y	Y	Y	optional	Y	optional	Y	Y	Y	
	HI3	Y	Y	Y	optional	Y	optional	Y	Y	Y	
Protocol-IDs used by each event type	Protocol-ID	smtp	smtp	pop3 imap4 undefined	smtp pop3 imap4 undefined	smtp pop3 imap4 undefined	smtp pop3 imap4 undefined	smtp pop3 imap4 undefined	pop3 imap4 undefined	imap4 undefined	
102 232-1 Header Elements	pSHheader										
	li-psDomainId	■	■	■	■	■	■	■	■	■	
	lawfulInterceptionIdentifier	■	■	■	■	■	■	■	■	■	
	authorizationCountryCode ("CH")	■	■	■	■	■	■	■	■	■	
	communicationIdentifier	■	■	■	■	■	■	■	■	■	
	networkIdentifier	■	■	■	■	■	■	■	■	■	
	operatorIdentifier	■	■	■	■	■	■	■	■	■	
	networkElementIdentifier	■	■	■	■	■	■	■	■	■	
	communicationIdentityNumber	■	■	■	■	■	■	■	■	■	
	deliveryCountryCode ("CH")	■	■	■	■	■	■	■	■	■	
sequenceNumber	■	■	■	■	■	■	■	■	■		
timeStamp	■	■	■	■	■	■	■	■	■		
102 232-1/2 payload Field Requirements (IRI)	iRIPayloadSequence	■	■	■	■	■	■	■	■	■	
	iRIPayload	■	■	■	■	■	■	■	■	■	
	iRIType	■	■	■	■	■	■	■	■	■	
	timeStamp	■	■	■	■	■	■	■	■	■	
	iRIContents	■	■	■	■	■	■	■	■	■	
	emailIRI	■	■	■	■	■	■	■	■	■	
	emailIRIObjId	■	■	■	■	■	■	■	■	■	
	eventType	■	■	■	■	■	■	■	■	■	
	client-Address	□	□	□	□	□	□	□	□	□	
	server-Address	□	□	□	□	□	□	□	□	□	
	client-Port	□	□	□	□	□	□	□	□	□	
	server-Port	□	□	□	□	□	□	□	□	□	
	server-Octets-Sent	■	■	■	■	■	■	■	■	■	
	client-Octets-Sent	■	■	■	■	■	■	■	■	■	
	protocol-ID	■	■	■	■	■	■	■	■	■	
	e-mail-Sender	■	■	□	⊗	⊗	⊗	⊗	□	□	
	e-mail-Recipients	■	■	□	⊗	⊗	⊗	⊗	□	□	
	status	■	■	■	■	■	■	■	■	■	
	total-Recipient-Count	■	■	■	⊗	⊗	⊗	⊗	■	■	
	message-ID	□	□	□	□	□	□	□	□	□	
nationalParameter	⊗	⊗	⊗	⊗	⊗	⊗	⊗	⊗	⊗		
national-EM-ASN1-parameters	⊗	⊗	⊗	⊗	⊗	⊗	⊗	⊗	⊗		
aAInformation	□	□	□	□	□	□	□	□	□		
e-mail-Sender-Validity	□	□	□	□	□	□	□	□	□		
102 232-1/2 payload Field Requirements (CC)	cCPayloadSequence	■	■	■	■	■	■	■	■	■	
	CCPayload	■	■	■	■	■	■	■	■	■	
	payloadDirection	■	■	■	■	■	■	■	■	■	
	timeStamp	□	□	□	□	□	□	□	□	□	
	cCContents	■	■	■	■	■	■	■	■	■	
	emailCC	■	■	■	■	■	■	■	■	■	
	emailCCObjId	■	■	■	■	■	■	■	■	■	
	email-Format	■	■	■	■	■	■	■	■	■	
content	■	■	■	■	■	■	■	■	■		
Data source for calculating octet counters	IP Packet Interception	clientOctets-sent	protocol messages from client to server + email message	protocol messages from client to server + email message	protocol messages from client to server	protocol messages from client to server	protocol messages from client to server	protocol messages from client to server	protocol messages from client to server	protocol messages from client to server + email message	
		serverOctets-sent	protocol messages from server to client	protocol messages from server to client	protocol messages from client to server + email message	protocol messages from server to client	protocol messages from server to client	protocol messages from server to client	protocol messages from client to server + email message	protocol messages from server to client	
	Application Interception	clientOctets-sent	email message	email message	zero	zero	zero	zero	zero	zero	email message
		serverOctets-sent	zero	zero	email message	zero	zero	zero	zero	email message	zero

Key: ■ = Mandatory, □ = Mandatory if available, ⊗ = Not applicable / do not supply

Table 6-14: ETSI TS 102 232-2 V3.9.1 parameters quick reference table

### 6.5.5 ETSI TS 102 232-3 V3.3.1 Service-specific details for internet access services

Clause ETSI TS 102 232-3 V3.3.1	Selection of ETSI options for Swiss applications	Additional requirements or specifications
4.3.1	<b>Target identity</b> See section 5	When a cable modem identifier is used for intercepting internet cable access, the modem move must be considered.
5.1.1	<b>Dial Up Access</b> This type of Internet access is not covered by this section.	
6.1	<b>IRI events</b> The events and HI2 attributes from ETSI TS 102 232-3 version 1.4.1 and onwards shall be used.	In version 1.4.1 the event 'startOfInterceptionWithSessionActive' was added.

**Table 6-15: ETSI TS 102 232-3 V3.3.1 Service-specific details for internet access services**

The supported ASN.1 Object identifiers and versions are stated in section 6.6

### 6.5.6 ETSI TS 102 232-5 V3.5.1 Service-specific details for IP Multimedia Services

Clause ETSI TS 102 232-5 V3.5.1	Selection of ETSI options for Swiss applications	Additional requirements or specifications
4.3	<p><b>General Requirements</b></p> <p>3) Generally, copies of signal information (e.g. SIP messages) are transferred as IRI data.</p> <p>5) IRI data that is not part of the signal must be transferred as well.</p> <p>6) No national option is mandated.</p>	<p>The documentation of the VoIP provider must explain the parameters and/or message combinations used for the various services (e.g. basic call, call forwarding) at the use of examples. Services that are controlled by end devices (clients) of subscribers must be described – if known – with regard to changes to signalling or RTP streams (e.g. simultaneous RTP streams in the case of conferences).</p> <p>Module 'HI2Operations' described in ETSI TS 101 671 V3.12.1 Annex D.5 must be used for handing over IRI data. A separate parameter may be used for SIP messages. The module itself should be transmitted in accordance with the requirements of ETSI TS 102 232-1 V3.11.1 Annex A.2</p>
5.3	<p><b>Assigning a value to the CIN</b></p> <p>Generally, for new sessions, the CIN is assigned at the first IRI or CC information.</p> <p>If a session already exists at the time of activation of an interception measure, the CIN must be generated at the first IRI or CC message.</p>	<p>If a connection already exists at the time of activation of an interception measure, a copy of IRI and CC data must be captured and provided starting from the point in time when the first IRI event is detected.</p>
5.3.1	<p><b>Assigning a CIN value to SIP related IRI</b></p> <p>The description assumes the use of the Call ID and the „o” field of the SDP for generating a single CIN for the entire call.</p>	<p>Despite of the known ETSI issue with multiple CIN, the generation of a single CIN for the various individual communication sessions is still an objective.</p>
5.5	<p><b>Interception of Content of Communication</b></p> <p>At the point of handover the VoIP provider must remove any service coding and/or encryption that have been applied to the data on his part. This includes any proprietary encodings.</p>	<p>The delivered Content of Communication shall contain the IP header</p> <p>This requirement also applies if the provider supports peer-to-peer communication by providing the key while the encryption itself is performed outside the provider's network.</p>

**Table 6-16: ETSI TS 102 232-5 V3.5.1 Service-specific details for IP Multimedia Services**

The supported ASN.1 Object identifiers and versions are stated in section 6.6

### 6.5.7 ETSI TS 102 232-6 V3.3.1 Service-specific details for PSTN/ISDN services

Clause ETSI TS 102 232-6	Selection of ETSI options for Swiss applications	Additional requirements or specifications
5.2	<p><b>Structures</b> IRI is encoded with module HI2Operations according to ETSI TS 101 671 V3.12.1 Annex D.5 and transferred directly by ETSI TS 102 232-1 V3.11.1 Annex A.2 via the parameter ETSI671IRI.</p>	
6.2	<p><b>CC format</b> If the interception is performed in the ISDN or ISUP domain (Circuit switched): The copy of content of the communication (CC) is delivered as audioFrame via the parameter PstnIsdnCC.</p> <p>If the interception is performed in the IP domain (Packet switched): The copy of the content of communication (CC) is delivered as RTP packets with UDP and IP headers by ETSI TS 102 232-1 V3.11.1 via the parameter PstnIsdnCC.</p> <p>The information required for interpreting the audioFrame or RTP packets are also transferred by ETSI TS 102 232-1 V3.11.1 via the parameter PstnIsdnIRI.</p> <p>At the point of handover the CSP must remove any service coding and/or encryption that have been applied to the data on his part.</p>	<p>This requirement also applies if the provider supports peer-to-peer communication by providing the key while the encryption itself is performed outside the provider's network.</p>
6.3.2	<p><b>Supplementary information</b> G.711 (11/88) A-law is the default, and preferred codec (mediaAttributes = "8").</p>	

**Table 6-17: ETSI TS 102 232-6 V3.3.1 Service-specific details for PSTN/ISDN services**

The supported ASN.1 Object identifiers and versions are stated in section 6.6

### 6.5.8 Proprietary handover interface for the delivery of the last active location

The provisions of article 69a in the ordinance VÜPF do not have any suitable standardised ETSI handover interfaces. Therefore, PTSS has temporarily specified a proprietary interface with the following methods and steps:

Step	Description	Handover interface
1	PTSS contacts the CSP by telephone and provides the known information of the target.	Telephone
2	CSP performs the lookup in its information systems in order to retrieve the requested information and provide this information to the PTSS by telephone.	Telephone
3	CSP confirms to the PTSS by secure email the set of information and parameters in a table put in the body of the secure email. The information and parameters of the table are specified in the layout below.	Secure email

Table 6-18: Steps for the delivery of the last active location

Parameters names	Comments	Examples
<b>Zielidentität / Identité de la cible</b>		
MSISDN	Mandatory	41774XXXXXX
IMSI	Conditional	22899YYYYYYYYYY
IMEI	Conditional	354449ZZZZZZZZ
<b>Netzzugang / Accès réseau</b>		
RADIO ACCESS TECHNOLOGY / FREQUENCY	Technology (GSM or UMTS or LTE) and Frequency band in MHz	UMTS900 LTE1800
MOBILE COUNTRY CODE	Decimal presentation	228
MOBILE NETWORK CODE	Decimal presentation	99
<b>Letzter Zell Standort / Dernière localisation de cellule</b>		
DATE TIME	Date and Local time as DD.MM.YYYY HH:MM:SS	11.09.2016 15:39:05
LAC (DECIMAL)	Conditional: GERAN & UTRAN / format: without MCC/MNC and no leading zeros	22300
CI (DECIMAL)	Conditional: GERAN & UTRAN / format: without MCC/MNC and no leading zeros	60773
TAC (DECIMAL)	Conditional: E-UTRAN / format: without MCC/MNC and no leading zeros	4343
ENB+CI (DECIMAL)	Conditional: E-UTRAN / format: without MCC/MNC and no leading zeros	17787394
CELL AZIMUTH	Conditional: Multiple azimuths and attributes (tun, inh) if necessary for complex cells	inh, 60
CELL CIVIC ADDRESS	Civic address in one field	Rue du Caudray 6 1020 Renens

CELL X COORDINATES (CH1903)	CH1903 Geodetic system X coordinates	534749
CELL Y COORDINATES (CH1903)	CH1903 Geodetic system Y coordinates	153807
CELL N COORDINATES (CH1903+)	CH1903+ Geodetic system N coordinates (north)	1214749
CELL E COORDINATES (CH1903+)	CH1903+ Geodetic system E coordinates (east)	2601349

**Table 6-19: Parameters to be delivered for the last active location**

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## 6.6 Applicable ASN.1 module versions for real-time interceptions

Any superior version can be adopted from the CSP for better performances. This must be agreed with PTSS in order to ensure the compatibility with the actual PTSS LEMF systems, and this requires a new compliance assessment.

Any existing syntax errors in the ASN.1 modules should be corrected. The correct object identifier (OID) and the correct version number must be used.

Applicable ASN.1 Module	OID versions ETSI TR 102 503 V1.10.1	Technical specifications
<b>ETSI TS 101 671</b> Circuit-switched domain (section 6.5.1.1)		
HI1NotificationOperations	{0.4.0.2.2.0.1.6}	ETSI TS 101 671-1 V3.12.1
HI2Operations	{0.4.0.2.2.1.10} to {0.4.0.2.2.1.18}	ETSI TS 101 671-1 V3.4.1 to ETSI TS 101 671-1 V3.12.1
<b>ETSI TS 133.108</b> UMTS Circuit-switched domain (section 6.5.2.1)		
UmtsCS-HI2Operations	{0.4.0.2.2.4.3.7.1} to {0.4.0.2.2.4.3.13.2}	ETSI TS 133 108 V8.14.0 to ETSI TS 133 108 V13.4.0
<b>ETSI TS 133.108</b> Packet-switched domain (section 6.5.2.2)		
UmtsHI2Operations	{0.4.0.2.2.4.1.7.3} to {0.4.0.2.2.4.1.13.1}	ETSI TS 133 108 V7.10.0 to ETSI TS 133 108 V13.4.0
Umts-HI3-PS, up to version 1	{0.4.0.2.2.4.2.7.0}	ETSI TS 133 108 V13.4.0
EpsHI2Operations	{0.4.0.2.2.4.8.8.7} to {0.4.0.2.2.4.8.13.3}	ETSI TS 133 108 V8.14.0 to ETSI TS 133 108 V13.4.0
Eps-HI3-PS	{0.4.0.2.2.4.9.8.0} to {0.4.0.2.2.4.9.12.0}	ETSI TS 133 108 V8.14.0 to ETSI TS 133 108 V13.4.0
CONFHI2Operations	{0.4.0.2.2.4.10.8.1} to {0.4.0.2.2.4.10.13.0}	ETSI TS 133 108 V8.14.0 to ETSI TS 133 108 V13.4.0
CONF-HI3-IMS	{0.4.0.2.2.4.11.10.1} to {0.4.0.2.2.4.11.13.0}	ETSI TS 133 108 V10.7.0 to ETSI TS 133 108 V13.4.0
VoIP-HI3-IMS	{0.4.0.2.2.4.12.12.0} to {0.4.0.2.2.4.12.13.1}	ETSI TS 133 108 V12.13.0 to ETSI TS 133 108 V13.4.0
<b>ETSI TS 102 232-1</b> (section 6.5.3)		
LI-PS-PDU (Note 1)	{0.4.0.2.2.5.1.6} to {0.4.0.2.2.5.1.23}	ETSI TS 102 232-1 V2.1.1 to ETSI TS 102 232-1 V3.11.1
<b>ETSI TS 102 232-2</b> (section 0)		
EmailPDU (Note 1)	{0.4.0.2.2.5.2.3} to {0.4.0.2.2.5.2.15}	ETSI TS 102 232-2 V2.2.1 to ETSI TS 102 232-2 V3.9.1
<b>ETSI TS 102 232-3</b> (section 6.5.5)		
IPAccessPDU (Note 1)	{0.4.0.2.2.5.3.5} to {0.4.0.2.2.5.3.10}	ETSI TS 102 232-3 V2.1.1 ETSI TS 102 232-3 V3.3.1
<b>ETSI TS 102 232-5</b> (section 6.5.6)		

IPMultimediaPDU (Note 1)	{0.4.0.2.2.5.5.1} to {0.4.0.2.2.5.5.7}	ETSI TS 102 232-5 V2.1.1 ETSI TS 102 232-5 V3.5.1
<b>ETSI TS 102 232-6</b> (section 6.5.7)		
PstnIsdnPDU (Note 1)	{0.4.0.2.2.5.6.2} to {0.4.0.2.2.5.6.5}	ETSI TS 102 232-6 V2.2.1 ETSI TS 102 232-6 V3.3.1

**Table 6-20: Applicable ASN.1 module versions for real-time interceptions**

Note 1: While choosing the OID version to be used within the ETSI TS 102 232 specifications family, care must be taken that the Service-specific Details (SSD) version is compatible with the generic header LI-PS-PDU version. The version's compatibility matrix is available in the informative Annex H of the ETSI TS 102 232-1 specification from version 3.2.1.

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## 7 Historical Data (Retroactive Surveillance)

### 7.1 General

This section covers the general technical requirements that need to be fulfilled by PTSS and the CSP when requesting, respectively providing historical data responses, confined to the service usage category outlined in ETSI TS 102 657 V1.17.1, according to the legal provisions set in the ordinance VÜPF section 10.

The framework of the retroactive data is divided in different types of services that follow the structure specified in ETSI TS 102 657 V1.17.1 clause 4.2.

Service	Description
Telephony	Telephony services covers those services offering the facilities listed in ETSI TS 102 657 V1.17.1 Annex B.1. It covers services that provides PSTN/ISDN functionality either offered over PSTN/ISDN or emulated PSTN/ISDN including GSM/UMTS-CS, SMS, EMS and MMS. It may also be used for VoIP and IMS if no IP layer information is needed.
Asynchronous message	Asynchronous messaging services covers asynchronous communications involving the intermediate storage of messages, as defined in ETSI TS 102 657 V1.17.1 Annex C.1. This includes e-mail, webmail but excludes chat, which is synchronous and excludes SMS, EMS and MMS which are addressed by the Telephony usage record.
Synchronous Multi-media	Synchronous multimedia services covers synchronous multimedia communication sessions such as VoIP and IMS as listed in ETSI TS 102 657 V1.17.1 Annex D.1. Note: VoIP could also be covered by ETSI TS 102 657 V1.17.1 Annex B.1 if no IP layer information is needed.
Network access	Network access services covers the services offering a capability to access the Internet), including wireline and wireless and Mobile internet access (such as GERAN, UTRAN and E-UTRAN), as defined in ETSI TS 102 657 V1.17.1 Annex E.1.

**Table 7-1: Historical data service types**

For all these services the encoding of the requests sent by PTSS and the retroactive data to be delivered by the CSP is limited to XML. The structure of the XML file shall be validated against the XML schema definition provided with the technical specification ETSI TS 102 657 V1.17.1. The version of the XML schema to be used for validation is defined in the section 7.6.

## 7.2 Historical data (retroactive) interception types

The table below lists the retroactive interception types specified in the ordinance VÜPF section 10.

<b>Historical data network access interception</b>			
<b>VÜPF article</b>	<b>Type &amp; Description</b> (Informative translation)	<b>Identifiers</b> <b>Handover Interfaces</b>	<b>Section</b>
60	<b>HD_23_NA</b>	Identifiers parameters	7.3
68 d	Network access retroactive interception	ETSI TS 102 657 V1.17.1 Annex E	7.5 7.4.4

Table 7-2: Historical data network access interception types

<b>Historical data application interception</b>			
<b>VÜPF article</b>	<b>Type &amp; Description</b> (Informative translation)	<b>Identifiers</b> <b>Handover Interfaces</b>	<b>Section</b>
61	<b>HD_24_TEL</b>	Identifiers parameters	7.3
68 d	Telephony and multimedia services retroactive interception	ETSI TS 102 657 V1.17.1 Annex B or Annex D	7.5 7.4.1 7.4.3
62	<b>HD_25_EMAIL</b>	Identifiers parameters	7.3
68 d	E-Mail services retroactive interception	ETSI TS 102 657 V1.17.1 Annex C	7.5 7.4.2
63	<b>HD_26_COM</b>	Identifiers parameters	7.3
68 d	Other telecommunication or derived communication services retroactive interception	ETSI TS 102 657 V1.17.1 Annex D or Annex E	7.5 7.4.3 7.4.4
64	<b>AS_27_PREP_COV</b>	Identifiers parameters	7.3
68 e	Network analysis in preparation of a search by cell coverage area	ETSI TS 102 657 V1.17.1 Annex B or Annex E	7.5 7.4.1 7.4.4
65	<b>AS_28_PREP_REF</b>	Identifiers parameters	7.3
68 e	Determination of mobile cells by reference calls in preparation of a search by cell coverage area	ETSI TS 102 657 V1.17.1 Annex B or Annex E	7.5 7.4.1 7.4.4
66	<b>AS_29</b>	Identifiers parameters	7.3
68 e	Search by cell coverage area by mobile telephony and network access	ETSI TS 102 657 V1.17.1 Annex B or Annex D or Annex E	7.5 7.4.1 7.4.3 7.4.4

Table 7-3: Historical data applications interception types

<b>Historical data emergency paging</b>			
<b>VÜPF article</b>	<b>Type &amp; Description</b> (Informative translation)	<b>Identifiers Handover Interfaces</b>	<b>Section</b>
67 d	<b>EP_33_HD</b> Network access and telephony and multimedia services retroactive interception	Identifiers parameters ETSI TS 102 657 V1.17.1 Annex B or Annex D or Annex E	7.3 7.5 7.4.1  7.4.3  7.4.4

**Table 7-4: Historical data emergency paging**

### 7.3 Common identifiers and parameters of the handover interfaces

This section provides the description of the common parameters and identifiers that are present in the headers of the retroactive data requests to be sent by the PTSS and the responses to be sent by the CSP.

#### 7.3.1 XML elements of the header for historical data requests by PTSS

The information contained in the XML header is based on the specification ETSI TS 102 657 V1.17.1 clauses 6.1 and 6.2

<b>Element or attribute</b>	<b>Description</b>	<b>Example</b>
rdHeaderId	Object Identifier	0.4.0.2.3.0.17
<b>retainedDataHeader</b>		
requestID		
countryCode	A country code as per ISO 3166-1	CH
authorizedOrganizationID	Organisation that requested the data.	PTSS
requestNumber	Unique reference of the order request. It is provided by PTSS and serves as reference for the administration of the request.	HD_26_TEL_20160903666666 HD_27_MSG_20160905444444
cSPID	Five-digit number provided by PTSS to identify each CSP.	99908
timeStamp	The local date and time with time zone indication when the request was created. Formatted as "GeneralizedTime"	20160319131625Z 20160319141625+0100
<b>retainedDataPayload</b>		
requestMessage		

requestPriority	<p>This element specifies the priority of the request.                  00 indicates a high priority (Urgent).                  01 indicates a regular priority.</p>	<p>00                  01</p>
requestParameters	<p>This structure contains a sequence of request criteria. Each criterion shall be expressed as a RequestConstraints parameter. It is structured in two main parts: The first part specifies the service, category and target identity with the parameter “equals”. The second part provides the time period interval with the parameters “lessThanOrEqualTo” and “greaterThanOrEqualTo” for which the historical data records shall be delivered by the CSP.                  A request can only ask for historical data of one target identity of one service and one category.</p>	<p>RequestConstraints elements:                  equals                  lessThanOrEqualTo                  greaterThanOrEqualTo</p>
deliveryPointHIB	<p>Delivery point where the historical data must be sent to.                  For instance in case of electronic delivery it can be either:                  IP address and port (HTTPS)                  URI                  Email address</p> <p>In case of delivery of a transportable physical storage media (e.g. CD, DVD, HDD): Civic address</p>	<p>198.51.100.12:443                  https://li.admin.ch/hd/csp                  rd@li.admin.ch</p>

**Table 7-5: XML elements of the header for historical data requests by PTSS**

### 7.3.2 XML elements of the header for retained data responses by CSP

The information contained in the XML header is based on the specification ETSI TS 102 657 V1.17.1 clauses 6.1 and 6.2.

Element or attribute	Description	Example
rdHeaderId	Object Identifier	0.4.0.2.3.0.17
requestID		
countryCode	A country code as per ISO 3166-1	CH
authorizedOrganizationID	Organisation that requested the data.	PTSS
requestNumber	Unique reference of the order. It shall be populated with the character string provided in the element "file-number" of the XML order.dtd file.	HD_26_TEL_20160903666666 HD_27_MSG_20160905444444
cSPID	Five-digit number provided by PTSS to each CSP.	99908
timeStamp	Formatted as "GeneralizedTime"	20140319131625Z 20140319141625+0100
responseMessage		
responseStatus	Response status from CSP can be: - responseComplete: if all records related to the order are included. - responseIncomplete: if more records will be delivered later. Note: If the responseIncomplete is used then the parameter responseNumber must indicate the number of the multi-part delivery. - responseFailed: if the order cannot be fulfilled.	
responsePayload		
recordNumber	The recordNumber shall start at 0 and shall increment for each record delivered under the original order.	0, 1, 2, 3, etc...

Table 7-6: XML elements of the header for retained data responses by CSP

## 7.4 Formats and coding requirements for retroactive data

### 7.4.1 Telephony service usage request and responses

The encoding and formats of the parameters for the telephony service usage requests and responses relate to ETSI TS 102 657 V1.17.1 Annexes A and B.

#### 7.4.1.1 Telephony service usage request criteria elements and structure

This section covers the request criteria contained in the requestParameters ⇨ RequestConstraints sequence sent in the XML request for the telephony service usage.

Target identity for telephony		
Element or attribute	Description	Example
equals	Request constraint	
telephonyRecord	Service = telephony	
telephonyServiceUsage	Category = telephony service usage	
partyInformation	XSD structure	
telephonyPartyInformation	XSD structure	
partyNumber or iMSI or iMEI	Number for the party in ITU-T E.164 (11/10) format. This number can represent a fix number or a MSISDN.  The International Mobile Subscriber Identity (IMSI) of the target.  The International Mobile station Equipment Identity (IMEI) of the target.	41754601234 598889988  2289930355117730  356843155396579
natureOfAddress	Optionally the nature of address element may complement the "partyNumber" element in order to avoid any ambiguity.	International National

<b>Time period interval for telephony</b>		
<b>Element or attribute</b>	<b>Description</b>	<b>Example</b>
lessThanOrEqualTo and greaterThanOrEqualTo	Request constraints defining the time period interval for the historical data.	
telephonyRecord	Service = telephony	
telephonyServiceUsage	Category = telephony service usage	
partyInformation	XSD structure	
telephonyPartyInformation	XSD structure	
communicationTime	XSD structure	
startTime	All communications with startTime in the given period interval in "GeneralizedTime" format.	20140210235959+0100 20140110060000+0100

#### 7.4.1.2 Telephony service usage response elements

This section covers the elements and fields of the Telephony service usage records that are specified in ETSI TS 102 657 V1.17.1 Annex B.2.4 with the following requirements and examples:

<b>partyRole</b>	Table B.6
<i>Description and requirements</i>	<i>ELEMENTS</i>
Role for the party (e.g. originating-Party, terminating-Party, redirecting).	originating-Party terminating-Party forwarded-to-Party redirecting smsOriginator smsRecipient etc...

<b>partyNumber</b>	Table B.6
<i>Description and requirements</i>	<i>Examples</i>
Number for the party in ITU-T E.164 format.  The following requirements shall be met: 1. In case of an SMS originated, resp. terminated by the target, the partyNumber shall contain the number conveyed in the field that identifies the originator, resp. receiver, of the SMS. Note: The number of the SMS-center of the mobile network shall not be delivered in addition. The number of the SMS-center shall only be provided if the SMS-center represents the real originator, resp. receiver.	41754601234 598889988

2. The partyNumber shall be delivered in international format for international numbers and in national format for national numbers. If the format is unknown to the CSP, the number shall be delivered as it is available.	
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natureOfAddress	Table B.6
<i>Description and requirements</i>	<i>Examples</i>
Corresponds to the nature of address indicator or type of number of the ITU-T E.164 (11/10) number of the party. To be provided if available.	International National Subscriber Unknown

iMSI	Table B.6
<i>Description and requirements</i>	<i>Example</i>
The International Mobile Subscriber Identity (IMSI) of the target.	2289930355117730

iMEI	Table B.6
<i>Description and requirements</i>	<i>Example</i>
The International Mobile station Equipment Identity (IMEI) of the target.	356843155396579

Locations	Tables B.6 & B.11
<i>General requirements</i>	
The location information corresponds to the location of the cell antenna serving the mobile target. It shall be provided for each mobile telephony and SMS communication that took place within the interception period. The location information must be delivered for the cell serving the target at the beginning of the mobile communication.	
The location information is composed of three main information structures: 1) Cell and area identity, 2) Geographical coordinates and 3) Postal location described below:	

**1) Cell and area identity elements**

globalCellID	Table B.11
<i>Description and requirements</i>	<i>Example</i>
Cell Global Identity (CGI) serving the target.	22F8901D50BB59 <i>[in hexadecimal format]</i>
eCGI	Table B.11
<i>Description and requirements</i>	<i>Example</i>
E-UTRAN Cell Global Identity (ECGI) serving the target in E-UTRAN mode. Only applicable for circuit switched traffic case such as SMS over SGs as specified in 3GPP TS 23.272 V13.4.0	0722F890056C8720 <i>[in hexadecimal format]</i>
tAI	Table B.11
<i>Description and requirements</i>	<i>Example</i>

Tracking Area Identity (TAI) serving the target in E-UTRAN mode. Only applicable for circuit switched traffic case such as SMS over SGs as specified in 3GPP TS 23.272 V13.4.0	0522F89035B7 <i>[in hexadecimal format]</i>
userLocationInformation	Table B.11
<i>Description and requirements</i>	<i>Example</i>
This field is to be used only for IMS-based VoIP using E-UTRAN. It is composed of the Tracking Area Identifier (TAI) and the E-UTRAN Cell Global Identification (ECGI) components.	1822f899123422f89907654321 <i>[in hexadecimal format]</i>
<b>2) Geographical coordinates elements</b>	
gsmLocation	Table B.11
<i>Description and requirements</i>	<i>Examples</i>
The formats of the following elements (latitude, longitude and azimuth) are defined in detail in ETSI TS 102 657 V1.17.1 Annex B.3. The geographical coordinates of the antenna serving the target shall be indicated in accordance to the WGS84 World Geodetic System. When a cell is composed of several azimuth directions or when it is omnidirectional the corresponding azimuth element shall be omitted. <u>Elements:</u> latitude [XDDMMSS.SS] longitude [XDDMMSS.SS] mapDatum azimuth	N465648.10 E0072650.80 wGS84 270
umtsLocation	Table B.11
<i>Description and requirements</i>	<i>Examples</i>
The formats of the following elements (latitudeSign, latitude, longitude and azimuth) are defined in detail in ETSI TS 102 657 Annex B.3. The geographical coordinates of the antenna serving the target shall be coded as defined in 3GPP TS 23.032 clause 6 in accordance to the WGS84 World Geodetic System and use the “point” element. When a cell is composed of several azimuth directions or when it is omnidirectional the corresponding azimuth element shall be omitted. <u>Elements of the geographical coordinates:</u> latitudeSign latitude longitude mapDatum azimuth	north 4392309 338139 wGS84 120
<b>3) Postal location elements</b>	
buildingNumber	Annex A.3.3
<i>Description and requirements</i>	<i>Examples</i>

The building number where the cell antenna serving the target is located. If this information is not available in a separate field, it shall be part of the streetname.	127 4a
streetName	Annex A.3.3
<i>Description and requirements</i>	<i>Examples</i>
The name of the street or place or road where the cell antenna serving the target is located. When a cell antenna is located in a rural area along a road or highway, the name of the road shall be provided in this element, if available.	route d'Ägerter Bärenplatz Autobahn A5 km38 Langstrasse 15  Note: UTF-8 coding is preferred.
postalCode	Annex A.3.3
<i>Description and requirements</i>	<i>Examples</i>
Postal code of the location where the cell antenna serving the target is located.	3011
city	Annex A.3.3
<i>Description and requirements</i>	<i>Examples</i>
The name of the city, village or area (as applicable) where the cell antenna serving the target is located. When a cell antenna is located in a rural area that is not near a road or highway, the name of the municipality where it is situated shall be provided in this element.	Bern Zürich Lugano  Note: UTF-8 coding is preferred.
country	Annex A.3.3
<i>Description and requirements</i>	<i>Examples</i>
The country information shall be provided only for outbound roaming calls, i.e. calls of a mobile CSP customer roaming abroad. It shall be formatted according to ISO-3166-1 (2013).	CH DE FR IT

communicationTime	Table B.5
<i>Description and requirements</i>	<i>Examples</i>
The date and time of the beginning of the communication and its duration in seconds. The communicationTime structure is composed of the following elements: 1) startTime and 2) duration:	
1) startTime	Annex A.3.3
<i>Description and requirements</i>	<i>Examples</i>
The calendar date and time of the beginning of the communication with at least a precision to the second. It shall be in local time with indication of the time zone relative to UTC. The format is according to the GeneralizedTime parameter specified in ITU-T X.680 (11/08). Note: In case of roaming call or session the "Call Event Start Timestamp" provided by the visited serving mobile network is in local time. In order that this local time can be equated with the time in the home network, the difference between local time and UTC Time is	20140214224718+0100 20140615092545+0200 20140712160841.2+0200

<p>supplied with the attribute "UTC Time Offset" defined as Local Time minus UTC Time.                  Examples:                  Visited serving network: USA, location: Washington DC                  Local time: 1000hrs                  UTC Time: 1500hrs                  UTC Time Offset: 10 -15 = -5</p> <p>Where dates are different, 24 hours are added to the time of the greater date.                  Visited serving network: Australia, location: Sydney                  Local time: 0100hrs                  UTC Time: 1500hrs                  UTC Time Offset: (01+24) -15 = +10</p>	
<b>2) durationTime</b>	Annex A.3.3
<i>Description and requirements</i>	<i>Example</i>
The duration of the communication session in seconds (i.e. one number representing the total amount of seconds of the duration of the communication session). In case of a SMS or MMS, the respective empty XML-element can be omitted, or alternatively it can be set to "0", i.e. zero second. In case of the target having forwarded a call and not being part of the call itself, the respective empty XML-element can be omitted.	185
<b>endReason</b>	Table B.5
<i>Description and requirements</i>	<i>Examples</i>
The ITU-T Q.850 (5/98) cause code in decimal value for the termination of the communication.	31 (i.e. Normal, unspecified) 17 (i.e. User busy)
<b>communicationType</b>	Table B.5
<i>Description and requirements</i>	<i>ELEMENTS</i>
Information about the type of the communication. Wireline telephony communication corresponds to "telephonyFixedCS". Mobile telephony corresponds to "telephonyWirelessCS". SMS corresponds to "sMS" and MMS corresponds to "mMS".	telephonyFixedCS telephonyWirelessCS sMS mMS
<b>bearerService</b>	Table B.5
<i>Description and requirements</i>	<i>ELEMENTS</i>
The type of the bearer service used in the communication.	speech data fax
<b>smsInformation</b>	Table B.7
<i>Description and requirements</i>	
The smsInformation structure is filled in when a SMS is involved in the communication. The parameters are as follows:	

1) smsEvent	Table B.7
<i>Description and requirements</i>	<i>ELEMENTS</i>
Type of message event: - Regular short message - Part of a composite short message - Composite short message - Notification short message	shortMessage shortPartMessage compositeMessage notificationMessage
2) smsType	Table B.7
<i>Description and requirements</i>	<i>ELEMENTS</i>
Type of short message transferred on the interface between the Short Message-Center (SC) and the Mobile Station (MS).	deliverSCtoMS deliverReportMStoSC statusReportSCtoMS commandMStoSC submitMStoSC submitReportSCtoMS reservedMTIValue
3) smsStatus	Table B.7
<i>Description and requirements</i>	<i>ELEMENTS</i>
Status reached by the short message (SM)	delivered expired deleted replaced submitted incomplete-submission incomplete-delivery undeliverable passed-on

mmsInformation	Table B.8
<i>Description and requirements</i>	
The mmsInformation structure is filled in when a MMS is involved in the communication. The parameters are as follows:	
1) mmsEvent	Table B.8
<i>Description and requirements</i>	<i>ELEMENTS</i>
Type of message exchanged: - Regular multimedia message - Multimedia notification message - Multimedia delivery report message - Multimedia read reply message	message notificationMessage deliveryReportMessage readReplyMessage
2) mmsStatus	Table B.8
<i>Description and requirements</i>	<i>ELEMENTS</i>
Status reached by the multimedia message (MMS)	delivered expired deleted replaced submitted

	undeliverable passed-on delivery-rejection delivery-forward delivery-copy submission-rejection submission-failure delivered-application
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## 7.4.2 Asynchronous message service usage request and responses

The encoding and formats of the parameters for the asynchronous message service usage requests and responses relate to ETSI TS 102 657 V1.17.1 Annexes A and C.

### 7.4.2.1 Asynchronous message service usage request criteria elements and structure

This section covers the request criteria contained in the requestParameters ⇒ RequestConstraints sequence sent in the XML request for the asynchronous message service usage.

Target identity for asynchronous message		
Element or attribute	Description	Example
equals	Request constraint	
messageRecord	Service = asynchronous message	
msgServiceUsage	Category = asynchronous message service usage	
msgTransmission	XSD structure	
senderAddress	The element "senderAddress" is used to specify the target identity. However, the messages where this address is in the recipients list are also covered by the request.	bob@biloxi.com

Time period interval for asynchronous message		
Element or attribute	Description	Example
lessThanOrEqualTo and greaterThanOrEqualTo	Request constraints defining the time period interval for the historical data.	
messageRecord	Service = asynchronous message	
msgServiceUsage	Category = asynchronous message service usage	

msgTransmission	XSD structure	
dateTime	All communications with startTime in the given period interval in "GeneralizedTime" format.	20140417235959+0200 20140401120000+0200

#### 7.4.2.2 Asynchronous message service usage response elements

The message service usage record is composed of two distinct structures that shall be used depending on the messaging event to be reported:

- a) The "msgTransmission" structure applies to events that are related to the sending, reception or relaying of an internet message.
- b) The "msgStoreOperation" structure applies to events that are related to the manipulation of a message store by a subscriber. For example the deletion, the edition or the retrieval of an internet message in a message store instance.

Elements and fields of the asynchronous message service usage records are specified in ETSI TS 102 657 V1.17.1 Annex C.2.3 with the following requirements and examples:

dateTime	Tables C.3,C4
<i>Description and requirements</i>	<i>Examples</i>
The calendar date and time of when the subscriber submitted the message to the CSP's message server with at least a precision to the second. It shall be in local time with indication of the time zone relative to UTC. The format is according to the GeneralizedTime.	20140214224718+0100 20140615092545+0200 20140712160841.2+0200

senderAddress	Tables C.3,C4
<i>Description and requirements</i>	<i>Examples</i>
The available address of the sender.	alice@atlanta.com bob@biloxi.com

recipients	Tables C.3,C4
<i>Description and requirements</i>	<i>Examples</i>
The list of all available recipients of the message.	alice@atlanta.com bob@biloxi.com

MsgStoreID	Tables C.3,C4
<i>Description and requirements</i>	<i>Example</i>
List of all local message stores that received a copy of the message. This element is both relevant for incoming messages, and for outgoing messages that have a local recipient.	2174A46EFB23 [in hexadecimal format]

deliveryStatus	Table C.3
<i>Description and requirements</i>	<i>ELEMENTS</i>
Provides the result of the transmission from the CSP's message server towards the final destination. Final	unknown

delivery may pass through a number of intermediate message servers. This field does not indicate the end-to-end delivery status. It indicates the status of the "next hop".	succeeded failed retried
<b>protocol [MsgTransmission]</b>	Tables C.3,C.4
<i>Description and requirements</i>	<i>ELEMENTS</i>
Message transmission protocol used.	smtp x400
<b>protocol [MsgStoreOperation]</b>	Tables C.3,C.4
<i>Description and requirements</i>	<i>ELEMENTS</i>
Message store manipulation protocol.	pop imap webmail
<b>clientID</b>	Tables C.3,C.4
<i>Description and requirements</i>	<i>Examples</i>
IP address of the source of the message transmission. The IP address can be either IPv4 or IPv6. Preferably in text format using the element "iPTextAddress".	203.0.113.28 2001:db8:85a3::8a2e:370:7334
<b>serverID</b>	Tables C.3,C.4
<i>Description and requirements</i>	<i>Examples</i>
IP address of the destination of the message transmission. The IP address can be either IPv4 or IPv6. Preferably in text format using the element "iPTextAddress".	198.51.100.65 2001:db88:5a35:4a1::ab4c:882a
<b>messageID</b>	Tables C.3,C.4
<i>Description and requirements</i>	<i>Examples</i>
Unique identifier for the message - for example IETF RFC 5322 message-id header.	1234@local.machine.org EOCBS0045PS@host.mailcsp.com
<b>sourceServerName</b>	Table C.3
<i>Description and requirements</i>	<i>Example</i>
Name for the server sending the message.	mailout12.atlanta.com
<b>destinationServerName</b>	Tables C.3
<i>Description and requirements</i>	<i>Example</i>
Name for the server receiving the message.	omr.mx.biloxi.com
<b>operation</b>	Tables C.4

<i>Description and requirements</i>	<i>ELEMENTS</i>
Type of manipulation performed in the message store instance by the subscriber. The operation description can be found in ETSI TS 102 657 V1.17.1 Annex C.3	connect disconnect retrieveMsg partialretrieveMsg deleteMsg addMsg editMsg

### 7.4.3 Multimedia service usage request and responses

The encoding and formats of the parameters for the multimedia service usage requests and responses relate to ETSI TS 102 657 V1.17.1 Annexes A and D.

#### 7.4.3.1 Multimedia service usage request criteria elements and structure

This section covers the request criteria contained in the requestParameters ⇒ RequestConstraints sequence sent in the XML request for the multimedia service usage.

<b>Target identity for multimedia</b>		
<b>Element or attribute</b>	<b>Description</b>	<b>Example</b>
equals	Request constraint	
multimediaRecord	Service = multimedia	
multimediaServiceUsage	Category = multimedia service usage	
partyInformation	XSD structure	
multimediaPartyInformation	XSD structure	
partyIdentity	SIP URI or TEL URI or E.164 number in international format.	sip:alice@atlanta.com tel:+41598889988 41598889988

<b>Time period interval for multimedia</b>		
<b>Element or attribute</b>	<b>Description</b>	<b>Example</b>
lessThanOrEqualTo and greaterThanOrEqualTo	Request constraints defining the time period interval for the historical data.	
multimediaRecord	Service = multimedia	
multimediaServiceUsage	Category = multimedia service usage	
partyInformation	XSD structure	
multimediaPartyInformation	XSD structure	

communicationTime	XSD structure	
startTime	All communications with startTime in the given period interval in "GeneralizedTime" format.	20140410235959+0200 20140402120000+0200

### 7.4.3.2 Multimedia service usage response elements

This section covers the elements and fields of the Multimedia service usage records are specified in ETSI TS 102 657 Annex D.2.4 with the following requirements and examples:

partyRole	Table D.6
<i>Description and requirements</i>	<i>ELEMENTS</i>
Role for the party (e.g. called, calling, redirecting).	calling called calledAssertedIdentity calledApplicationServer originalCalled redirecting multimediaNetworkIndependent directory broadcastReceiver broadcastSender originalCalling

partyIdentity	Table D.6
<i>Description and requirements</i>	<i>Examples</i>
E.164 address of the party in international format, or SIP URI or TEL URI representing E.164 (11/10)	493855667788 sip:41315556677@provider.ch tel:+41752001009

communicationTime	Table D.6
<i>Description and requirements</i>	
The date and time of the beginning of the communication and its duration in seconds. The communicationTime structure is composed of the following elements: 1) startTime and 2) duration:	
1) startTime	Annex A.3.3
<i>Description and requirements</i>	<i>Examples</i>
The calendar date and time of the beginning of the communication with at least a precision to the second. It shall be in local time with indication of the time zone relative to UTC. The format is according to the GeneralizedTime parameter specified in ITU-T X.680 (11/08).	20140406145234+0200 20140615092545+0200 20140712160841.2+0200
2) durationTime	Annex A.3.3
<i>Description and requirements</i>	<i>Example</i>

The duration of the communication session in seconds (i.e. one number representing the total amount of seconds of the duration of the communication session). In case of the target having forwarded a call and not being part of the call itself, the respective empty XML-element can be omitted.	248
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<b>iMSI</b>	Table D.6
<i>Description and requirements</i>	<i>Example</i>
The International Mobile Subscriber Identity (IMSI) of the target.	2289930355117730

<b>naAssignedAddress</b>	Table D.6
The naAssignedAddress structure shall be composed of the following 3 elements: 1) set of IPAddress, 2) portNumber and 3) addressType	
1) IPAddress	Annex E.3
<i>Description and requirements</i>	<i>Examples</i>
The IPv4 address or IPv6 prefix used by the subscriber's client for the connection. Preferably in text format using the element "iPTextAddress".	198.51.100.24 2001:DB8:A125:C27B
2) portNumber	Annex E.3
<i>Description and requirements</i>	<i>Examples</i>
The outbound protocol port number.	22545
3) addressType	Annex E.3
<i>Description and requirements</i>	<i>ELEMENTS</i>
The type of IP address.	unknown internal external

<b>reasonCause</b>	Table D.5
<i>Description and requirements</i>	<i>Examples</i>
The SIP Reason Cause code at the end of the communication. The codes are assigned by IANA at <a href="http://www.iana.org">www.iana.org</a>	410 200

<b>communicationType</b>	Table D.5
<i>Description and requirements</i>	<i>ELEMENTS</i>
The type of communication that has been used for the session by the target, if available.	multimediaFixed multimediaWireless multimediaNetworkIndependent

<b>callID</b>	Table D.5
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<i>Description and requirements</i>	<i>Example</i>
Identifier of the retained call data, e.g. SIP callID, for correlating data from different DR sources in CSP.	a84b4e66710@pc33.atlanta.com

<b>contentType</b>	Table D.5
<i>Description and requirements</i>	<i>Examples</i>
List of the media type of the message body.	application/sdp text/html

<b>mediaComponents</b>	Table D.2.4.4
<i>Description and requirements</i>	
The mediaComponents structure shall contains the following 5 elements listed below: 1) time, 2) mediaName, 3) mediaDescription, 4) mediaInitiator and 5) accessCorrelationID.	
1) time	Table D.2.4.4
<i>Description and requirements</i>	<i>Example</i>
Time when this media component has been processed.	20140406145238+0200
2) mediaName	Table D.2.4.4
<i>Description and requirements</i>	<i>Examples</i>
Media component name in the SDP data of the 200 OK message (from "m=" line in SDP data as in IETF RFC 4566).	audio video text application message image
3) mediaDescription	Table D.2.4.4
<i>Description and requirements</i>	<i>Examples</i>
The media component description specifies the media described in the SDP media name (from "attribute-line" (i=, c=, b=, k=, a=, etc...) content in SDP data of the 200 OK message, as in IETF RFC 4566.	m=audio 49174 RTP/AVP 8 a=rtpmap:96 L8/8000 c=IN IP4 192.0.2.14/127/2
4) mediaInitiator	Table D.2.4.4
<i>Description and requirements</i>	<i>Examples</i>
Media component initiator.	calling Party called Party
5) accessCorrelationID	Table D.2.4.4
<i>Description and requirements</i>	<i>Example</i>
Correlation identifier for the access used for SIP usage. This field holds the charging identifier of the access network. For GPRS and I-WLAN access, this shall be the GPRS Charging ID, for EPS, this shall be the charging ID and for other access networks this shall be the Access Network Charging Identifier Value.	87A3284CD6

<b>imsInformation</b>	Table D.2.4.3
<i>Description and requirements</i>	
This ImsInformation structure is used for service usage information in case of IMS service	
1) service	Table D.2.4.3
<i>Description and requirements</i>	<i>ELEMENTS</i>
Type of IMS service used by subscriber. The attribute "session" shall be used when the SIP method INVITE has been used to initiate a session. The attribute "message" when the SIP method MESSAGE has been used for Instant Messaging. The attribute "refer" when the SIP method REFER has been used, for instance for a session transfer. If other SIP methods are used the attribute shall be omitted.	session message refer
2) roleOfNode	Table D.2.4.3
<i>Description and requirements</i>	<i>ELEMENTS</i>
Specification on the role of the Data Retention Source in the reported communication. Only the attributes "originating" or "terminating" shall be used.	originating terminating

#### 7.4.4 Network access service usage request and responses

The encoding and formats of the parameters for the network access service usage requests and responses relate to ETSI TS 102 657 V1.17.1 Annexes A and E.

##### 7.4.4.1 Network access service usage request criteria elements and structure

This section covers the request criteria contained in the requestParameters ⇨ RequestConstraints sequence sent in the XML request for the network access service usage.

Depending on the type of network access, fixed or mobile, the target identity is requested in two different ways:

<b>Target identity for fixed network access</b>		
<b>Element or attribute</b>	<b>Description</b>	<b>Example</b>
equals	Request constraint	
networkAccess	Service = network access	
naServiceUsage	Category = network access service usage	
naAuthID	Username used to obtain the fixed network access.	alice@cablecsp.ch 41593526987

<b>Target identity for mobile network access</b>		
<b>Element or attribute</b>	<b>Description</b>	<b>Example</b>
equals	Request constraint	
networkAccess	Service = network access	
naServiceUsage	Category = network access service usage	
gprsInformation	The target identity is related to a mobile network access, GPRS and/or EPS.	
mSISDN or iMSI or iMEI	MSISDN number of the mobile target.  The International Mobile Subscriber Identity (IMSI) of the target.  The International Mobile station Equipment Identity (IMEI) of the target.	41751112233  2289930355117730  356843155396579

<b>Time period interval for fixed or mobile network access</b>		
<b>Element or attribute</b>	<b>Description</b>	<b>Example</b>
lessThanOrEqualTo and greaterThanOrEqualTo	Request constraints defining the time period interval for the historical data.	
networkAccess	Service = network access	
naServiceUsage	Category = network access service usage	
interval	XSD structure	
startTime	All communications with startTime in the given period interval in "GeneralizedTime" format.	20140427220000+0200 20140401120000+0200

#### 7.4.4.2 Network access service usage response elements

This section covers the elements and fields of the Network access service usage records are specified in ETSI TS 102 657 Annex E.2.4 with the following requirements and examples:

<b>naAccessTime</b>	Table E.3
<i>Description and requirements</i>	<i>Examples</i>
Date and time of the network access. It shall be in local time with indication of the time zone relative to UTC. The format is according to the GeneralizedTime parameter specified in ITU-T X.680 (11/08).	20140412183542.4+0200 20140225194223+0100

<b>naAuthID</b>	Table E.3
<i>Description and requirements</i>	<i>Examples</i>
Logon name (username) used to obtain network access. This identifier can take many different forms as there are numerous authentication methods possible. The identifier shall be provided as UTF8String.	bob alice@cablecsp.ch 41752223399 sms_41752223399

<b>nwAccessType</b>	Table E.3
<i>Description and requirements</i>	<i>ELEMENTS</i>
Type of network access attempted. If not undefined, this should be one of the types supported by the Network Access Server (NAS).	undefined dialUp xDSL cableModem IAN wirelessLAN wimax mobilePacketData

<b>naStatus</b>	Table E.3
<i>Description and requirements</i>	<i>ELEMENTS</i>
Results of the network access attempt.	unknown succeeded failed rejected

<b>interval</b>	Table E.3
<i>Description and requirements</i>	
The Start time and end time of network access. Used only if naStatus indicates a success.  Specificities in case of Mobile PS access: For GERAN and UTRAN the "startTime" and "endTime" of the network access session correspond to the activation, respectively the deactivation, of a primary PDP context, as specified in 3GPP TS 23.060 V13.5.0.	

<p>For E-UTRAN the "startTime" and "endTime" of the network access session correspond to the activation, respectively the deactivation, of the default bearer or tunnel, as specified in 3GPP TS 23.401 V13.5.0 and 3GPP TS 23.402 V13.1.0.</p> <p>Note: In case of roaming session the GPRS "Call Event Start Timestamp" provided by the visited serving mobile network is in local time. In order that this local time can be equated with the time in the home network, the difference between local time and UTC Time is supplied with the attribute "UTC Time Offset" defined as Local Time minus UTC Time.</p> <p>Examples:                  Visited serving network: USA, location: Washington DC                  Local time: 1000hrs                  UTC Time: 1500hrs                  UTC Time Offset: 10 -15 = -5</p> <p>Where dates are different, 24 hours are added to the time of the greater date.                  Visited serving network: Australia, location: Sydney                  Local time: 0100hrs                  UTC Time: 1500hrs                  UTC Time Offset: (01+24) -15 = +10</p> <p>The interval structure is composed of the following elements: 1) startTime and 2) endTime:</p>	
<p>1) startTime</p>	<p>Annex E.3</p>
<p><i>Description and requirements</i></p>	<p><i>Examples</i></p>
<p>The calendar date and time of the beginning of the network access with at least a precision to the second. It shall be in local time with indication of the time zone relative to UTC. The format is according to the GeneralizedTime parameter specified in ITU-T X.680 (11/08).</p>	<p>20140310145234+0100                  20140615092545+0200                  20140712160841.2+0200</p>
<p>2) endTime</p>	<p>Annex E.3</p>
<p><i>Description and requirements</i></p>	<p><i>Examples</i></p>
<p>The calendar date and time of the end of the network access with at least a precision to the second. It shall be in local time with indication of the time zone relative to UTC. The format is according to the GeneralizedTime parameter specified in ITU-T X.680 (11/08).</p>	<p>20140310152812+0100                  20140615132834+0200                  20140713023403.2+0200</p>
<p>naDeviceID</p>	<p>Table E.3</p>
<p><i>Description and requirements</i></p>	<p><i>Examples</i></p>
<p>Information on the device used to access the service, if available. Depending on the type of network access, the device identification can be for example a MAC</p>	<p>a1:23:45:67:8d:5b                  357160045577005</p>

address in case of cable modem or wlan access or an IMEI in case of Mobile PS access. It shall be provided as UTF8String.	
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<b>naAssignedAddress</b>	Table E.3
The naAssignedAddress structure shall be composed of the following 2 elements: 1) set of IPAddress, 2) addressType	
1) IPAddress	Annex E.3
<i>Description and requirements</i>	<i>Examples</i>
The IPv4 address or IPv6 prefix used by the subscriber's client for the connection. Preferably in text format using the element "iPTextAddress".	198.51.100.24 2001:DB8:A125:C27B
2) addressType	Annex E.3
<i>Description and requirements</i>	<i>ELEMENTS</i>
The type of IP address.	unknown internal external

<b>Location and additionalLocations</b>	Table E.3
<i>General requirements</i>	
<p>The location information corresponds to the location of the cell antenna serving the mobile PS target or the location of the access point serving a target by wireless lan (wlan). The location information at the beginning and at the end of the session must be provided for each network access session that took place within the period for which historical data was requested. The location information at the end of the session must be provided with the additionalLocations element.</p> <p>The location information structure may be composed of three main information structures: 1) Cell and area identity, 2) Geographical coordinates and 3) Postal location.</p> <p>Depending on the network access technology used by the target, the following location information elements and fields may be delivered in the session records:</p> <p><u>Mobile PS network access:</u>  GERAN radio access technology:  1) Cell and area identity elements: globalCellID  2) Geographical coordinates elements: gsmLocation  3) Postal location elements: any available field  UTRAN radio access technology:  1) Cell and area identity elements: globalCellID and/or sAI  2) Geographical coordinates elements: gsmLocation or umtsLocation  3) Postal location elements: any available field  E-UTRAN radio access technology:  1) Cell and area identity elements: userLocationInformation  2) Geographical coordinates elements: gsmLocation or umtsLocation  3) Postal location elements: any available field</p> <p><u>Wireless LAN network access:</u>  Wi-Fi® hotspot access:  2) Geographical coordinates elements, if available: gsmLocation  3) Postal location elements: any available field</p>	

<p><u>Wireline network access:</u>                  Cable modem, xDSL, FTTx access:                  3) Postal location elements, if available: any available field</p>	
<p><b>1) Cell and area identity elements</b></p>	
globalCellID	Table B.11
<i>Description and requirements</i>	<i>Example</i>
Cell Global Identity (CGI) serving the target. The CGI may be used GERAN and UTRAN mobile PS network access.	2289919a245b [in hexadecimal format]
sAI	Table B.11
<i>Description and requirements</i>	<i>Example</i>
Serving Area Identifier (SAI) is used to identify an area consisting of one or more cells belonging to the same Location Area.	22899ca54b8212 [in hexadecimal format]
userLocationInformation	Table B.11
<i>Description and requirements</i>	<i>Example</i>
This field is to be used only for E-UTRAN network access and is composed of the Tracking Area Identifier (TAI) and the E-UTRAN Cell Global Identification (ECGI) components.	1822f899123422f89907654321 [in hexadecimal format]
<p><b>2) Geographical coordinates elements</b></p>	
gsmLocation	Table B.11
<i>Description and requirements</i>	<i>Examples</i>
<p>The formats of the following elements (latitude, longitude and azimuth) are defined in detail in ETSI TS 102 657 V1.17.1 Annex B.3.                  The geographical coordinates of the antenna serving the target shall be indicated in accordance to the WGS84 World Geodetic System.                  When a cell is composed of several azimuth directions or when it is omnidirectional the corresponding azimuth element shall be omitted.  <u>Elements:</u>                  latitude [XDDMMSS.SS]                  longitude [XDDMMSS.SS]                  mapDatum                  azimuth</p>	N465648.10 E0072650.80 wGS84 270
umtsLocation	Table B.11
<i>Description and requirements</i>	<i>Examples</i>
<p>The formats of the following elements (latitudeSign, latitude, longitude and azimuth) are defined in detail in ETSI TS 102 657 Annex B.3.                  The geographical coordinates of the antenna serving the target shall be coded as defined in 3GPP TS 23.032 V13.0.0 clause 6 in accordance to the WGS84 World Geodetic System and use the "point" element.</p>	

When a cell is composed of several azimuth directions or when it is omnidirectional the corresponding azimuth element shall be omitted. <u>Elements of the geographical coordinates:</u> latitudeSign latitude longitude mapDatum azimuth	north 4392309 338139 wGS84 120
<b>3) Postal location elements</b>	
buildingNumber	Annex A.3.3
<i>Description and requirements</i>	<i>Examples</i>
The building number where the cell or hotspot antenna serving the target is located. If this information is not available in this field, it can be provided in the streetname field.	127 4a
streetName	Annex A.3.3
<i>Description and requirements</i>	<i>Examples</i>
The name of the street or place or road where the cell or hotspot antenna serving the target is located. When an antenna is located in a rural area along a road or highway, the name of the road shall be provided in this element.	route de Versoix Bärenplatz Autobahn A5 km38 Langstrasse 15  Note: UTF-8 coding is preferred.
postalCode	Annex A.3.3
<i>Description and requirements</i>	<i>Examples</i>
Postal code of the location where the cell or hotspot antenna serving the target is located.	3011
city	Annex A.3.3
<i>Description and requirements</i>	<i>Examples</i>
The name of the city, village or area (as applicable) where the cell or hotspot antenna serving the target is located. When an antenna is located in a rural area that is not near a road or highway, the name of the municipality where it is situated shall be provided in this element.	Bern Zürich Lugano  Note: UTF-8 coding is preferred.
country	Annex A.3.3
<i>Description and requirements</i>	<i>Examples</i>
The country information shall be provided only for outbound roaming sessions, i.e. sessions of a mobile CSP customer roaming abroad. It shall be formatted according to ISO-3166-1 (2013).	CH DE FR IT
<b>gprsInformation</b>	Table E.3
Information specific to gprs access to be used for GERAN and UTRAN mobile PS network access. The structure is composed of the following elements:	
1) iMSI	Annex E.5

<i>Description and requirements</i>	<i>Example</i>
The International Mobile Subscriber Identity (IMSI) associated with the network access.	2289930355117730
2) mSISDN	Annex E.5
<i>Description and requirements</i>	<i>Example</i>
The MSISDN associated with the network access. It shall be provided in international format.	41751112233
3) pdp-address-allocated	Annex E.5
<i>Description and requirements</i>	<i>Example</i>
The IP address of the primary PDP context allocated for the network access. Preferably in text format using the element "iPTextAddress".	198.51.100.121 10.1.100.200
4) aPN	Annex E.5
<i>Description and requirements</i>	<i>Examples</i>
The Access Point Name (APN) used for the network access.	internet.mobilecsp privatenetwork.mobilecsp
5) pDP_type	Annex E.5
<i>Description and requirements</i>	<i>Examples</i>
This field corresponds to the PDP Type Number as defined in 3GPP TS 29.060 V13.5.0 clause 7.7.27. It provides protocol specific information of the packet data network accessed by the gprs subscriber.	0001 (= ETSI / PPP) 0021 (= IETF / IPv4) 0057 (= IETF / IPv6) 008D (= IETF / IPv4v6) <i>[in hexadecimal format]</i>

<b>ePSInformation</b>	Table E.3
Information specific to gprs access to be used for E-UTRAN mobile PS network access. The structure is composed of the following elements:	
1) iMSI	Annex E.5A
<i>Description and requirements</i>	<i>Example</i>
The International Mobile Subscriber Identity (IMSI) associated with the network access.	2289930355117730
2) iMSIUnauthenticatedFlag	Annex E.5A
<i>Description and requirements</i>	<i>BOOLEAN</i>
The flag shall be set as TRUE if unauthenticated IMSI vs. FALSE for authenticated IMSI	TRUE FALSE
3) mSISDN	Annex E.5A
<i>Description and requirements</i>	<i>Example</i>
The MSISDN associated with the network access. It shall be provided in international format.	41751112233
4) iMEISV	Annex E.5A
<i>Description and requirements</i>	<i>Example</i>
IMEISV of the Mobile Equipment (ME) used for the network access, if available.	3571600455770051

5) p-GWPLMNIdentifier	Annex E.5A
<i>Description and requirements</i>	<i>Example</i>
Public Land Mobile Network (PLMN) identifier of the Packet Data Network Gateway (P-GW). It is composed of the MCC and MNC.	22899 (MCC=228, MNC=99)
6) aPNNetworkID	Annex E.5A
<i>Description and requirements</i>	<i>Examples</i>
The network identifier part of the Access Point Name (APN) used for the network access in dot representation. For instance if the complete APN is "apn1a.apn1b.apn1c.mnc99.mcc228.gprs", the network identifier part is only "apn1a.apn1b.apn1c".	internet.mobilecsp private.vpn.mobilecsp apn1a.apn1b.apn1c
7) pDPPDNType	Annex E.5A
<i>Description and requirements</i>	<i>Examples</i>
This field corresponds to the PDP/PDN type, i.e. IPv4, IPv6, IPv4v6, coded as in 3GPP TS 29.274 V13.8.0 clause 8.34.	01 (=IPv4) 02 (=IPv6) 03 (=IPv4v6) <i>[in hexadecimal format]</i>
8) pDPPDNAddress	Annex E.5A
<i>Description and requirements</i>	<i>Examples</i>
The IP address allocated for the PDP context/PDN connection, i.e. IPv4 address when PDP/PDN Type is IPv4 or IPv6 prefix when PDP/PDN Type is IPv6 or IPv4v6. Preferably in text format using the element "iPTextAddress".	198.51.100.121 10.1.100.200 2001:db8:230f:c349::67cf:29b2
9) rATType	Annex E.5A
<i>Description and requirements</i>	<i>Examples</i>
This field indicates the Radio Access Technology (RAT) type currently used by the Mobile Station as defined in 3GPP TS 29.060 clause 7.7.50, if available.	1 (=UTRAN) 2 (=GERAN) 3 (=WLAN) 4 (=GAN) 5 (=HSPA Evolution) 6 (=E-UTRAN)

<b>wiFiInformation</b>	Table E.3
Information specific to Wi-Fi® network access. The structure is composed of the following elements:	
1) bSSID	ETSI TS 102 657 Annex E.5B
<i>Description and requirements</i>	<i>Example</i>
The Basic Service Set Identification (BSSID) for the network access connection. This is also the MAC address of the access point (assuming that records are for infrastructure mode, not ad-hoc mode).	001b774954fd <i>[in hexadecimal format]</i>

Note: The MAC address of the target, if available, is given in the "naDeviceID" structure.	
2) sSSID	Annex E.5B
<i>Description and requirements</i>	<i>Example</i>
The Service Set Identifier in a human readable format. If available.	CSPXY-FREE-WIFI
3) username	Annex E.5B
<i>Description and requirements</i>	<i>Example</i>
Username as seen and recorded by the CSP. Note: it is not necessarily the case that the username will have been verified in any way.	john.doe
4) locationOfAccessPoint	Annex E.5B
<i>Description and requirements</i>	<i>Examples</i>
Location information of the Access Point. It should be populated as lat/long, grid reference with the "gsmLocation" structure: latitude [XDDMMSS.SS] longitude [XDDMMSS.SS] mapDatum  and/or  the postal address with the "postalLocation" structure: buildingNumber streetName postalCode city	N465648.10 E0072650.80 wGS84  40 Rue Lieu 9999 Ortdorf
5) authenticationType	Annex E.5B
<i>Description and requirements</i>	<i>ELEMENTS</i>
This element describes by which method the target performed authentication to obtain the Wi-Fi® network access.	authenticationBySMS authenticationByCookie authenticationByMACAddress authenticationByEAPSIM authenticationByUsernameAndPassword authenticationByPaymentOrVoucher
6) additionalAuthenticationInformation	Annex E.5B
The available additional information known about the user on the basis of the authentication process has the following structure.	
phoneNumber	
<i>Description and requirements</i>	

Number for the party in ITU-T E.164 (11/10) format. For instance the number to which an SMS token has been sent.	41754601234
<b>mACAddress</b>	
<i>Description and requirements</i>	
The MAC address of the authorised device.	a1:23:45:67:8d:5b
<b>iMSI</b>	
<i>Description and requirements</i>	
The IMSI of the authorised device	2289930355117730
<b>username</b>	
<i>Description and requirements</i>	
The username used by the party to obtain the authorisation to activate the network access.	FOOBAR
<b>password</b>	
<i>Description and requirements</i>	
The password used by the party to obtain the authorisation to activate the network access.	barfoo
<b>7) accessPointIPAddress</b>	ETSI TS 102 657 Annex E.5B
<i>Description and requirements</i>	<i>Example</i>
The IP address or prefix of the Access Point used by the target for the network access. Preferably in text format using the element "iPTextAddress".	2001:db8:230f:c349:1205::4f6

<b>octetsDownloaded</b>	ETSI TS 102 657 Table E.3
<i>Description and requirements</i>	<i>Example</i>
Number of octets downloaded by the subscriber during the network access session.	1825025

<b>octetsUploaded</b>	ETSI TS 102 657 Table E.3
<i>Description and requirements</i>	<i>Example</i>
Number of octets uploaded by the subscriber during the network access session.	258472

## 7.5 Historical data handover interface requirements and options

The handover interface is used for the transmission of the PTSS requests and CSP responses for retroactive data. The data is encoded in one or several XML files.

Delivery of the historical data is possible with the following techniques:

- HTTPS

If HTTPS transactions are not possible or as a fallback solution in case of technical problem:

- Secure Email (using OpenPGP)

- Transportable physical storage media (e.g., CD or DVD or HDD)

The delivery method is subject to a bilateral agreement between PTSS and the CSP.

NOTE: This interface requirements and options are also used for the handover interface used for the Information Requests specified in section 8.

Clause ETSI TS 102 657 V1.17.1	Selection of ETSI options for Switzerland	Additional requirements or specifications
4.1	<b>Reference model - NOTE 1:</b> PTSS is the only authorised organisation.	
4.1	<b>Reference model - issuing and receiving authority:</b> PTSS is the issuing and receiving authority.	
4.4	<b>Handover Interface port 1 (HI-A) and Handover Interfaceport 2 (HI- B)</b> HI-A and HI-B may cross borders between Switzerland and other countries	
4.5 / 7.1	<b>Model used for the RDHI</b> Encoding and delivery technique uses HTTPS and XML as described in clause 7.2.	
5.1.5.1	<b>Errors and failure types</b>	Amendment to text for the sake of consistency with clause 5.1.5.3 2) Errors: If one party makes a syntactical or protocol-level error (e.g. badly-formatted XML or invalid authorisation), the other party shall return an error. The message with the mistake is then ignored (see clause 5.1.5.3).
5.1.5.3	<b>Other errors</b>	According to ETSI this clause is confined to the direction from Authorised Organisation to CSP → “If the CSP receives ...” For the sake of consistency with clause 5.1.5.1 Item 2) errors detected by the Authorised Organisation shall be handled accordingly.
5.1.5.4	<b>Missing messages</b>	The Authorised Organisation and each CSP shall describe the “appropriate” actions to resolve the missing messages error situation and document it in a separate document.

Clause ETSI TS 102 657 V1.17.1	Selection of ETSI options for Switzerland	Additional requirements or specifications
5.1.7	<p><b>Delivery of results</b> The multi-part delivery option must be implemented and be used to allow for an immediate data delivery.</p>	
5.2	<p><b>Message flows for general situation</b> This is the only option used in Switzerland for HI-A and HI-B implementations. NOTE: This implies that the mutual client/server arrangement according to clause 7.2.3 shall be supported.</p>	A specific handover interface solution for small and medium CSP is available
5.2.1	<p><b>Delivery of a response</b></p>	<p>While “Cancellation of request” is used in Switzerland, it may happen that the CSP side receives a “cancelMessage” while delivering results. This procedure shall not impact the CSP and PTSS shall accept to receive all the delivered data without leading to an alarm or error condition.</p>
5.2.2	<p><b>Cancellation of request</b> “Cancellation of request” can be used in Switzerland.</p>	<p>The using of the “cancellation of request” shall not impact an ongoing delivery process by the CSP and shall not lead to an alarm or error condition. PTSS shall accept all data delivered by the CSP even after a “cancelMessage”. PTSS shall deal accordingly with the delivered data.</p>
5.2.3	<p><b>Multi-part delivery</b> The choice of the option “sequential delivery” vs. “parallel delivery” is a CSP decision.</p>	
5.3	<p><b>Message flows for Authorized-Organization-initiated scenario</b> The scenario Authorized-Organization-initiated is not allowed at the Authorised Organisation side. As a consequence CSPs do not need to support this mode of message flow. NOTE: This implies that the single client/server arrangement according to clause 7.2.2 is not used.</p>	

Clause ETSI TS 102 657 V1.17.1	Selection of ETSI options for Switzerland	Additional requirements or specifications
5.4	<b>Message types for HI-A and HI-B; issuing and receiving Authority</b> PTSS acts as the issuing and receiving Authority.	
5.5	<b>HI-A and HI-B addressing</b>	Addresses are defined in a separate bilateral document between the Authorised Organisation and CSP.
6.1.2	<b>RequestID field specification</b> The Authorised Organisation code is managed and delivered exclusively by PTSS.	
6.1.3.1	<b>CSP Identifiers</b> CSPID codepoints are managed and delivered exclusively by PTSS.	
6.1.3.2	<b>Third Party CSP Identifiers</b> thirdPartyCSPID codepoints are managed and delivered exclusively by PTSS.	The use of Third Party CSP Identifiers is subject to confidential agreement between PTSS and involved CSPs.
6.2.1	<b>Retained Data response; General</b> Retained data responses are from the same service and the same category.	
6.2.3	<b>Volatile information</b> Option 2 is preferred. The CSP shall inform PTSS which option it implements.	
6.3.1	<b>Retained Data requests; Information contained within a request</b> The XPATH notation method is not used in Switzerland.	
6.3.3.1	<b>Priority of a request</b> Priority indication shall be used.	The priority parameter "requestPriority" is specified with two priorities: 00 = Urgent / Dringend / Urgent 01 = Regular / Regulär / Régulier If the parameter "requestPriority" is not present the request shall be treated as regular "01".
6.3.3.2	<b>Maximum hits</b> Maximum hits may be used. If present and if the limit is exceeded the CSP shall respond with a "responseFailed" status indicating "Maximum hits exceeded" in the information field according.	Maximum hits is used for the Information Requests specified in section 8.
6.4	<b>Error messages</b> CategorisedErrorDescription list and values see section 7.5.1	

Clause ETSI TS 102 657 V1.17.1	Selection of ETSI options for Switzerland	Additional requirements or specifications
7.2.2	<b>Single client/server</b> This arrangement does not apply to Switzerland NOTE: This is caused by the fact that the Message flows for Authorized-Organization-initiated scenario (according to clause 5.3) are not used in Switzerland.	
7.2.3	<b>Mutual client/server</b> This arrangement shall be supported. NOTE: This is caused by the fact that the Message flows for general situation (according to clause 5.2) are used in Switzerland.	The definition of URI is subject to confidential agreements between PTSS and each CSP.
7.3	<b>Direct TCP data exchange</b> Direct TCP is not used in Switzerland.	
8	<b>Security measures</b> Section 9 describes the connection level security.	
Annexes	<b>XML Schema definition</b> The version of the supported XML schema, definition must be explicitly announced by PTSS.	See section 7.6 and 8.6
Annex I [Informati ve]	<b>Manual techniques</b> Manual techniques shall be used when no electronic interface is available (e.g. in case of failure). The use of the manual technique is subject to a bilateral agreement between PTSS and the CSP.	Manual techniques include: - Use of secure email or fax for the HI-A interface according to the section 3. - Use of physical storage media (e.g. CD or DVD) or secure email or FTP for the HI-B interface. Whenever possible the ETSI RD encoding scheme shall be used as indicated in sections 7.3 and 7.4 and 8.3 and 8.4.

Table 7-7: ETSI TS 102 657 requirements and options

### 7.5.1 Categorized error description and values list

The following table provides the application level categorised error description and values according to ETSI TS 102 657 V1.17.1 clause 6.4

Error value	Error Description
3000	General Business Logic Error.
3001	Invalid XML - parsing error
3002	Duplicate RequestID detected.
3003	Transient Technical Error.

Table 7-8: Categorized error description and values list

## 7.6 Applicable XML schema version for historical data interceptions

Any superior version can be adopted from the CSP for better performances. This must be agreed with PTSS in order to ensure the compatibility with the actual Retained Data Component systems, and this requires a new compliance assessment.

Applicable XML Schema	Requirement or instruction for application
<b>ETSI TS 102 657 V1.17.1</b>	
RDMessage XML Schema (xsd)	RDMessage,ver17.xsd xmlns="http://uri.etsi.org/02657/v1.17.1#/RetainedData"

**Table 7-9: Applicable XML schema version for historical data interceptions**

DRAFT

## 8 Information Requests

### 8.1 General

This section covers the general technical requirements related to the legal provisions set in the ordinance VÜPF section 4 that need to be fulfilled by PTSS and the CSP when requesting, respectively providing the information. The format for the requests and responses are based on the technical specification ETSI TS 102 657 V1.17.1.

### 8.2 Information request types

The table below lists the retroactive interception types specified in the ordinance VÜPF section 4.

<b>Information requests for network access</b>			
<b>VÜPF article</b>	<b>Type &amp; Description</b> (Informative translation)	<b>Identifiers</b> <b>Handover Interfaces</b>	<b>Section</b>
33	<b>IR_1_NA</b> Information about the subscriber of the network access service	Identifiers parameters ETSI TS 102 657 V1.17.1 Annexes A and E	8.4.1.1 8.4.1.2
34	<b>IR_2_NA</b> Service information about the network access	Identifiers parameters ETSI TS 102 657 V1.17.1 Annexes A and E	8.4.1.3 8.4.1.4
35	<b>IR_3_IP</b> Identification of a user by its unique assigned IP address	Identifiers parameters ETSI TS 102 657 V1.17.1 Annexes A and E	8.4.1.5 8.4.1.6
36	<b>IR_4_IP (NAT)</b> Identification of a user by its shared assigned IP address	Identifiers parameters ETSI TS 102 657 V1.17.1 Annexes A and E	8.4.1.7 8.4.1.8
37	<b>IR_5_NAT</b> Network Address Translation (NAT) information	Identifiers parameters ETSI TS 102 657 V1.17.1 Annexes A and E	8.4.1.9 8.4.1.10

**Table 8-1: Information requests for network access types**

<b>Information requests for telecommunication applications</b>			
<b>VÜPF article</b>	<b>Type &amp; Description</b> (Informative translation)	<b>Identifiers</b> <b>Handover Interfaces</b>	<b>Section</b>
38	<b>IR_6_TEL</b> Multimedia and telephony subscription information	Identifiers parameters ETSI TS 102 657 V1.17.1 Annexes A and D	8.4.2.1 8.4.2.2
39	<b>IR_7_TEL</b> Multimedia services information	Identifiers parameters ETSI TS 102 657 V1.17.1 Annexes A and D	0
	Telephony service information		8.4.2.4 8.4.2.5 8.4.2.6
40	<b>IR_8_EMAIL</b> Message subscription information	Identifiers parameters ETSI TS 102 657 V1.17.1 Annexes A and C	8.4.3.1 0

41	<b>IR_9_COM</b> Communication service subscription information	Identifiers parameters ETSI TS 102 657 V1.17.1 Annexes A and E	8.4.4.1 8.4.4.2
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**Table 8-2: Information requests for telecommunication applications types**

<b>Information requests for billing and payment of telecommunication services and network information</b>			
<b>VÜPF article</b>	<b>Type &amp; Description</b> (Informative translation)	<b>Identifiers Handover Interfaces</b>	<b>Section</b>
42	<b>IR_10_PAY</b> Payment details network access information	Identifiers parameters ETSI TS 102 657 V1.17.1 Annexes A and E	8.4.5.1 0
	Payment details multimedia and telephony information	Identifiers parameters ETSI TS 102 657 V1.17.1 Annexes A and D	8.4.5.3 8.4.5.4
43	<b>IR_11_ID</b> Identity document copy for network access service	Identifiers parameters ETSI TS 102 657 V1.17.1 Annexes A and E and Email	8.4.6.1 8.4.6.2
	Identity document copy for multimedia and telephony services	Identifiers parameters ETSI TS 102 657 V1.17.1 Annexes A and D and Email	8.4.6.3 8.4.6.4
44	<b>IR_12_BILL</b> Billing document copy for network access service	Identifiers parameters ETSI TS 102 657 V1.17.1 Annexes A and E and Email	8.4.7.1 8.4.7.2
	Billing document copy for multimedia and telephony services	Identifiers parameters ETSI TS 102 657 V1.17.1 Annexes A and D and Email	0 8.4.7.4
45	<b>IR_13_CONTRACT</b> Contract document copy for network access service	Identifiers parameters ETSI TS 102 657 V1.17.1 Annexes A and E and Email	8.4.8.1 8.4.8.2
	Contract document copy for multimedia and telephony services	Identifiers parameters ETSI TS 102 657 V1.17.1 Annexes A and D and Email	8.4.8.3 8.4.8.4
46	<b>IR_14_TECH</b> Technical data mobile network access information	Identifiers parameters ETSI TS 102 657 V1.17.1 Annexes A and E	8.4.9.1 8.4.9.2
	Technical data WLAN network access information	Identifiers parameters ETSI TS 102 657 V1.17.1 Annexes A and E	8.4.9.3 8.4.9.4

**Table 8-3: Information requests for billing and payment of telecommunication services and network information types**

### 8.3 Common identifiers and parameters of the handover interfaces

This section provides the description of the common parameters and identifiers that are present in the headers of the information requests to be sent by the PTSS and the responses to be sent by the CSP.

#### 8.3.1 XML elements of the header for information requests by PTSS

The information contained in the XML header is based on the specification ETSI TS 102 657 V1.17.1 clauses 6.1 and 6.2

Element or attribute	Description	Example
rdHeaderId	Object Identifier	0.4.0.2.3.0.17
<b>retainedDataHeader</b>		
requestID		
countryCode	A country code as per ISO 3166-1 (2013)	CH
authorizedOrganizationID	Organisation that requested the data.	PTSS
requestNumber	Unique reference of the order request. It is provided by PTSS and serves as reference for the administration of the request.	IR_1_NA_2016082399999 IR_4_NAT_20160902888888
cSPID	Five-digit number provided by PTSS to identify each CSP.	99908
timeStamp	The local date and time with time zone indication when the request was created. Formatted as "GeneralizedTime"	20160319131625Z 20160319141625+0100
<b>retainedDataPayload</b>		
requestMessage		
requestPriority	This element specifies the priority of the request. 00 indicates a high priority (Urgent). 01 indicates a regular priority.	00 01
requestParameters	This structure contains a sequence of request criteria. Each criterion shall be expressed as a RequestConstraints parameter. It is structured in two main parts: The first part	RequestConstraints elements: equals lessThanOrEqualTo greaterThanOrEqualTo

	<p>specifies the service, category and target identity with the parameter "equals".</p> <p>The second part provides the time period interval with the parameters "lessThanOrEqualTo" and "greaterThanOrEqualTo" for which the information requests records shall be delivered by the CSP.</p> <p>A request can only ask for information request of one target identity of one service and one category.</p>	
deliveryPointHIB	<p>Delivery point where the historical data must be sent to. For instance in case of electronic delivery it can be either:</p> <p>IP address and port (HTTPS) URI Email address</p> <p>In case of delivery of a transportable physical storage media (e.g. CD, DVD, HDD): Civic address</p>	<p>198.51.100.12:443 https://li.admin.ch/hd/csp rd@li.admin.ch</p>
maxHits	<p>The upper bound limit on the number of results.</p> <p>If this limit is exceeded the CSP shall respond with a "responseFailed" status indicating "Maximum hits exceeded" in the information field according to ETSI TS 102 657 V1.17.1 clause 6.3.3.2.</p>	25

**Table 8-4: XML elements of the header for information requests by PTSS**

### 8.3.2 XML elements of the header for information responses by CSP

The information contained in the XML header is based on the specification ETSI TS 102 657 V1.17.1 clauses 6.1 and 6.2.

Element or attribute	Description	Example
rdHeaderId	Object Identifier	0.4.0.2.3.0.17
requestID		
countryCode	A country code as per ISO 3166-1 (2013)	CH

authorizedOrganizationID	Organisation that requested the data.	PTSS
requestNumber	Unique reference of the order. It shall be populated with the character string provided in the element "file-number" of the XML order.dtd file.	IR_1_NA_2016082399999 IR_4_NAT_20160902888888
cSPID	Five-digit number provided by PTSS to each CSP.	99908
timeStamp	Formatted as "GeneralizedTime"	20140319131625Z 20140319141625+0100
responseMessage		
responseStatus	Response status from CSP can be: - responseComplete: if all records related to the order are included. - responseIncomplete: if more records will be delivered later. Note: If the responseIncomplete is used then the parameter responseNumber must indicate the number of the multi-part delivery. - responseFailed: if the order cannot be fulfilled.	
responsePayload		
recordNumber	The recordNumber shall start at 0 and shall increment for each record delivered under the original order.	0, 1, 2, 3, etc...

Table 8-5: XML elements of the header for information responses by CSP

## 8.4 Formats and coding requirements for information requests

### 8.4.1 Network access information requests and responses

The encoding and formats of the parameters for the network access information requests and responses relate to ETSI TS 102 657 V1.17.1 Annexes A and E.

#### 8.4.1.1 Network access: subscription information request criteria elements and structure

This section covers the request criteria contained in the requestParameters ⇒ RequestConstraints sequence sent in the XML request for the network access subscription information.

<b>network access Subscriber</b>		
<b>Element or attribute</b>	<b>Description</b>	<b>Example</b>
equals	Request constraint	
networkAccess	Service = networkAccess	
naSubscriber	Category = naSubscriber	
<b>subscriberID</b>		
<b>subscriberID</b>	A unique identifier for this particular subscriber within the CSP.	123456789
<b>naSubscriptions structure</b>		
NAServiceSubscription	SEQUENCE	
IMSI	The International Mobile Subscriber Identity (IMSI) of the target.	2289930355117730
registeredICCID	Integrated Circuit Card ID of the subscriber (SIM)	89410228641400127777
allocatedDevices	SEQUENCE OF	
naDeviceId	Identity of a device used for the network access	41771112233
description	Human readable description of the device related to the service subscription.	MSISDN Mobile phone cable modem
macAddress	Media Access Control address according to IEEE 802. (6 octets)	54A6FA471B3C
dslID	DSL identifier as set by the CSP.	DSL-134523
imei	International Mobile Equipment Identity	3571600455770051
subscriberID	An alternative identifier for the subscriber using a specific service of the CSP. To be used only when it differs from the subscriberID defined in the NASubscriber sequence.	NA7654321
<b>subscriber structure</b>		
GenericSubscriberInfo	SEQUENCE	
<b>OrganizationInfo</b>	SEQUENCE	
name	Name of the organisation (corporate, foundation, etc...)	FOOBAR AG
contactDetails	SEQUENCE	
address	structure	
AddressInformation	SEQUENCE	
buildingNumber	Building number	12
streetName	Street name	Mittelstrasse
poBox	Postal Box number	5578
postalCode	Postal code of the city	9999
city	City	Ortdorf
country	Country code as defined in ISO 3166-1 (2013)	CH

nationalRegistrationID	Unique reference for this organisation as in the Swiss Central Business Index (zefix.admin.ch).	CHE-777.888.999
<b>IndividualInfo</b>	SEQUENCE	
PersonName	SEQUENCE	
surname	The surname and firstname as well as the prefixes and suffixes are provided in this field only.	Joe Muster Da Silva
contactAddress	SEQUENCE	
address	Structure	
AddressInformation	SEQUENCE	
buildingNumber	Building number	12
streetName	Street name	Mittelstrasse
poBox	Postal Box number	6897
postalCode	Postal code of the city	9999
city	City	Ortdorf
country	Country code as defined in ISO 3166-1 (2013)	CH
dateofBirth	Subscriber's date of birth	19920611000000Z
AuthenticationInfo	SEQUENCE	
authenticationType	Description of the type of document used to authenticate, e.g. passport, ID card, driver's license	ID card Passport
authenticationNumber	The number of the document used to authenticate	E12345678

#### 8.4.1.2 Network access: subscription information response elements and structure

This section covers the information response elements and fields of the Network access subscriber records according to ETSI TS 102 657 V1.17.1 Annexes A and E.

<b>network access Subscriber</b>		
<b>Element or attribute</b>	<b>Description</b>	<b>Example</b>
recordNumber	Structure	0, 1, 2, ...
recordPayload structure		
networkAccess	Service = networkAccess	
naSubscriber	Category = naSubscriber	
<b>subscriberID</b>		
<b>subscriberID</b>	A unique identifier for this particular subscriber within the CSP.	123456789
<b>naSubscriptions structure</b>		
naSubscriptions	SEQUENCE OF	
NAServiceSubscription	SEQUENCE	
validity	Structure	
startTime	Start time of the subscribed service	20100611000000+0200
endTime	End time of the subscribed service	20160731000000+0200
naServiceID	Identifier for the service according to the CSP.	Internet Access Mobile Unlimited

naProviderID	Unique identifier for the provider of the service. In form of the 5 digits allocated by the PTSS.	99989
naAuthID	Unique identifier for this subscription, e.g. logon name	Joe123456
installationAddress	Structure composed of the installation address of the subscriber's equipment, if applicable.	
buildingNumber	Building number	12
streetName	Street name	Mittelstrasse
postalCode	Postal code of the city	9999
city	City	Ortdorf
country	Country code as defined in ISO 3166-1 (2013)	CH
validity	structure	
startTime	Start time of the installation	20100611000000+0200
endTime	End time of the installation, if applicable.	20150630000000+0200
fixIpAddress	Fix IP address assigned to the subscriber in text format, if applicable.	203.0.113.25
IMSI	The International Mobile Subscriber Identity (IMSI) of the subscriber.	2289930355117730
naServiceStatus	Information about the status of the subscribed service.	active ceased suspended
subscriptionType	Structure	
SubscriptionType	ENUMERATED	unknown postpay prepay other
resellerAddress	Structure	
AddressInformation	SEQUENCE	
buildingNumber	Building number	28
streetName	Street name	Beispielstrasse
postalCode	Postal code of the city	9989
city	City	Beispielstadt
country	Country code as defined in ISO 3166-1 (2013)	CH
relatedOrganizationInfo	Structure	
OrganizationInfo	SEQUENCE	
name	Name of the reseller organisation	CheapMobile SA
createTime	Time that subscriber account was created by the reseller organisation.	20160525134000+0200
registeredICCID	Integrated Circuit Card ID of the subscriber (SIM)	89410228641400127777
allocatedDevices	SEQUENCE OF	
naDeviceId	Identity of a device used for the network access	41771112233

description	Human readable description of the device related to the service subscription.0	MSISDN Mobile phone cable modem
macAddress	Media Access Control address according to IEEE 802. (6 octets)	54A6FA471B3C
dslID	DSL identifier as set by the CSP.	DSL-134523
imei	International Mobile Equipment Identity	3571600455770051
subscriberID	An alternative identifier for the subscriber using a specific service of the CSP. To be used only when it differs from the subscriberID defined in the NASubscriber sequence.	NA7654321
<b>subscriber structure</b>		
GenericSubscriberInfo	SEQUENCE	
<b>OrganizationInfo</b>	SEQUENCE	
name	Name of the organisation (corporate, foundation, etc...)	FOOBAR AG
contactDetails	SEQUENCE	
address	structure	
AddressInformation	SEQUENCE	
buildingNumber	Building number	12
streetName	Street name	Mittelstrasse
poBox	Postal Box number	5578
postalCode	Postal code of the city	9999
city	City	Ortdorf
country	Country code as defined in ISO 3166-1 (2013)	CH
emailAddress	Email address of the organisation	info@foobar.ch
contactNumber	SEQUENCE OF	
PartyNumber	Phone number(s) of the organisation	41771112233
nationalRegistrationID	Unique reference for this organisation as in the Swiss Central Business Index (zefix.admin.ch).	CHE-777.888.999
<b>IndividualInfo</b>	SEQUENCE	
PersonName	SEQUENCE	
surname	The surname and firstname as well as the prefixes and suffixes are provided in this field only.	Joe Muster Da Silva
contactAddress	SEQUENCE	
address	structure	
AddressInformation	SEQUENCE	
buildingNumber	Building number	12
streetName	Street name	Mittelstrasse
poBox	Postal Box number	5578
postalCode	Postal code of the city	9999
city	City	Ortdorf
country	Country code as defined in ISO 3166-1 (2013)	CH
emailAddress	Email address of the organisation	joe.muster@cspdomain.ch

contactNumber	SEQUENCE OF	
PartyNumber	Phone number(s) of the subscriber	41319998877
dateofBirth	Subscriber's date of birth	19920611000000Z
AuthenticationInfo	SEQUENCE	
authenticationType	Description of the type of document used to authenticate, e.g. passport, ID card, driver's license	ID card Passport
authenticationNumber	The number of the document used to authenticate	E12345678
profession	Profession of the subscriber, if available.	Consultant

#### 8.4.1.3 Network access: service information request criteria elements and structure

This section covers the request criteria contained in the requestParameters ⇒ RequestConstraints sequence sent in the XML request for the network access service information.

Network access service		
Element or attribute	Description	Example
equals	Request constraint	
networkAccess	Service = networkAccess	
naSubscriber	Category = naSubscriber	
naSubscriptions structure		
NAServiceSubscription	SEQUENCE	
installationAddress	Structure composed of the installation address of the subscriber's equipment, if applicable.	
buildingNumber	Building number	12
streetName	Street name	Mittelstrasse
postalCode	Postal code of the city	9999
city	City	Ortdorf
country	Country code as defined in ISO 3166-1 (2013)	CH
IMSI	The International Mobile Subscriber Identity (IMSI) of the target.	2289930355117730
registeredICCID	Integrated Circuit Card ID of the subscriber (SIM)	89410228641400127777
allocatedDevices	SEQUENCE OF	
naDeviceId	Identity of a device used for the network access	41771112233
description	Human readable description of the device related to the service subscription.	MSISDN Mobile phone cable modem

macAddress	Media Access Control address according to IEEE 802. (6 octets)	54A6FA471B3C
dslID	DSL identifier as set by the CSP.	DSL-134523
imei	International Mobile Equipment Identity	3571600455770051
subscriberID	An alternative identifier for the subscriber using a specific service of the CSP. To be used only when it differs from the subscriberID defined in the NASubscriber sequence.	NA7654321

#### 8.4.1.4 Network access: service information response elements and structure

This section covers the information response elements and fields of the Network access subscriber records according to ETSI TS 102 657 Annexes A and E.

<b>Network access service</b>		
<b>Element or attribute</b>	<b>Description</b>	<b>Example</b>
recordNumber	Structure	0, 1, 2, ...
recordPayload structure		
networkAccess	Service = networkAccess	
naSubscriber	Category = naSubscriber	
<b>subscriberID</b>		
	A unique identifier for this particular subscriber within the CSP.	123456789
<b>naSubscriptions structure</b>		
NAServiceSubscription	SEQUENCE	
IMSI	The International Mobile Subscriber Identity (IMSI) of the target.	2289930355117730
registeredICCID	Integrated Circuit Card ID of the subscriber (SIM)	89410228641400127777
allocatedDevices	SEQUENCE OF	
naDeviceId	Identity of a device used for the network access	41771112233
description	Human readable description of the device related to the service subscription.	MSISDN Mobile phone cable modem
macAddress	Media Access Control address according to IEEE 802. (6 octets)	54A6FA471B3C
dslID	DSL identifier as set by the CSP.	DSL-134523
imei	International Mobile Equipment Identity	3571600455770051
subscriberID	An alternative identifier for the subscriber using a specific service of the CSP. To be used only when it differs from the subscriberID	NA7654321

	defined in the NASubscriber sequence.	
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#### 8.4.1.5 Network access: identification of a user by its unique assigned IP address information request criteria elements and structure

This section covers the request criteria contained in the requestParameters ⇒ RequestConstraints sequence sent in the XML request for the network access service usage.

Network access service		
Element or attribute	Description	Example
equals	Request constraint	
networkAccess	Service = networkAccess	
naServiceUsage	Category = naServiceUsage	
naAssignedAddress structure		
NAAssignedAddress	SEQUENCE	
addressSetOrRangeOrMask	SEQUENCE	
IPaddressSetOrRangeOrMask	CHOICE	
set	SEQUENCE OF	
IPAddress	CHOICE	
iPTextAddress		198.51.100.25
assignedTime	Structure	
TimeSpan	SEQUENCE	
startTime	Start time of the period when the IP address was assigned.	20160725113000Z
endTime	End time of the period when the IP address was assigned.	20160725113800Z

#### 8.4.1.6 Network access: identification of a user by its unique assigned IP address information response elements and structure

This section covers the information response elements and fields of the Network access subscriber records according to ETSI TS 102 657 V1.17.1 Annex E.

Network access Service Usage		
Element or attribute	Description	Example
recordNumber	Structure	0, 1, 2, ...
recordPayload structure		
networkAccess	Service = networkAccess	
naServiceUsage	Category = naServiceUsage	
naServiceUsage structure		
NAServiceUsage	SEQUENCE	

naDevice	Structure	
naDeviceId	Identity of a device used for the network access	41771112233
description	Human readable description of the device related to the service subscription.	MSISDN Mobile phone cable modem
macAddress	Media Access Control address according to IEEE 802. (6 octets)	54A6FA471B3C
dslID	DSL identifier as set by the CSP.	DSL-134523
imei	International Mobile Equipment Identity	3571600455770051
subscriberID	An alternative identifier for the subscriber using a specific service of the CSP. To be used only when it differs from the subscriberID defined in the NASubscriber sequence.	NA7654321

#### 8.4.1.7 Network access: identification of a user by its shared assigned IP address information request criteria elements and structure

This section covers the request criteria contained in the requestParameters ⇨ RequestConstraints sequence sent in the XML request for the network access service usage.

Network access service		
Element or attribute	Description	Example
equals	Request constraint	
networkAccess	Service = networkAccess	
naServiceUsage	Category = naServiceUsage	
addressTranslationRecords structure		
addressTranslationRecord	SEQUENCE	
validity		
TimeSpan	SEQUENCE	
startTime	Time at which the shared IP address and the port have been assigned.	20160725113000Z
publicIPAddress	Structure	
IPAddress	CHOICE	
iPTextAddress	The translated IP address of the host, i.e. the address known by the remote host in text format.	203.0.113.45
publicPort	CHOICE	
singlePort	The translated port of the host.	32658
destinationIPAddress	The IP address of the remote host.	

IPAddress	CHOICE	
iPTextAddress	The IP address of the destination host.	198.51.100.24
destinationPort	The port of the remote host	44214
connectionType	ENUMERATED The protocol used for the session.	udp tcp sctp other

#### 8.4.1.8 Network access: identification of a user by its shared assigned IP address information response elements and structure

This section covers the information response elements and fields of the Network access subscriber records according to ETSI TS 102 657 V1.17.1 Annex E.

<b>Network access Service Usage</b>		
<b>Element or attribute</b>	<b>Description</b>	<b>Example</b>
recordNumber	Structure	0, 1, 2, ...
recordPayload structure		
networkAccess	Service = networkAccess	
naServiceUsage	Category = naServiceUsage	
<b>naServiceUsage structure</b>		
NAServiceUsage	SEQUENCE	
GprsInformation	SEQUENCE	
iMSI	The International Mobile Subscriber Identity (IMSI) associated with the network access.	2289930355117730
mSISDN	The MSISDN associated with the network access. It shall be provided in international format.	41771112233
naDevice	Structure	
naDeviceId	Identity of a device used for the network access	41311116655
description	Human readable description of the device related to the service subscription.	MSISDN Mobile phone cable modem
macAddress	Media Access Control address according to IEEE 802. (6 octets)	54A6FA471B3C
dslID	DSL identifier as set by the CSP.	DSL-134523
imei	International Mobile Equipment Identity	3571600455770051
subscriberID	An alternative identifier for the subscriber using a specific service of the CSP. To be used only when it differs from the subscriberID defined in the NASubscriber sequence.	NA7654321

### 8.4.1.9 Network access: Network access translation information request criteria elements and structure

This section covers the request criteria contained in the requestParameters ⇨ RequestConstraints sequence sent in the XML request for the network access service usage.

<b>Network access service</b>		
<b>Element or attribute</b>	<b>Description</b>	<b>Example</b>
equals	Request constraint	
networkAccess	Service = networkAccess	
naServiceUsage	Category = naServiceUsage	
<b>addressTranslationRecords structure</b>		
addressTranslationRecord	SEQUENCE	
validity		
TimeSpan	SEQUENCE	
startTime	Time at which the shared IP address and the port have been assigned.	20160725113000Z
privateIPAddress	Source IP address before the NAT instance	
IPAddress	CHOICE	
iPTextAddress	The private IP address of the host.	192.168.0.24
privatePort	Source port before the NAT instance	25871
publicIPAddress	Source IP address after the NAT instance	
IPAddress	CHOICE	
iPTextAddress	The translated IP address of the host, i.e. the address known by the remote host in text format.	203.0.113.45
publicPort	Source port after the NAT instance	
singlePort	The translated port of the host.	32658
destinationIPAddress	The IP address of the remote host.	
IPAddress	CHOICE	
iPTextAddress	The IP address of the destination host.	198.51.100.24
destinationPort	The port of the remote host	44214
connectionType	ENUMERATED The protocol used for the session.	udp tcp sctp other

### 8.4.1.10 Network access: Network access translation information response elements and structure

This section covers the information response elements and fields of the Network access subscriber records according to ETSI TS 102 657 V1.17.1 Annex E.

<b>Network access Service Usage</b>		
<b>Element or attribute</b>	<b>Description</b>	<b>Example</b>
recordNumber	Structure	0, 1, 2, ...
recordPayload	structure	
networkAccess	Service = networkAccess	
naServiceUsage	Category = naServiceUsage	
<b>naServiceUsage structure</b>		
privateIPAddress	Source IP address before the NAT instance.	
IPAddress	CHOICE	
iPTextAddress	The private IP address of the host.	192.168.0.24
privatePort	The source port before the NAT instance.	25871
publicIPAddress	Source IP address after the NAT instance.	
IPAddress	CHOICE	
iPTextAddress	The translated IP address of the host, i.e. the address known by the remote host in text format.	203.0.113.45
publicPort	Source port after the NAT instance.	
singlePort	The translated port of the host.	32658

Depending on the Network Address Translation instance configuration and capabilities, either the "privateIPAddress" and "privatePort" before the NAT instance or the "publicIPAddress" and "publicPort" after the NAT instance shall be reported.

### 8.4.2 Multimedia and telephony information requests and responses

The encoding and formats of the parameters for the network access information requests and responses relate to ETSI TS 102 657 V1.17.1 Annexes A and B and D.

#### 8.4.2.1 Multimedia and telephony: subscription information request criteria elements and structure

This section covers the request criteria contained in the requestParameters ⇒ RequestConstraints sequence sent in the XML request for the telephony and multimedia subscription information. It uses the "multimediaSubscriber" structure as telephony is considered as a subset of multimedia services.

<b>Multimedia Subscriber</b>		
<b>Element or attribute</b>	<b>Description</b>	<b>Example</b>
equals	Request constraint	

multimediaRecord	Service = multimedia	
multimediaSubscriber	Category = multimediaSubscriber	
<b>subscriberID</b>		
MultimediaSubscriberID	Structure A unique identifier for this particular subscriber within the CSP.	123456789
<b>genericSubscriberInfo structure</b>		
GenericSubscriberInfo	SEQUENCE	
<b>OrganizationInfo</b>	SEQUENCE	
name	Name of the organisation (corporate, foundation, etc...)	FOOBAR AG
contactDetails	SEQUENCE	
address	structure	
AddressInformation	SEQUENCE	
buildingNumber	Building number	12
streetName	Street name	Mittelstrasse
poBox	Postal Box number	5578
postalCode	Postal code of the city	9999
city	City	Ortdorf
country	Country code as defined in ISO 3166-1 (2013)	CH
nationalRegistrationID	Unique reference for this organisation as in the Swiss Central Business Index (zefix.admin.ch).	CHE-777.888.999
<b>IndividualInfo</b>	SEQUENCE	
PersonName	SEQUENCE	
surname	The surname and firstname as well as the prefixes and suffixes are provided in this field only.	Joe Muster Da Silva
contactAddress	SEQUENCE	
address	Structure	
AddressInformation	SEQUENCE	
buildingNumber	Building number	12
streetName	Street name	Mittelstrasse
poBox	Postal Box number	6897
postalCode	Postal code of the city	9999
city	City	Ortdorf
country	Country code as defined in ISO 3166-1 (2013)	CH
dateofBirth	subscriber's date of birth	19920611000000Z
<b>AuthenticationInfo</b>	SEQUENCE	
authenticationType	Description of the type of document used to authenticate, e.g. passport, ID card, driver's license	ID card Passport

authenticationNumber	The number of the document used to authenticate	E12345678
<b>subscribedMultimedia Services</b>		
registeredIdentifier	SEQUENCE OF	
PartyIdentity	Party identity of the multimedia or telephony subscriber.	41771112233 sip:+41771112233@csp.ch tel:+41771112233
registeredICCID	Integrated Circuit Card ID of the subscriber (SIM)	89410228641400127777
iMSI	The International Mobile Subscriber Identity (IMSI) of the subscriber.	2289930355117730

#### 8.4.2.2 Multimedia and telephony: subscription information response elements and structure

This section covers the information response elements and fields of the multimedia subscriber records according to ETSI TS 102 657 V1.17.1 Annexes A and D.

<b>network access Subscriber</b>		
<b>Element or attribute</b>	<b>Description</b>	<b>Example</b>
recordNumber	Structure	0, 1, 2, ...
recordPayload structure		
multimediaRecord	Service = multimedia	
multimediaSubscriber	Category = multimediaSubscriber	
<b>subscriberID</b>		
MultimediaSubscriberID	A unique identifier for this particular subscriber within the CSP.	123456789
<b>genericSubscriberInfo structure</b>		
GenericSubscriberInfo	SEQUENCE	
<b>OrganizationInfo</b>		
name	Name of the organisation (corporate, foundation, etc...)	FOOBAR AG
contactDetails	SEQUENCE	
address	structure	
AddressInformation		
buildingNumber	Building number	12
streetName	Street name	Mittelstrasse
poBox	Postal Box number	5578
postalCode	Postal code of the city	9999
city	City	Ortdorf
country	Country code as defined in ISO 3166-1 (2013)	CH
emailAddress	Email address of the organisation	info@foobar.ch

contactNumber	SEQUENCE OF	
PartyNumber	Phone number(s) of the organisation	41771112233
nationalRegistrationID	Unique reference for this organisation as in the Swiss Central Business Index (zefix.admin.ch).	CHE-777.888.999
<b>IndividualInfo</b>	SEQUENCE	
PersonName	SEQUENCE	
surname	The surname and firstname as well as the prefixes and suffixes are provided in this field only.	Joe Muster Da Silva
contactAddress	SEQUENCE	
address	Structure	
AddressInformation	SEQUENCE	
buildingNumber	Building number	12
streetName	Street name	Mittelstrasse
poBox	Postal Box number	6897
postalCode	Postal code of the city	9999
city	City	Ortdorf
country	Country code as defined in ISO 3166-1 (2013)	CH
emailAddress	Email address of the organisation	joe.muster@cspdomain.ch
contactNumber	SEQUENCE OF	
PartyNumber	Phone number(s) of the subscriber	41319998877
dateofBirth	subscriber's date of birth	19920611000000Z
AuthenticationInfo	SEQUENCE	
authenticationType	Description of the type of document used to authenticate, e.g. passport, ID card, driver's license	ID card Passport
authenticationNumber	The number of the document used to authenticate	E12345678
profession	Profession of the subscriber, if available.	Consultant
<b>subscribedMultimedia Services</b>		
servicelD	Identifier for the service according to the CSP.	Wireline Telephony Mobile Unlimited
providerID	Unique identifier for the provider of the service. In form of the 5 digits allocated by the PTSS.	99909
timeSpan	Structure	
startTime	Start time of the service	20100225120000+0200
endTime	End time of the service, if applicable.	20160731120000+0200
registeredIdentifiers	SEQUENCE OF	

PartyIdentity	Party identity or identities of the multimedia or telephony subscriber.	41771112233 sip:+41771112233@csp.ch tel:+41771112233 sip:+ 41992305887@csp.ch tel:+41992305887
registeredICCID	Integrated Circuit Card ID of the subscriber (SIM)	89410228641400127777
installationAddress	Structure composed of the installation address of the subscriber's equipment, if applicable.	
buildingNumber	Building number	12
streetName	Street name	Mittelstrasse
postalCode	Postal code of the city	9999
city	City	Ortdorf
country	Country code as defined in ISO 3166-1 (2013)	CH
validity	structure	
startTime	Start time of the installation	20100611000000+0200
endTime	End time of the installation, if applicable.	20160731120000+0200
iMSI	The International Mobile Subscriber Identity (IMSI) of the subscriber.	2289930355117730
carrierPreselect	Indicates if a carrier preselection is active (true) or not (false).	false true
lineStatus	CSP-specific description of current line status. e.g. "Active", "Ceased", etc.	Active Ceased Suspended
subscriptionType	ENUMERATED Describes the nature of the subscription.	unknown postpay prepay other
resellerAddress	Structure	
AddressInformation	SEQUENCE	
buildingNumber	Building number	28
streetName	Street name	Beispielstrasse
postalCode	Postal code of the city	9989
city	City	Beispielstadt
country	Country code as defined in ISO 3166-1 (2013)	CH
relatedOrganizationInfo	Structure	
OrganizationInfo	SEQUENCE	
name	Name of the reseller organisation	CheapMobile SA
createTime	Time that subscriber account was created by the reseller organisation.	20160525134000+0200

### 8.4.2.3 Multimedia: service information request criteria elements and structure

This section covers the request criteria contained in the requestParameters ⇒ RequestConstraints sequence sent in the XML request for the telephony and multimedia subscription information. It uses the "multimediaSubscriber" structure.

<b>Multimedia Subscriber</b>		
<b>Element or attribute</b>	<b>Description</b>	<b>Example</b>
equals	Request constraint	
multimediaRecord	Service = multimedia	
multimediaSubscriber	Category = multimediaSubscriber	
<b>subscribedMultimedia Services</b>		
registeredIdentifiers	SEQUENCE OF	
PartyIdentity	Party identity of the multimedia or telephony subscriber.	41771112233 sip:+41771112233@csp.ch tel:+41771112233
registeredICCID	Integrated Circuit Card ID of the subscriber (SIM)	89410228641400127777
installationAddress	Structure composed of the installation address of the subscriber's equipment, if applicable.	
buildingNumber	Building number	12
streetName	Street name	Mittelstrasse
postalCode	Postal code of the city	9999
city	City	Ortdorf
country	Country code as defined in ISO 3166-1 (2013)	CH
iMSI	The International Mobile Subscriber Identity (IMSI) of the subscriber.	2289930355117730

### 8.4.2.4 Multimedia: service information response elements and structure

This section covers the information response elements and fields of the multimedia subscriber records according to ETSI TS 102 657 V1.17.1 Annexes A and D.

<b>network access Subscriber</b>		
<b>Element or attribute</b>	<b>Description</b>	<b>Example</b>
recordNumber	Structure	0, 1, 2, ...
recordPayload structure		
multimediaRecord	Service = multimedia	
multimediaSubscriber	Category = multimediaSubscriber	

<b>subscriberID</b>		
	Structure	
MultimediaSubscriberID	A unique identifier for this particular subscriber within the CSP.	123456789
<b>subscribedMultimedia Services</b>		
	SEQUENCE OF	
timeSpan	Structure	
startTime	Start time of the service	20100225120000+0200
endTime	End time of the service, if applicable.	20160731120000+0200
registeredIdentifiers	SEQUENCE OF	
PartyIdentity	Party identity or identities of the multimedia or telephony subscriber.	41771112233 sip:+41771112233@csp.ch tel:+41771112233 sip:+ 41992305887@csp.ch tel:+41992305887
registeredICCID	Integrated Circuit Card ID of the subscriber (SIM)	89410228641400127777
iMSI	The International Mobile Subscriber Identity (IMSI) of the subscriber.	2289930355117730

#### 8.4.2.5 Telephony: service information request criteria elements and structure

This section covers the request criteria contained in the requestParameters ⇒ RequestConstraints sequence sent in the XML request for the telephony and multimedia subscription information. It uses the "telephonySubscriber" structure.

<b>Multimedia Subscriber</b>		
<b>Element or attribute</b>	<b>Description</b>	<b>Example</b>
equals	Request constraint	
telephonyRecord	Service = telephony	
telephonySubscriber	Category = telephonySubscriber	
<b>subscribedTelephony Services</b>		
	SEQUENCE OF	
registeredNumbers	SEQUENCE OF	
partyNumber	The set of telephone numbers registered for this service	41771112233
installationAddress	Structure composed of the installation address of the subscriber's equipment, if applicable.	
buildingNumber	Building number	12
streetName	Street name	Mittelstrasse
postalCode	Postal code of the city	9999
city	City	Ortdorf

country	Country code as defined in ISO 3166-1 (2013)	CH
iMSI	The International Mobile Subscriber Identity (IMSI) of the subscriber.	2289930355117730
allocatedDeviceIDs	SEQUENCE OF	
TelephonyDeviceID	A unique identifier for the telephony device. e.g. modem's MAC address	54A6FA471B3C
iMEI	SEQUENCE OF	
IMEI	The International Mobile Equipment Identity. NOTE: When comparing IMEIs, an IMEI can be considered "equal to" the requested IMEI even if the checksum or software version digits are different or not present.	3571600455770051

#### 8.4.2.6 Telephony: service information response elements and structure

This section covers the information response elements and fields of the multimedia subscriber records according to ETSI TS 102 657 V1.17.1 Annexes A and B.

<b>network access Subscriber</b>		
<b>Element or attribute</b>	<b>Description</b>	<b>Example</b>
recordNumber	Structure	0, 1, 2, ...
recordPayload structure		
telephonyRecord	Service = telephony	
telephonySubscriber	Category = telephonySubscriber	
<b>subscriberID</b>		
telephonySubscriberID	Structure	
	A unique identifier for this particular subscriber within the CSP.	123456789
<b>SubscribedTelephony Services</b>		
	SEQUENCE OF	
timeSpan	Structure	
startTime	Start time of the service	20100225120000+0200
endTime	End time of the service, if applicable.	20160731120000+0200
registeredNumbers	SEQUENCE OF	
PartyNumber	The set of telephone numbers registered for this service	41771112233 41992305887
registeredICCID	Integrated Circuit Card ID of the subscriber (SIM)	89410228641400127777

iMSI	The International Mobile Subscriber Identity (IMSI) of the subscriber.	2289930355117730
allocatedDeviceIDs	SEQUENCE OF	
TelephonyDeviceID	A unique identifier for the telephony device. e.g. modem's MAC address	54A6FA471B3C
pUKCode	PIN Unlock Key code linked to the subscriber's SIM card.	49682767
pUK2Code	PIN Unlock Key 2 code linked to the subscriber's SIM card.	87654321
iMEI	SEQUENCE OF	
IMEI	The International Mobile Equipment Identity. NOTE: When comparing IMEIs, an IMEI can be considered "equal to" the requested IMEI even if the checksum or software version digits are different or not present.	3571600455770051

### 8.4.3 Message services information requests and responses

The encoding and formats of the parameters for the network access information requests and responses relate to ETSI TS 102 657 V1.17.1 Annexes A and C.

#### 8.4.3.1 Message: subscription information request criteria elements and structure

This section covers the request criteria contained in the requestParameters ⇨ RequestConstraints sequence sent in the XML request for the message subscription information. It uses the "msgSubscriber" structure.

<b>Multimedia Subscriber</b>		
<b>Element or attribute</b>	<b>Description</b>	<b>Example</b>
equals	Request constraint	
messageRecord	Service = message	
msgSubscriber	Category = msgSubscriber	
<b>MsgSubscriber</b>		
subscriberID	SEQUENCE	
MsgSubscriberID	Structure Unique identifier for this subscriber, e.g. account number	123456789
<b>msgStores</b>		
MsgStore	SEQUENCE OF	
aliases	SEQUENCE	
	SEQUENCE OF	

MsgAddress	Messaging address, an address to which messages can be sent. In the case of Internet e-mail this will be an RFC2822-style address	joe.muster@cspdomain.ch
<b>subscriber</b>		
GenericSubscriberInfo	SEQUENCE	
OrganizationInfo	SEQUENCE	
name	Name of the organisation (corporate, foundation, etc...)	FOOBAR AG
contactDetails	SEQUENCE	
address	structure	
AddressInformation	SEQUENCE	
buildingNumber	Building number	12
streetName	Street name	Mittelstrasse
poBox	Postal Box number	5578
postalCode	Postal code of the city	9999
city	City	Ortdorf
country	Country code as defined in ISO 3166-1 (2013)	CH
nationalRegistrationID	Unique reference for this organisation as in the Swiss Central Business Index (zefix.admin.ch).	CHE-777.888.999
<b>IndividualInfo</b>	SEQUENCE	
PersonName	SEQUENCE	
surname	The surname and firstname as well as the prefixes and suffixes are provided in this field only.	Joe Muster Da Silva
contactAddress	SEQUENCE	
address	Structure	
AddressInformation	SEQUENCE	
buildingNumber	Building number	12
streetName	Street name	Mittelstrasse
poBox	Postal Box number	6897
postalCode	Postal code of the city	9999
city	City	Ortdorf
country	Country code as defined in ISO 3166-1 (2013)	CH
dateofBirth	subscriber's date of birth	19920611000000Z
AuthenticationInfo	SEQUENCE	
authenticationType	Description of the type of document used to authenticate, e.g. passport, ID card, driver's license	ID card Passport
authenticationNumber	The number of the document used to authenticate	E12345678

### 8.4.3.2 Message: subscription information response elements and structure

This section covers the information response elements and fields of the message subscription information records according to ETSI TS 102 657 V1.17.1 Annexes A and C.

<b>network access Subscriber</b>		
<b>Element or attribute</b>	<b>Description</b>	<b>Example</b>
recordNumber	Structure	0, 1, 2, ...
recordPayload structure		
messageRecord	Service = message	
msgSubscriber	Category = msgSubscriber	
<b>MsgSubscriber</b>		
SEQUENCE		
subscriberID	Structure	
MsgSubscriberID	Unique identifier for this subscriber, e.g. account number	123456789
<b>msgStores</b>		
SEQUENCE OF		
MsgStore		
SEQUENCE		
validity	Structure	
TimeSpan		
SEQUENCE		
startTime	Start time of the service	20100225120000+0200
endTime	End time of the service, if applicable.	20160731120000+0200
aliases		
SEQUENCE OF		
MsgAddress	Messaging address, an address to which messages can be sent. In the case of Internet e-mail this will be an RFC2822-style address	joe.muster@cspdomain.ch
providerID	Structure	
MsgProviderID	Unique identifier for the provider of the service. In form of the 5 digits allocated by the PTSS.	99989
<b>subscriber</b>		
Structure		
GenericSubscriberInfo		
SEQUENCE		
<b>OrganizationInfo</b>		
SEQUENCE		
name	Name of the organisation (corporate, foundation, etc...)	FOOBAR AG
contactDetails		
SEQUENCE		
address		
structure		
AddressInformation		
SEQUENCE		
buildingNumber	Building number	12
streetName	Street name	Mittelstrasse
poBox	Postal Box number	5578
postalCode	Postal code of the city	9999
city	City	Ortdorf
country	Country code as defined in ISO 3166-1	CH
emailAddress	Email address of the organisation	info@foobar.ch
contactNumber		
SEQUENCE OF		

PartyNumber	Phone number(s) of the organisation	41771112233
nationalRegistrationID	Unique reference for this organisation as in the Swiss Central Business Index (zefix.admin.ch).	CHE-777.888.999
<b>IndividualInfo</b>	SEQUENCE	
PersonName	SEQUENCE	
surname	The surname and firstname as well as the prefixes and suffixes are provided in this field only.	Joe Muster Da Silva
contactAddress	SEQUENCE	
address	Structure	
AddressInformation	SEQUENCE	
buildingNumber	Building number	12
streetName	Street name	Mittelstrasse
poBox	Postal Box number	6897
postalCode	Postal code of the city	9999
city	City	Ortdorf
country	Country code as defined in ISO 3166-1	CH
emailAddress	Email address of the organisation	joe.muster@cspdomain.ch
contactNumber	SEQUENCE OF	
PartyNumber	Phone number(s) of the subscriber	41319998877
dateofBirth	subscriber's date of birth	19920611000000Z
AuthenticationInfo	SEQUENCE	
authenticationType	Description of the type of document used to authenticate, e.g. passport, ID card, driver's license	ID card Passport
authenticationNumber	The number of the document used to authenticate	E12345678
profession	Profession of the subscriber, if available.	Consultant

#### 8.4.4 Communication services information requests and responses

The encoding and formats of the parameters for the communication services information requests and responses relate to ETSI TS 102 657 V1.17.1 Annexes A and E.

##### 8.4.4.1 Communication service: subscription information request criteria elements and structure

This section covers the request criteria contained in the requestParameters ⇨ RequestConstraints sequence sent in the XML request for the communication service subscription information.

network access Subscriber		
Element or attribute	Description	Example
equals	Request constraint	

networkAccess	Service = networkAccess	
naSubscriber	Category = naSubscriber	
<b>subscriberID</b>		
subscriberID	A unique identifier for this particular subscriber within the CSP.	123456789
<b>naSubscriptions structure</b>		
NAServiceSubscription	SEQUENCE	
naAuthID	Unique identifier for this subscription, e.g. logon name	Joe123456
<b>subscriber structure</b>		
GenericSubscriberInfo	SEQUENCE	
<b>OrganizationInfo</b>	SEQUENCE	
name	Name of the organisation (corporate, foundation, etc...)	FOOBAR AG
contactDetails	SEQUENCE	
address	structure	
AddressInformation	SEQUENCE	
buildingNumber	Building number	12
streetName	Street name	Mittelstrasse
poBox	Postal Box number	5578
postalCode	Postal code of the city	9999
city	City	Ortdorf
country	Country code as defined in ISO 3166-1 (2013)	CH
nationalRegistrationID	Unique reference for this organisation as in the Swiss Central Business Index (zefix.admin.ch).	CHE-777.888.999
<b>IndividualInfo</b>	SEQUENCE	
PersonName	SEQUENCE	
surname	The surname and firstname as well as the prefixes and suffixes are provided in this field only.	Joe Muster Da Silva
contactAddress	SEQUENCE	
address	Structure	
AddressInformation	SEQUENCE	
buildingNumber	Building number	12
streetName	Street name	Mittelstrasse
poBox	Postal Box number	6897
postalCode	Postal code of the city	9999
city	City	Ortdorf
country	Country code as defined in ISO 3166-1 (2013)	CH
dateofBirth	Subscriber's date of birth	19920611000000Z
AuthenticationInfo	SEQUENCE	
authenticationType	Description of the type of document used to authenticate, e.g. passport, ID card, driver's license	ID card Passport
authenticationNumber	The number of the document used to authenticate	E12345678

### 8.4.4.2 Communication service: subscription information response elements and structure

This section covers the information response elements and fields of the communication service subscriber records according to ETSI TS 102 657 V1.17.1 Annexes A and E.

<b>network access Subscriber</b>		
<b>Element or attribute</b>	<b>Description</b>	<b>Example</b>
recordNumber	Structure	0, 1, 2, ...
recordPayload structure		
networkAccess	Service = networkAccess	
naSubscriber	Category = naSubscriber	
<b>subscriberID</b>		
<b>subscriberID</b>	A unique identifier for this particular subscriber within the CSP.	123456789
<b>naSubscriptions structure</b>		
naSubscriptions	SEQUENCE OF	
NAServiceSubscription	SEQUENCE	
validity	Structure	
startTime	Start time of the subscribed service	20100611000000+0200
endTime	End time of the subscribed service	20160731000000+0200
naServiceID	Identifier for the service according to the CSP.	SecureCom
naProviderID	Unique identifier for the provider of the service. In form of the 5 digits allocated by the PTSS.	99909
naAuthID	Unique identifier for this subscription, e.g. logon name	Joe123456 joedasilva@securecom.ch
naServiceStatus	Information about the status of the subscribed service.	active ceased suspended
<b>subscriber structure</b>		
GenericSubscriberInfo	SEQUENCE	
<b>OrganizationInfo</b>	SEQUENCE	
name	Name of the organisation (corporate, foundation, etc...)	FOOBAR AG
contactDetails	SEQUENCE	
address	structure	
AddressInformation	SEQUENCE	
buildingNumber	Building number	12
streetName	Street name	Mittelstrasse
poBox	Postal Box number	5578
postalCode	Postal code of the city	9999
city	City	Ortdorf
country	Country code as defined in ISO 3166-1 (2013)	CH
emailAddress	Email address of the organisation	info@foobar.ch

contactNumber	SEQUENCE OF	
PartyNumber	Phone number(s) of the organisation	41771112233
nationalRegistrationID	Unique reference for this organisation as in the Swiss Central Business Index (zefix.admin.ch).	CHE-777.888.999
<b>IndividualInfo</b>	SEQUENCE	
PersonName	SEQUENCE	
surname	The surname and firstname as well as the prefixes and suffixes are provided in this field only.	Joe Muster Da Silva
contactAddress	SEQUENCE	
address	structure	
AddressInformation	SEQUENCE	
buildingNumber	Building number	12
streetName	Street name	Mittelstrasse
poBox	Postal Box number	5578
postalCode	Postal code of the city	9999
city	City	Ortdorf
country	Country code as defined in ISO 3166-1 (2013)	CH
emailAddress	Email address of the organisation	joe.muster@cspdomain.ch
contactNumber	SEQUENCE OF	
PartyNumber	Phone number(s) of the subscriber	41319998877
dateofBirth	Subscriber's date of birth	19920611000000Z
AuthenticationInfo	SEQUENCE	
authenticationType	Description of the type of document used to authenticate, e.g. passport, ID card, driver's license	ID card Passport
authenticationNumber	The number of the document used to authenticate	E12345678
profession	Profession of the subscriber, if available.	Consultant

### 8.4.5 Payment details information requests and responses

The encoding and formats of the parameters for the payment details information requests and responses relate to ETSI TS 102 657 V1.17.1 Annexes A and D and E.

#### 8.4.5.1 Payment details: network access information request criteria elements and structure

This section covers the request criteria contained in the requestParameters ⇒ RequestConstraints sequence sent in the XML request for the payment details information for network access service.

network access Subscriber		
Element or attribute	Description	Example
equals	Request constraint	
networkAccess	Service = networkAccess	

naSubscriber	Category = naSubscriber	
<b>subscriberID</b>	A unique identifier for this particular subscriber within the CSP.	123456789
<b>naSubscriptions structure</b>		
NAServiceSubscription	SEQUENCE	
naProviderID	Unique identifier for the provider of the service. In form of the 5 digits allocated by the PTSS.	99909
IMSI	The International Mobile Subscriber Identity (IMSI) of the target.	2289930355117730
paymentDetails	SEQUENCE	
bankAccount	SEQUENCE	
iBAN	International Bank Account Number according to ISO 13616	CH5800242272380848402
nationalAccountNumber	National bank account number, if applicable.	2272380848402
billingAddress	Structure	
contactDetails	SEQUENCE	
address	structure	
AddressInformation	SEQUENCE	
buildingNumber	Building number	12
streetName	Street name	Mittelstrasse
poBox	Postal Box number	5578
postalCode	Postal code of the city	9999
city	City	Ortdorf
country	Country code as defined in ISO 3166-1 (2013)	CH
allocatedDevices	SEQUENCE OF	
naDeviceId	Identity of a device used for the network access	41771112233
description	Human readable description of the device related to the service subscription.	MSISDN Mobile phone cable modem
dslID	DSL identifier as set by the CSP.	DSL-134523
subscriberID	An alternative identifier for the subscriber using a specific service of the CSP. To be used only when it differs from the subscriberID defined in the NASubscriber sequence.	NA7654321

### 8.4.5.2 Payment details: network access information response elements and structure

This section covers the information response elements and fields of the payment details for the network access service according to ETSI TS 102 657 V1.17.1 Annexes A and E.

<b>network access Subscriber</b>		
<b>Element or attribute</b>	<b>Description</b>	<b>Example</b>
recordNumber	Structure	0, 1, 2, ...
recordPayload structure		
networkAccess	Service = networkAccess	
naSubscriber	Category = naSubscriber	
<b>subscriberID</b>		
<b>subscriberID</b>	A unique identifier for this particular subscriber within the CSP.	123456789
<b>naSubscriptions structure</b>		
naSubscriptions	SEQUENCE OF	
NAServiceSubscription	SEQUENCE	
naProviderID	Unique identifier for the provider of the service. In form of the 5 digits allocated by the PTSS.	99909
IMSI	The International Mobile Subscriber Identity (IMSI) of the subscriber.	2289930355117730
paymentDetails	SEQUENCE	
billingMethod	ENUMERATED	debit transfer prepaid
bankAccount	SEQUENCE	
iBAN	International Bank Account Number according to ISO 13616 (2007)	CH5800242272380848402
accountHolder	Bank account holder name	Joe Muster Da Silva
nationalAccountNumber	National bank account number, if applicable.	2272380848402
billingAddress	Structure	
contactDetails	SEQUENCE	
address	structure	
AddressInformation	SEQUENCE	
buildingNumber	Building number	12
streetName	Street name	Mittelstrasse
poBox	Postal Box number	5578
postalCode	Postal code of the city	9999
city	City	Ortdorf
country	Country code as defined in ISO 3166-1 (2013)	CH
validity	Structure	

TimeSpan	SEQUENCE	
startTime	Start time of the billing address validity.	20160501000000+0200
endTime	End time of the billing address validity, if applicable.	20160731000000+0200
allocatedDevices	SEQUENCE OF	
naDeviceId	Identity of a device used for the network access	41771112233
description	Human readable description of the device related to the service subscription.0	MSISDN Mobile phone cable modem
dsIID	DSL identifier as set by the CSP.	DSL-134523
subscriberID	An alternative identifier for the subscriber using a specific service of the CSP. To be used only when it differs from the subscriberID defined in the NASubscriber sequence.	NA7654321

#### 8.4.5.3 Payment details: multimedia and telephony information request criteria elements and structure

This section covers the request criteria contained in the requestParameters ⇨ RequestConstraints sequence sent in the XML request for the payment details information for multimedia and telephony services. Telephony being considered as a subset of multimedia services.

<b>Multimedia Subscriber</b>		
<b>Element or attribute</b>	<b>Description</b>	<b>Example</b>
equals	Request constraint	
multimediaRecord	Service = multimedia	
multimediaSubscriber	Category = multimediaSubscriber	
<b>subscriberID</b>		
	Structure	
MultimediaSubscriberID	A unique identifier for this particular subscriber within the CSP.	123456789
<b>subscribedMultimedia Services</b>		
providerID	Unique identifier for the provider of the service. In form of the 5 digits allocated by the PTSS.	99909
registeredIdentifiers	SEQUENCE OF	
PartyIdentity	Party identity of the multimedia or telephony subscriber.	41771112233 sip:+41771112233@csp.ch tel:+41771112233

iMSI	The International Mobile Subscriber Identity (IMSI) of the subscriber.	2289930355117730
paymentDetails	SEQUENCE	
bankAccount	SEQUENCE	
iBAN	International Bank Account Number according to ISO 13616 (2007)	CH5800242272380848402
nationalAccountNumber	National bank account number, if applicable.	2272380848402
billingAddress	Structure	
contactDetails	SEQUENCE	
address	structure	
AddressInformation	SEQUENCE	
buildingNumber	Building number	12
streetName	Street name	Mittelstrasse
poBox	Postal Box number	5578
postalCode	Postal code of the city	9999
city	City	Ortdorf
country	Country code as defined in ISO 3166-1 (2013)	CH

#### 8.4.5.4 Payment details: multimedia and telephony information response elements and structure

This section covers the information response elements and fields of the payment details information for multimedia and telephony services according to ETSI TS 102 657 V1.17.1 Annexes A and D. Telephony being considered as a subset of multimedia services.

<b>network access Subscriber</b>		
<b>Element or attribute</b>	<b>Description</b>	<b>Example</b>
recordNumber	Structure	0, 1, 2, ...
recordPayload structure		
multimediaRecord	Service = multimedia	
multimediaSubscriber	Category = multimediaSubscriber	
<b>subscriberID</b>		
MultimediaSubscriberID	A unique identifier for this particular subscriber within the CSP.	123456789
<b>subscribedMultimedia Services</b>		
providerID	Unique identifier for the provider of the service. In form of the 5 digits allocated by the PTSS.	99909
registeredIdentifiers	SEQUENCE OF	

PartyIdentity	Party identity or identities of the multimedia or telephony subscriber.	41771112233 sip:+41771112233@csp.ch tel:+41771112233 sip:+ 41992305887@csp.ch tel:+41992305887
iMSI	The International Mobile Subscriber Identity (IMSI) of the subscriber.	2289930355117730
paymentDetails	SEQUENCE	
billingMethod	ENUMERATED	debit transfer prepaid
bankAccount	SEQUENCE	
iBAN	International Bank Account Number according to ISO 13616 (2007)	CH5800242272380848402
accountHolder	Bank account holder name	Joe Muster Da Silva
nationalAccountNumber	National bank account number, if applicable.	2272380848402
billingAddress	Structure	
contactDetails	SEQUENCE	
address	structure	
AddressInformation	SEQUENCE	
buildingNumber	Building number	12
streetName	Street name	Mittelstrasse
poBox	Postal Box number	5578
postalCode	Postal code of the city	9999
city	City	Ortdorf
country	Country code as defined in ISO 3166-1 (2013)	CH
validity	Structure	
TimeSpan	SEQUENCE	
startTime	Start time of the billing address validity.	20160501000000+0200
endTime	End time of the billing address validity, if applicable.	20160731000000+0200

## 8.4.6 Identity document copy information requests and responses

### 8.4.6.1 Identity document copy: network access information request criteria elements and structure

This section covers the request criteria contained in the requestParameters ⇒ RequestConstraints sequence sent in the XML request for the identity document copy information for network access service.

network access Subscriber		
Element or attribute	Description	Example
equals	Request constraint	
networkAccess	Service = networkAccess	

naSubscriber	Category = naSubscriber	
<b>subscriberID</b>	A unique identifier for this particular subscriber within the CSP.	123456789
<b>naSubscriptions structure</b>		
NAServiceSubscription	SEQUENCE	
IMSI	The International Mobile Subscriber Identity (IMSI) of the target.	2289930355117730
registeredICCID	Integrated Circuit Card ID of the subscriber (SIM)	89410228641400127777
allocatedDevices	SEQUENCE OF	
naDeviceId	Identity of a device used for the network access	41771112233
description	Human readable description of the device related to the service subscription.	MSISDN Mobile phone cable modem
macAddress	Media Access Control address according to IEEE 802. (6 octets)	54A6FA471B3C
dslID	DSL identifier as set by the CSP.	DSL-134523
imei	International Mobile Equipment Identity	3571600455770051
subscriberID	An alternative identifier for the subscriber using a specific service of the CSP. To be used only when it differs from the subscriberID defined in the NASubscriber sequence.	NA7654321
<b>subscriber structure</b>		
GenericSubscriberInfo	SEQUENCE	
<b>IndividualInfo</b>	SEQUENCE	
PersonName	SEQUENCE	
surname	The surname and firstname as well as the prefixes and suffixes are provided in this field only.	Joe Muster Da Silva

#### 8.4.6.2 Identity document copy: network access information response elements and structure

At the time of edition of the present document no standardised ETSI handover interface exists for the delivery of documents in electronic form, such as pdf or jpg or png files. As a temporary alternative until a standardised solution is published by ETSI, the electronic format identity document copy shall be sent to PTSS via email to the email address provided in the "deliveryPointHIB" of the request as one or several attachments. The CSP shall sign and encrypt the email's attachments with OpenPGP. The subject field of the email shall contain the "requestNumber" indicated in the request in the following format:

requestNumber:IR\_11\_ID\_YYYYMMDDABCDEF

### 8.4.6.3 Identity document copy: multimedia and telephony information request criteria elements and structure

This section covers the request criteria contained in the requestParameters ⇨ RequestConstraints sequence sent in the XML request for the identity document copy information for multimedia and telephony services.

<b>Multimedia Subscriber</b>		
<b>Element or attribute</b>	<b>Description</b>	<b>Example</b>
equals	Request constraint	
multimediaRecord	Service = multimedia	
multimediaSubscriber	Category = multimediaSubscriber	
<b>subscriberID</b>		
Structure		
MultimediaSubscriberID	A unique identifier for this particular subscriber within the CSP.	123456789
<b>genericSubscriberInfo structure</b>		
GenericSubscriberInfo	SEQUENCE	
<b>IndividualInfo</b>		
PersonName	SEQUENCE	
surname	The surname and firstname as well as the prefixes and suffixes are provided in this field only.	Joe Muster Da Silva
<b>subscribedMultimedia Services</b>		
registeredIdentifier	SEQUENCE OF	
PartyIdentity	Party identity of the multimedia or telephony subscriber.	41771112233 sip:+41771112233@csp.ch tel:+41771112233
registeredICCID	Integrated Circuit Card ID of the subscriber (SIM)	89410228641400127777
iMSI	The International Mobile Subscriber Identity (IMSI) of the subscriber.	2289930355117730

### 8.4.6.4 Identity document copy: multimedia and telephony network access information response elements and structure

At the time of edition of the present document no standardised ETSI handover interface exists for the delivery of documents in electronic form, such as pdf or jpg or png files. As a temporary alternative until a standardised solution is published by ETSI, the electronic format identity document copy shall be sent to PTSS via email to the email address provided in the "deliveryPointHIB" of the request as one or several attachments. The CSP shall sign

and encrypt the email's attachments with OpenPGP. The subject field of the email shall contain the "requestNumber" indicated in the request in the following format:

requestNumber:IR\_11\_ID\_YYYYMMDDABCDEF

## 8.4.7 Billing document copy requests and responses

### 8.4.7.1 Billing document copy: network access information request criteria elements and structure

This section covers the request criteria contained in the requestParameters ⇒ RequestConstraints sequence sent in the XML request for the billing document copy information for network access service.

network access Subscriber		
Element or attribute	Description	Example
equals	Request constraint	
networkAccess	Service = networkAccess	
naBillingDetails	Category = naBillingDetails	
naBillingDetails structure		
NABillingDetails	SEQUENCE	
subscriberID	A unique identifier for this particular subscriber within the CSP.	123456789
serviceID	Description of the service that is billed by the CSP.	Internet Mobile
billingAddress	Structure	
contactDetails	SEQUENCE	
PartyNumber	Identifier pertaining to the subscriber and CSP's service.	41751112233

### 8.4.7.2 Billing document copy: network access information response elements and structure

At the time of edition of the present document no standardised ETSI handover interface exists for the delivery of documents in electronic form, such as pdf or jpg or png files. As a temporary alternative until a standardised solution is published by ETSI, the electronic format billing document copy shall be sent to PTSS via email to the email address provided in the "deliveryPointHIB" of the request as one or several attachments. The CSP shall sign and encrypt the email's attachments with OpenPGP. The subject field of the email shall contain the "requestNumber" indicated in the request in the following format:

requestNumber:IR\_12\_BILL\_YYYYMMDDABCDEF

### 8.4.7.3 Billing document copy: multimedia and telephony information request criteria elements and structure

This section covers the request criteria contained in the requestParameters ⇨ RequestConstraints sequence sent in the XML request for the billing document copy information for multimedia and telephony services.

<b>Multimedia Subscriber</b>		
<b>Element or attribute</b>	<b>Description</b>	<b>Example</b>
equals	Request constraint	
multimediaRecord	Service = multimedia	
MultimediaBillingDetails	Category = MultimediaBillingDetails	
<b>MultimediaBillingDetails structure</b>		
MultimediaBillingDetails	SEQUENCE	
subscriberID	A unique identifier for this particular subscriber within the CSP.	123456789
serviceID	Description of the service that is billed by the CSP.	VoicePlan Unlimited
billingAddress	Structure	
contactDetails	SEQUENCE	
PartyNumber	Identifier pertaining to the subscriber and CSP's service.	41751112233

### 8.4.7.4 Billing document copy: multimedia and telephony information response elements and structure

At the time of edition of the present document no standardised ETSI handover interface exists for the delivery of documents in electronic form, such as pdf or jpg or png files. As a temporary alternative until a standardised solution is published by ETSI, the electronic format billing document copy shall be sent to PTSS via email to the email address provided in the "deliveryPointHIB" of the request as one or several attachments. The CSP shall sign and encrypt the email's attachments with OpenPGP. The subject field of the email shall contain the "requestNumber" indicated in the request in the following format:  
`requestNumber:IR_12_BILL_YYYYMMDDABCDEF`

## 8.4.8 Contract document copy information requests and responses

### 8.4.8.1 Contract document copy: network access information request criteria elements and structure

This section covers the request criteria contained in the requestParameters ⇨ RequestConstraints sequence sent in the XML request for the contract document copy information for network access service.

<b>network access Subscriber</b>		
<b>Element or attribute</b>	<b>Description</b>	<b>Example</b>
equals	Request constraint	
networkAccess	Service = networkAccess	
naSubscriber	Category = naSubscriber	
<b>subscriberID</b>		
<b>subscriberID</b>	A unique identifier for this particular subscriber within the CSP.	123456789
<b>naSubscriptions structure</b>		
NAServiceSubscription	SEQUENCE	
IMSI	The International Mobile Subscriber Identity (IMSI) of the target.	2289930355117730
registeredICCID	Integrated Circuit Card ID of the subscriber (SIM)	89410228641400127777
allocatedDevices	SEQUENCE OF	
naDeviceId	Identity of a device used for the network access	41771112233
description	Human readable description of the device related to the service subscription.	MSISDN Mobile phone cable modem
macAddress	Media Access Control address according to IEEE 802. (6 octets)	54A6FA471B3C
dslID	DSL identifier as set by the CSP.	DSL-134523
imei	International Mobile Equipment Identity	3571600455770051
subscriberID	An alternative identifier for the subscriber using a specific service of the CSP. To be used only when it differs from the subscriberID defined in the NASubscriber sequence.	NA7654321
<b>subscriber structure</b>		
GenericSubscriberInfo	SEQUENCE	
<b>IndividualInfo</b>	SEQUENCE	
PersonName	SEQUENCE	
surname	The surname and firstname as well as the prefixes and suffixes are provided in this field only.	Joe Muster Da Silva

#### 8.4.8.2 Contract document copy: network access information response elements and structure

At the time of edition of the present document no standardised ETSI handover interface exists for the delivery of documents in electronic form, such as pdf or jpg or png files. As a temporary alternative until a standardised solution is published by ETSI, the electronic format contract document copy shall be sent to PTSS via email to the email address

provided in the "deliveryPointHIB" of the request as one or several attachments. The CSP shall sign and encrypt the email's attachments with OpenPGP. The subject field of the email shall contain the "requestNumber" indicated in the request in the following format:

requestNumber:IR\_13\_CONTRACT\_YYYYMMDDABCDEF

#### 8.4.8.3 Contract document copy: multimedia and telephony information request criteria elements and structure

This section covers the request criteria contained in the requestParameters ⇒ RequestConstraints sequence sent in the XML request for the contract document copy information for multimedia and telephony services.

<b>Multimedia Subscriber</b>		
<b>Element or attribute</b>	<b>Description</b>	<b>Example</b>
equals	Request constraint	
multimediaRecord	Service = multimedia	
multimediaSubscriber	Category = multimediaSubscriber	
<b>subscriberID</b>		
Structure		
MultimediaSubscriberID	A unique identifier for this particular subscriber within the CSP.	123456789
<b>genericSubscriberInfo structure</b>		
GenericSubscriberInfo	SEQUENCE	
<b>IndividualInfo</b>	SEQUENCE	
PersonName	SEQUENCE	
surname	The surname and firstname as well as the prefixes and suffixes are provided in this field only.	Joe Muster Da Silva
<b>subscribedMultimedia Services</b>		
registeredIdentifier	SEQUENCE OF	
PartyIdentity	Party identity of the multimedia or telephony subscriber.	41771112233 sip:+41771112233@csp.ch tel:+41771112233
registeredICCID	Integrated Circuit Card ID of the subscriber (SIM)	89410228641400127777
iMSI	The International Mobile Subscriber Identity (IMSI) of the subscriber.	2289930355117730

#### 8.4.8.4 Contract document copy: multimedia and telephony information response elements and structure

At the time of edition of the present document no standardised ETSI handover interface exists for the delivery of documents in electronic form, such as pdf or jpg or png files. As a temporary alternative until a standardised solution is published by ETSI, the electronic format contract document copy shall be sent to PTSS via email to the email address provided in the "deliveryPointHIB" of the request as one or several attachments. The CSP shall sign and encrypt the email's attachments with OpenPGP. The subject field of the email shall contain the "requestNumber" indicated in the request in the following format:

requestNumber:IR\_13\_CONTRACT\_YYYYMMDDABCDEF

### 8.4.9 Technical information requests and responses

The encoding and formats of the parameters for the technical data information requests and responses relate to ETSI TS 102 657 V1.17.1 Annexes A and E.

#### 8.4.9.1 Technical data: mobile network access information request criteria elements and structure

This section covers the request criteria contained in the requestParameters ⇒ RequestConstraints sequence sent in the XML request for the mobile network access information.

<b>network access Subscriber</b>		
<b>Element or attribute</b>	<b>Description</b>	<b>Example</b>
equals	Request constraint	
networkAccess	Service = networkAccess	
naNetworkElement	Category = NANwElement	
<b>NANwElement Structure</b>		
location	SEQUENCE	
globalCellID	Cell Global Identity used for GERAN and UTRAN according to 3GPP TS 23.003.	228994321987
rAI	Routing Area Identifier according to 3GPP TS 23.003 V13.8.0	228994321566
gsmLocation	CHOICE	
geoCoordinates	SEQUENCE	
latitude	Geographic coordinate that specifies the north–south position of a point on the Earth's surface according to the World Geodetic System 1984.	N465648.10
longitude	Geographic coordinate that specifies the east-west position of a point on the Earth's surface according to the World Geodetic System 1984.	E0072650.80
mapDatum	World Geodetic System indication of the coordinates	wGS84
sAI	Serving Area Identifier according to 3GPP TS 23.003 V13.8.0	22899654257854

tAI	Tracking Area Identifier used for E-UTRAN according to 3GPP TS 23.003 V13.8.0.	228994F21AC6
eCGI	E-UTRAN Cell Global Identifier used for E-UTRAN according to 3GPP TS 23.003 V13.8.0.	2289931647FA2389

#### 8.4.9.2 Technical data: mobile network access information response elements and structure

This section covers the information response elements and fields of the mobile network access according to ETSI TS 102 657 V1.17.1 Annexes A and E.

Network access Service Usage		
Element or attribute	Description	Example
recordNumber	Structure	0, 1, 2, ...
recordPayload structure		
networkAccess	Service = networkAccess	
naNetworkElement	Category = NANwElement	
NANwElement Structure		
location	SEQUENCE	
globalCellID	Cell Global Identity used for GERAN and UTRAN according to 3GPP TS 23.003.	228994321987
rAI	Routing Area Identifier according to 3GPP TS 23.003 V13.8.0	228994321566
gsmLocation	CHOICE	
geoCoordinates	SEQUENCE	
latitude	Geographic coordinates that specifies the north–south position of a point on the Earth's surface according to the World Geodetic System 1984.	N465648.10
longitude	Geographic coordinates that specifies the east-west position of a point on the Earth's surface according to the World Geodetic System 1984.	E0072650.80
mapDatum	World Geodetic System indication of the coordinates	wGS84
sAI	Serving Area Identifier according to 3GPP TS 23.003 V13.8.0	22899654257854
postalLocation	Structure	
AddressInformation	SEQUENCE	
buildingNumber	Building number	28
streetName	Street name	Marktplatz
postalCode	Postal code of the city	9999
city	City	Ortdorf
country	Country code as defined in ISO 3166-1 (2013)	CH

tAI	Tracking Area Identifier used for E-UTRAN according to 3GPP TS 23.003 V13.8.0.	228994F21AC6
eCGI	E-UTRAN Cell Global Identifier used for E-UTRAN according to 3GPP TS 23.003 V13.8.0.	2289931647FA2389

#### 8.4.9.3 Technical data: WLAN network access information request criteria elements and structure

This section covers the request criteria contained in the requestParameters ⇨ RequestConstraints sequence sent in the XML request for the WLAN network access information.

network access Subscriber		
Element or attribute	Description	Example
equals	Request constraint	
networkAccess	Service = networkAccess	
naServiceUsage	Category = NAServiceUsage	
NAServiceUsage Structure		
NAServiceUsage	SEQUENCE	
wifiInformation	SEQUENCE	
bSSID	The Basic Service Set Identification of the WLAN Access Point. Equivalent to the MAC address of the Access Point.	5A23A4CF572F

#### 8.4.9.4 Technical data: WLAN network access information response elements and structure

This section covers the information response elements and fields of the WLAN network access according to ETSI TS 102 657 V1.17.1 Annexes A and E.

Network access Service Usage		
Element or attribute	Description	Example
recordNumber	Structure	0, 1, 2, ...
recordPayload	structure	
networkAccess	Service = networkAccess	
naServiceUsage	Category = NAServiceUsage	
NAServiceUsage Structure		
NAServiceUsage	SEQUENCE	
wifiInformation	SEQUENCE	
bSSID	The Basic Service Set Identification of the WLAN Access Point. Equivalent to the MAC address of the Access Point.	5A23A4CF572F
locationOfAccessPoint	Structure	

location	SEQUENCE	
gsmLocation	CHOICE	
geoCoordinates	SEQUENCE	
latitude	Geographic coordinates that specifies the north–south position of a point on the Earth's surface according to the World Geodetic System 1984.	N465648.10
longitude	Geographic coordinates that specifies the east-west position of a point on the Earth's surface according to the World Geodetic System 1984.	E0072650.80
mapDatum	World Geodetic System indication of the coordinates	wGS84
postalLocation	Structure	
AddressInformation	SEQUENCE	
buildingNumber	Building number	28
streetName	Street name	Marktplatz
postalCode	Postal code of the city	9999
city	City	Ortdorf
country	Country code as defined in ISO 3166-1 (2013)	CH

## 8.5 Handover interfaces requirements

### 8.5.1 ETSI TS 102 657 V1.17.1 Handover interface for the request and delivery of information requests

The handover interface is used for the transmission of the PTSS requests and CSP responses for Information Requests. The data is encoded in one or several XML files.

The requirements and options related to ETSI TS 102 657 V1.17.1 are specified in section 7.5.

### 8.6 Applicable XML schema version for information requests

Any superior version can be adopted from the CSP for better performances. This must be agreed with PTSS in order to ensure the compatibility with the actual Retained Data Component systems, and this requires a new compliance assessment.

Applicable XML Schema	Requirement or instruction for application
<b>ETSI TS 102 657 V.1.17.1</b>	
RDMessage XML Schema (xsd)	RDMessage.ver17.xsd xmlns="http://uri.etsi.org/02657/v1.17.1#/RetainedData"

**Table 8-6: Applicable XML schema version for information requests**

## **9 Security**

### **9.1 Data Protection**

To ensure confidentiality of data the federal requirements of “Bundesgesetz über den Datenschutz (DSG) vom 19. Juni 1992 (SR 235.1)” apply for both PTSS and the CSP.

### **9.2 Hardware Security**

The CSP and PTSS must provide for prevention of unauthorised access to the functionality of all the systems involved in lawful interception.

### **9.3 Personnel Security Aspects**

Staff involved in the technical and administrative operations of the lawful interception systems at PTSS and the CSP are subject to confidentiality principles. Therefore, each CSP provides PTSS with a signed confirmation, that all personnel engaged with lawful interception activities have been instructed to handle all matters involved in a confidential manner.

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## 10 List of Technical Specifications

This section provides a list of the ETSI Technical Specifications (ETSI TS) and IETF Request for Comments (RFC) and ISO standards and ITU Recommendations used in this annex. It is meant to ease the reading and implementation of the handover interfaces specified in the present document.

ETSI TS 101 671 V3.12.1	Telecommunication security; Lawful interception (LI); Handover interface for the lawful interception of telecommunication traffic
ETSI TS 102 232-1 V3.11.1	Lawful Interception (LI); Handover Interface and Service-Specific Details (SSD) for IP delivery; Part 1: Handover specification for IP delivery
ETSI TS 102 232-2 V3.9.1	Lawful Interception (LI); Handover Interface and Service-Specific Details (SSD) for IP delivery; Part 2: Service-specific details for Email services
ETSI TS 102 232-3 V3.3.1	Lawful Interception (LI); Handover Interface and Service-Specific Details (SSD) for IP delivery; Part 3: Service-specific details for internet access services
ETSI TS 102 232-5 V3.5.1	Lawful Interception (LI); Handover Interface and Service-Specific Details (SSD) for IP delivery; Part 5: Service-specific details for IP Multimedia Services
ETSI TS 102 232-6 V3.3.1	Lawful Interception (LI); Handover Interface and Service-Specific Details (SSD) for IP delivery; Part 6: Service-specific details for PSTN/ISDN services
ETSI TR 102 503 V1.10.1	Lawful Interception (LI); ASN.1 Object Identifiers in Lawful Interception and Retained data handling Specifications
ETSI TS 102 657 V1.17.1	Lawful Interception (LI); Retained data handling; Handover interface for the request and delivery of retained data
ETSI TS 103 120 V1.2.1	Lawful Interception (LI); Interface for warrant information
ETSI TS 103 280 V1.2.1	Lawful Interception (LI); Dictionary for common parameters
ETSI TS 133 107 V13.5.0	Universal Mobile Telecommunications System (UMTS); LTE; 3G security; Lawful interception architecture and functions
ETSI TS 133 108 V13.4.0	Universal Mobile Telecommunications System (UMTS); LTE; 3G security; Handover interface for Lawful Interception (LI)
ETSI ES 282 002 V1.1.1	Telecommunications and Internet converged Services and Protocols for Advanced Networking (TISPAN); PSTN/ISDN Emulation Sub-system (PES)
ETSI EN 300 403 V1.3.1	Integrated Services Digital Network (ISDN); Digital Subscriber Signalling System No. one (DSS1) protocol; Signaling network layer for circuit-mode basic call control

ETSI ETS 300 974 (2000)	European Telecommunication Standard (ETS) 300 974, 2000-12; GSM – Digital cellular telecommunications system (Phase 2+); Mobile Application Part (MAP) specification
ETSI TS 129 002 V4.18.0	Universal Mobile Telecommunications System (UMTS); Mobile Application Part (MAP) specification
3GPP TS 23.003 V13.8.0	Universal Mobile Telecommunications System (UMTS); Numbering, addressing and identification
3GPP TS 23.032 V13.0.0	Universal Mobile Telecommunications System (UMTS); Universal Geographical Area Description (GAD)
3GPP TS 23.060 V13.5.0	Universal Mobile Telecommunications System (UMTS); General Packet Radio Service (GPRS); Service description; Stage 2
3GPP TS 23.272 V13.4.0	LTE; Circuit Switched (CS) fallback in Evolved Packet System (EPS); Stage 2
3GPP TS 23.401 V13.5.0	LTE; General Packet Radio Service (GPRS) enhancements for Evolved Universal Terrestrial Radio Access Network (E-UTRAN) access
3GPP TS 23.402 V13.1.0	Universal Mobile Telecommunications System (UMTS); LTE; Architecture enhancements for non-3GPP accesses
3GPP TS 29.274 V13.8.0	LTE; 3GPP Evolved Packet System (EPS); Evolved General Packet Radio Service (GPRS) Tunnelling Protocol for Control plane (GTPv2-C); Stage 3
IETF RFC 2279	UTF-8, a Transformation Format of ISO 10646
IETF RFC 4122	A Universally Unique IDentifier (UUID) URN Namespace
IETF RFC 4180	Common Format and MIME Type for Comma-Separated Values (CSV) Files
IETF RFC 4880	OpenPGP Message Format
IETF RFC 5321	Simple Mail Transfer Protocol
IETF RFC 5322	Internet Message Format
IETF RFC 5905	Network Time Protocol Version 4, Protocol and Algorithms Specification
ISO 3166-1 (2013)	Codes for the representation of names of countries and their subdivisions
ISO 13616 (2007)	Financial services - International bank account number (IBAN)
ITU-T E.164 (11/10)	ITU-T Recommendation E.164, Numbering plan of the international telephone service
ITU-T G.711 (11/88)	ITU-T Recommendation G.711, Pulse code modulation (PCM) of voice frequencies
ITU-T H.248 (06/00)	ITU-T Recommendation H.248, Gateway control protocol
ITU-T H.323 (12/09)	ITU-T Recommendation H.323, Packet-based multimedia communications systems
ITU-T Q.763 (12/99)	ITU-T Recommendation Q.763, Signalling System No. 7 - ISDN User Part formats and codes
ITU-T Q.850 (05/98)	ITU-T Recommendation Q.850, Usage of cause and location in the Digital Subscriber Signalling System No. 1 and the Signalling System No. 7 ISDN user part
ITU-T Q.931 (05/98)	ITU-T Recommendation Q.931, "ISDN user-network interface layer 3 specification for basic call control"

ITU-T Q.763 (12/99)	ITU-T Recommendation Q.763, "Specifications of signalling System No.7; ISDN user part; Formats and codes"
ITU-T Q.699 (09/97)	ITU-T Recommendation Q.699, "Interworking of Signalling Systems – Interworking between Digital Subscriber Signalling System No. 1 and Signalling System No. 7"
ITU-T X.680 (11/08)	ITU-T Recommendation X.680, Information technology - Abstract Syntax Notation One (ASN.1): Specification of basic notation
ITU-T X.690 (12/97)	ITU-T Recommendation X.690; Data Networks and Open System Communication – OSI networking and system aspects – Abstract Syntax Notation One (ASN.1)

**Table 10-1: List of technical specifications**

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